

**DIGITAL IF DEMODULATOR FOR VIDEO APPLICATIONS**5 **CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims the benefit of U.S. Provisional Application No. 60/171,199 filed December 15, 1999, the contents of which is hereby incorporated by reference.

10 **BACKGROUND OF THE INVENTION**

Intermediate frequency stages ("IF stages") play a key role in a number of applications which require frequency conversion, such as television tuners, set top boxes, and the like. In an exemplary IF stage, a series of signal processing components 15 might be used to convert a signal centered at an IF frequency to a baseband or unmodulated signal suitable for direct extraction of its information content.

IF stages typically require filters having frequency selective components that tend to resist integration into an integrated circuit. Other circuits commonly present in an IF stage are oscillators and phase locked loops ("PLLs"). Oscillators often require external crystals or other frequency selective components to form a resonator. PLLs often require an external loop filter. Utilizing digital signal processing techniques would tend to reduce the need for frequency selective components. By sampling an incoming signal and assigning a numerical value to the samples the signal may be processed digitally. After digital processing the numeric values may be converted back to a signal. Digital signal processing techniques 25 tend to allow parameters, such as pass band characteristics of a filter, to be programmed and otherwise adjusted. Thus, a digital IF providing programmability to accept video signals 30 formatted to various transmission standards can be fabricated.

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Those having skill in the art would understand the  
desirability of having a digital IF capable of being integrated  
5 on an integrated circuit substrate that does not have the problem  
of requiring a large external component and that may be  
programmed for multi standard operation. This type of device  
would necessarily provide a more compact circuit, by having a  
tunable IF economically integrated onto an integrated circuit.

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#### SUMMARY OF THE INVENTION

There is therefore provided in a present embodiment of the  
invention an integrated communications system comprising: a  
substrate having a receiver disposed on the substrate for  
15 converting a received signal to an IF signal, a digital IF  
demodulator disposed on the substrate and coupled to the receiver  
for converting the IF signal to a demodulated baseband signal,  
and a transmitter disposed on the substrate operating in  
cooperation with the receiver to establish a two way  
20 communications path.

Many of the attendant features of this invention will be  
more readily appreciated as the same becomes better understood  
by reference to the following detailed description considered in  
connection with the accompanying drawings.

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DESCRIPTION OF THE DRAWINGS

These and other features and advantages of the present invention will be better understood from the following detailed description read in light of the accompanying drawings, wherein:

FIG. 1 is an illustration of a portion of the over-the-air broadcast spectrum allocations in the United States;

FIG. 2 is an illustration of the frequency spectrum of harmonic distortion products;

FIG. 3 is an illustration of a spectrum of even and odd order intermodulation distortion products;

FIG. 4 is an illustration of interference caused at the IF frequency by a signal present at the image frequency;

FIG. 5 is an illustration of a typical dual conversion receiver utilizing an up conversion and a subsequent down conversion;

OSCILLATOR FIGURES

FIG. 6 is a semi-schematic simplified timing diagram of differential signals, including a common mode component, as might be developed by a differential crystal oscillator in accordance with the invention;

FIG. 7 is a semi-schematic block diagram of a differential crystal oscillator, including a quartz crystal resonator and oscillator circuit differentially coupled to a linear buffer amplifier in accordance with the invention;

FIG. 8 is a simplified schematic illustration of differential signals present at the output of a crystal resonator;

FIG. 9 is a simplified schematic diagram of a quartz crystal resonator equivalent circuit;

FIG. 10 is a simplified graphical representation of a plot of impedance vs. frequency for a crystal resonator operating near resonance;

5 FIG. 11 is a simplified graphical representation of a plot of phase vs. frequency for a crystal resonator operating near resonance;

FIG. 12 is a simplified schematic diagram of the differential oscillator circuit of FIG. 7;

10 FIG. 13 is a simplified, semi-schematic block diagram of a periodic signal generation circuit including a crystal oscillator having balanced differential outputs driving cascaded linear and non-linear buffer stages;

15 FIG. 14 is a simplified schematic diagram of a differential folded cascade linear amplifier suitable for use in connection with the present invention;

FIG. 15 is a simplified, semi-schematic diagram of a differential nonlinear buffer amplifier suitable for use as a clock buffer in accordance with the invention;

20 FIG. 16 is a semi-schematic illustration of an alternative embodiment of the differential oscillator driver circuit;

FIG. 17 is a block diagram of a differential crystal oscillator as a reference signal generator in a phase-lock-loop;

25 FIG. 18 is a simplified block diagram of an illustrative frequency synthesizer that might incorporate the differential periodic signal generation circuit of the invention;

#### COARSE/FINE PLL TUNING FIGURES

FIG. 19 is a block diagram illustrating the exemplary frequency conversions for receiver tuning utilized in the embodiments of the invention;

30 FIG. 20 is a block diagram of an exemplary tuner designed to receive a 50 to 860 MHz bandwidth containing a multiplicity of channels;

FIG. 21 is an exemplary table of frequencies utilizing coarse and fine PLL tuning to derive a 44 MHz IF;

FIG. 22 is an illustration of an alternative embodiment of the coarse and fine PLL tuning method to produce an exemplary final IF of 36 MHz;

5 FIG. 23 is a block diagram of a dummy component used to model an operative component on an integrated circuit chip;

FILTER TUNING FIGURES

10 FIG. 24a is a block diagram of a tuning process;

FIG. 24b is a flow diagram of the tuning process;

FIG. 24c is an exemplary illustration of the tuning process;

FIG. 25 is a block diagram of an exemplary tuning circuit;

15 FIG. 26 illustrates the amplitude and phase relationship in an LC filter at resonance;

FIG. 27 is a schematic diagram showing the configuration of switchable capacitors in a differential signal transmission embodiment;

20 ACTIVE FILTER MULTI-TRACK INTEGRATED SPIRAL INDUCTOR FIGURES

FIG. 28a is a plan view of a multi-track spiral inductor suitable for integration onto an integrated circuit, such as one produced with a CMOS process;

25 FIGS. 28b-28g illustrate various planar devices comprising inductor and transformer configurations suitable for incorporating multiple tracks into their designs;

FIG. 28h is an illustration of a second embodiment of an inductor having a single winding comprising five tracks per layer;

30 FIG. 28i illustrates the placement of tracks in a layered structure;

FIG. 28j is an illustration of an embodiment utilizing a shield disposed beneath an inductor;

35 FIG. 28k is an illustration of a patterned shield 2864 that is utilized beneath a multi-track inductor;

FIG. 29 is an illustration of the effect of decreasing "Q" on the selectivity of a tuned circuit;

5 FIG. 30 is an illustration of a typical filter bank utilized in embodiments of the invention for filtering I and Q IF signals;

10 ACTIVE FILTER UTILIZING A LINEARIZED DIFFERENTIAL PAIR AMPLIFIER FIGURES

FIG. 31a is a diagram of an exemplary differential transconductance stage with an LC load;

15 FIG. 31b is a block diagram of a linearized differential pair amplifier that is coupled to distortion canceling linearization circuit;

FIG. 31c is an illustration depicting a representative channel of any one of the typical field effect of transistors M1, M2, M3, M4;

20 FIG. 31d is a block diagram showing the interconnection of a differential pair amplifier to a linearization circuit;

FIG. 31e is a schematic illustrating a CMOS differential pair of transistors;

25 FIG. 31f is a graph of a differential current ( $\Delta I_{1,2} = \Delta I_d$ ) and normalized transconductance ( $G_m/g_m$ ) as input voltage ( $V_{1,2} = \Delta V_i$ ) is varied in the differential pair of FIG. 31e;

FIG. 31g is a schematic diagram of a differential pair amplifier 3127 with a second cross coupled differential pair error amplifier added that tends to reduce distortion;

30 FIG. 31h is a graph illustrating The linearized output current of a cross coupled differential output amplifier;

FIG. 31i is a schematic of a differential pair amplifier incorporating two auxiliary cross-coupled differential pairs to improve linearization of the output response  $I_1$  and  $I_2$ ;

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5 FIG. 31j is a graph of the currents present in the main and two auxiliary differential pair amplifiers graphed against input voltage as measured across the input terminals where  $V_{in} = V_{i1} - V_{i2}$ ;

10 FIG. 31k is a graph of transconductance curves for the differential amplifier made up of a main differential pair amplifier 3103 and a linearization circuit comprising differential pair amplifiers;

FIG. 31l illustrates an equivalent circuit that provides an offset voltage  $V_{os}$  that permits shaping of The  $G_m^{Total}$  curve;

15 FIG. 31m is a graph of the transconductance curve for The exemplary differential pair amplifier that extends the input voltage range by allowing ripple in the overall  $G_m$  of the amplifier;

FIG. 32 shows a transconductance stage with an LC load and Q enhancement;

20 ACTIVE FILTER INDUCTOR Q TEMPERATURE COMPENSATION FIGURE

FIG. 33 shows a method of tuning inductor Q over temperature;

COMMUNICATIONS RECEIVER FIGURE

25 FIG. 34 is a block diagram of a communications network utilizing a receiver according to any one of the exemplary embodiments of the invention;

RECEIVER FRONT END-PROGRAMMABLE ATTENUATOR AND LNA FIGURES

30 FIG. 35 is an illustration of the input and output signals of the integrated switchless programmable attenuator and low noise amplifier;

35 FIG. 36 is a functional block diagram of the integrated switchless programmable attenuator and low noise amplifier circuit;

5 FIG. 37 is a simplified diagram showing the connection of multiple attenuator sections to the output of the integrated switchless programmable attenuator and low noise amplifier;

FIG. 38 is an illustration of an exemplary embodiment showing how the attenuator can be removed from the circuit so that only the LNAs are connected;

10 FIG. 39 is an attenuator circuit used to achieve one dB per step attenuation;

FIG. 40 is an exemplary embodiment of an attenuator for achieving a finer resolution in attenuation than shown in FIG. 5;

15 FIG. 41 is an illustration of the construction of series and parallel resistors used in the attenuator circuit of the integrated switchless programmable attenuator and low noise amplifier;

FIG. 42 is an illustration of a preferred embodiment utilized to turn on current tails of the differential amplifiers;

20 FIG. 43 is an illustration of an embodiment showing how the individual control signals used to turn on individual differential pair amplifiers are generated from a single control signal;

25 FIGS. 44a and 44b are illustrations of an embodiment of comparator circuitry used to activate individual LNA amplifier stages;

#### RECEIVER FREQUENCY PLAN AND FREQUENCY CONVERSION

#### LOCAL OSCILLATOR RELATIONSHIP FIGURE

30 FIG. 45a is a block diagram illustrating the exemplary generation of the local oscillator signals utilized in the embodiments of the invention;

#### NARROW BAND PLL2 AND VCO FIGURES

35 FIG. 45b is a block diagram that illustrates the relation of the VCO to the second LO generation by PLL2.

FIG. 45c is a block diagram of an embodiment of a VCO utilizing a tuning control circuit;

5 FIG. 45d is a block diagram of an embodiment of a VCO utilizing a tuning control circuit showing tuning control circuit interaction with major VCO components;

10 FIG. 45e is a schematic of a feedback network that allows the frequency of oscillation to be adjusted;

15 FIG. 45f is a schematic of a feedback network that allows the frequency of oscillation to be adjusted by varactor tuning including NMOS devices;

Fig. 45g is a graph of capacitance verses control voltage applied to an NMOS varactor;

20 FIG. 45h is a graph illustrating average capacitance achievable with an NMOS varactor;

FIG. 45i is a schematic of an embodiment of a VCO;

25 FIG. 45j is a schematic of an equivalent circuit of the VCO of FIG. 45i;

30 FIG. 45k is a schematic of a tuning control circuit controlling switched capacitors to center a varactor tuning range;

FIG. 46a is a schematic of a PLL having its VCO controlled by an embodiment of a VCO tuning control circuit;

35 FIG. 46b illustrates a pulse train output of the phase detector;

#### NARROW BAND VCO TUNING FIGURES

FIG. 47a is a process flow diagram illustrating the process 30 of tuning the VCO with an embodiment of a VCO control circuit;

FIG. 47b is a flow diagram of a PLL start up and locking process for an embodiment of the invention;

35 FIG. 47c is a graph of a family of frequency verses control voltage for various capacitor values that illustrates the use of

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comparator hysteresis to aid in achieving a frequency lock condition;

5 FIG. 47d is a graph of a family of frequency verses control voltage for various capacitor values that illustrates the use of dual comparator windows to aid in achieving a frequency lock condition;

10 RECEIVER FIGURES

FIG. 48 is a block diagram of the first exemplary embodiment of the invention;

FIG. 49 is an illustration of the frequency planning utilized in the exemplary embodiments of the invention;

15 FIG. 50 is a block diagram showing how image frequency cancellation is achieved in an I/Q mixer;

FIG. 51 is a block diagram of the second exemplary embodiment of the present invention;

20 FIG. 52 is a block diagram of the third exemplary embodiment of the present invention;

FIG. 53 is a block diagram of a CATV tuner that incorporates the fully integrated tuner architecture;

TELEPHONY OVER CABLE EMBODIMENT FIGURE

25 FIG. 54 is a block diagram of a low power embodiment of the receiver that has been configured to receive cable telephony signals.

ELECTRONIC CIRCUITS INCORPORATING EMBODIMENTS OF THE RECEIVER

30 FIGURES

FIG. 55 is a block diagram of a set top box that incorporates the receiver embodiments;

FIG. 56 is a block diagram of a television that incorporates the receiver embodiments;

FIG. 57 is a block diagram of a VCR that incorporates the receiver embodiments;

5 FIG. 58 is a block diagram of a cable modem that incorporates the integrated switchless programmable attenuator and low noise amplifier;

#### ESD PROTECTION FIGURES

10 FIG. 59 is an illustration of a typical integrated circuit die layout;

FIG. 60 illustrates an embodiment of the invention that utilizes pad ring power and ground busses;

15 FIG. 61 is an illustration of the connection of a series of power domains to a pad ring bus structure;

FIG. 62 is an illustration of an embodiment utilizing an ESD ground ring;

FIG. 63 is an illustration of the effect of parasitic circuit elements on an RF input signal;

20 FIG. 64 illustrates a cross-talk coupling mechanism;

FIG. 65 is an illustration of an ESD device disposed between a connection to a bonding pad and power supply traces;

FIG. 66 is an illustration of parasitic capacitance in a typical bonding pad arrangement on an integrated circuit;

25 FIG. 67 is an illustration of a embodiment of a bonding pad arrangement tending to reduce parasitic capacitances;

FIG. 68 illustrates a cross section of the bonding pad structure of FIG. 67;

FIGS. 69a-69e illustrate various ESD protection schemes 30 utilized in the state of the art to protect an integrated circuit from ESD discharge due to charge build up on a die pad;

FIG. 70 illustrates an approach to pad protection during ESD event;

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5 FIG. 71 is a schematic of a circuit immune to noise that uses an ggNMOS'  $C_{gd}$  and a gate boosting structure to trigger ESD protection;

FIG. 72 is a schematic of an alternative embodiment utilizing the gate boosting structure and a cascode configuration; and

10 FIG. 73 is a schematic of an embodiment that does not require a quiet power supply.

#### IF AGC AMPLIFIER FIGURES

FIG. 74 is a block diagram of a variable gain amplifier ("VGA");

15 FIG. 75, is a block diagram of the internal configuration of the VGA and the linearization circuit;

FIG. 76 is a graph of gain versus the control current  $i_{Sig}$ . Control current  $i_{Sig}$  is shown as a fraction of  $i_{Atten}$ , with the total current being equal to 1, or 100%;

20 FIG. 77 is the schematic diagram of an embodiment of the VGA. The VGA has a control circuit to control the  $V_{ds}$  of M10 and M13 at node 7505, and the  $V_{ds}$  of M4 and M14 at node 7507;

25 FIG. 78a illustrates a family of curves showing the relationship of a transistor's drain current (" $I_d$ ") to its gate source voltage (" $V_{gs}$ ") measured at each of a series of drain source voltages (" $V_{ds}$ ") from 50 mV to 1 V;

FIG. 78b is a graph of  $g_m$  verses  $V_{gs}$  as  $V_{ds}$  is varied from 50 mV to 1 V;

30 FIG. 78c is a graph of the cross-section of FIG. 78b plotting  $g_m$  verses  $V_{ds}$  for various values of  $V_{gs}$ ;

FIG. 79 is a schematic of a current steering circuit;

FIG. 80 is a schematic of a VD1 control signal generation circuit.

DIGITAL IF DEMODULATOR FIGURES

5 FIG. 81 is an is a block diagram of a typical prior art IF demodulator;

FIG. 82 is a frequency spectrum of a typical NTSC television signal;

10 FIG. 83 is a block diagram of an embodiment of a digital IF demodulator;

FIGS. 84a-84d are frequency spectra showing digital VIF demodulation and Nyquist filtering of an exemplary received band of television channels received at an IF frequency and presented to the VIF demodulator and Nyquist filter and the circuitry contained therein;

15 FIG. 85 is a spectrum of an NTSC television signal relative to the filter responses of filters 8327, 8337 and 8345;

DETAILED DESCRIPTION OF THE INVENTION

FIG. 1 is an illustration of a portion of the radio frequency spectrum allocations by the FCC. Transmission over a given media occurs at any one of a given range of frequencies that are suitable for transmission through a medium. A set of frequencies available for transmission over a medium are divided into frequency bands 102. Frequency bands are typically allocations of frequencies for certain types of transmission. For example FM radio broadcasts, FM being a type of modulation, is broadcast on the band of frequencies from 88 MHz to 108 MHz 104. Amplitude modulation (AM), another type of modulation, is allocated the frequency band of 540 kHz to 1,600 kHz 106. The frequency band for a type of transmission is typically subdivided into a number of channels. A channel 112 is a convenient way to refer to a range of frequencies allocated to a single broadcast station. A station broadcasting on a given channel may transmit one or more radio frequency (RF) signals within this band to convey the information of a broadcast. Thus, several frequencies

transmitting within a given band may be used to convey information from a transmitter to a broadcast receiver. For 5 example, a television broadcast channel broadcasts its audio signal(s) 108 on a frequency modulated (FM) carrier signal within the given channel. A TV picture (P) 110 is a separate signal broadcast using a type of amplitude modulation (AM) called vestigial side band modulation (VSB), and is transmitted within 10 this channel.

In FIG. 1 channel allocations for a television broadcast band showing the locations of a picture and a sound carrier frequencies within a channel are shown. Each channel 112 for television has an allocated fixed bandwidth of 6 MHz. The 15 picture 110 and sound 108 carriers are assigned a fixed position relative to each other within the 6 MHz band. This positioning is not a random selection. The picture and sound carriers each require a predetermined range of frequencies, or a bandwidth (BW) to sufficiently transmit the desired information. Thus, a 20 channel width is a fixed 6 MHz, with the picture and sound carrier position fixed within that 6 MHz band, and each carrier is allocated a certain bandwidth to transmit its signal.

In FIG. 1 it is seen that there are gaps between channels 114, and also between carrier signals 116. It is necessary to 25 leave gaps of unused frequencies between the carriers and between the channels to prevent interference between channels and between carriers within a given channel. This interference primarily arises in the receiver circuit that is used to receive these radio frequency signals, convert them to a usable frequency, and 30 subsequently demodulate them.

Providing a signal spacing allows the practical design and implementation of a receiver without placing unrealistic requirements on the components in the receiver. The spaces help prevent fluctuations in the transmission frequency or spurious 35 responses that are unwanted byproducts of the transmission not

to cause interference and signal degradation within the receiver. Also, signal spacing allows the design requirements of frequency 5 selective circuits in the receiver to be relaxed, so that the receiver may be built economically while still providing satisfactory performance. These spectrum allocations and spacings were primarily formulated when the state of the art in receiver design consisted of discrete components spaced 10 relatively far apart on a printed circuit board. The increasing trend towards miniaturization has challenged these earlier assumptions. The state of the art in integrated circuit receiver design has advanced such that satisfactory performance must be achieved in light of the existing spectrum allocations and 15 circuit component crowding on the integrated circuit. New ways of applying existing technology, as well as new technology are continually being applied to realize a miniaturized integrated receiver that provides satisfactory performance. Selectivity is a principal measure of receiver performance. Designing for 20 sufficient selectivity not only involves rejecting other channels, but the rejection of distortion products that are created in the receiver or are part of the received signal. Design for minimization or elimination of spurious responses is 25 a major objective in state of the art receiver design.

FIG. 2 is an illustration of harmonic distortion products. Transmitted spurious signals, and spurious signals generated in a receiver, most commonly consist of harmonics created by one frequency and intermodulation distortion, created by the interaction of multiple frequencies. Spurious signals at other 30 than the desired frequency arise from the inherent nonlinear properties in the circuit components used. These nonlinearities can not be eliminated, but by careful engineering the circuitry can be designed to operate in a substantially linear fashion.

When a single frequency called a fundamental 202 is 35 generated, unwanted spurious signals 204 are always generated

with this fundamental. The spurious signals produced as a result of generating a single frequency ( $f$ ) 202 are called harmonics 204 and occur at integer multiples of the fundamental frequency ( $2f$ , 5  $3f$ , ...) The signal strength or amplitude of these harmonics decrease with increasing harmonic frequency. Fortunately these distortion products fall one or more octaves away from the desired signal, and can usually be satisfactorily filtered out 10 with a low pass filter that blocks all frequencies above a pre-selected cut-off frequency. However, if the receiver is a wide band or multi octave bandwidth receiver, these harmonics will fall within the bandwidth of the receiver and cannot be low pass filtered, without also filtering out some of the desired signals. 15 In this case, other methods known to those skilled in the art, such as reducing the distortion products produced, must be used to eliminate this distortion.

Radio signals do not exist in isolation. The radio frequency spectrum is populated by many channels within a given 20 band transmitting at various frequencies. When a radio circuit is presented with two or more frequencies, these frequencies interact, or intermodulate, to create distortion products that occur at known frequency locations.

FIG. 3 is an illustration of intermodulation distortion 25 products. Whenever two or more frequencies are present they interact to produce additional spurious signals that are undesired. FIG. 3 illustrates a spurious response produced from the interaction of two signals,  $f_1$  302 and  $f_2$  304. This particular type of distortion is called intermodulation 30 distortion (IMD). These intermodulation distortion products 306 are assigned orders, as illustrated. In classifying the distortion the IM products are grouped into two families, even and odd order IM products. Odd order products are shown in FIG. 3.

In a narrow band systems the even order IM products can be easily filtered out, like harmonics, because they occur far from 5 the two original frequencies. The odd order IM products 306 fall close to the two original frequencies 302, 304. In a receiver these frequencies would be two received signals or a received channel and a local oscillator. These products are difficult to remove. The third order products 306 are the most problematic 10 in receiver design because they are typically the strongest, and fall close within a receiver's tuning band close to the desired signal. IM distortion performance specifications are important because they are a measure of the receiver's immunity to strong out of band signal interference.

15 Third order products 308 occur at  $(f_1 - \Delta f)$  and at  $(f_2 + \Delta f)$ , where  $\Delta f = f_2 - f_1$ . These unwanted signals may be generated in a transmitter and transmitted along with desired signal or are created in a receiver. Circuitry in the receiver is required to block these signals. These unwanted spurious responses arise 20 from nonlinearities in the circuitry that makes up the receiver.

The circuits that make up the receiver though nonlinear are capable of operating linearly if the signals presented to the receiver circuits are confined to signal levels within a range that does not call for operation of the circuitry in the 25 nonlinear region. This can be achieved by careful design of the receiver.

For example, if an amplifier is over driven by signals presented to it greater than it was designed to amplify, the output signal will be distorted. In an audio amplifier this 30 distortion is heard on a speaker. In a radio receiver the distortion produced in nonlinear circuits, including amplifiers and mixers similarly causes degradation of the signal output of the receiver. On a spectrum analyzer this distortion can be seen; levels of the distortion increase to levels comparable to 35 the desired signal.

5 While unwanted distortion such as harmonic distortion, can be filtered out because the harmonics most often fall outside of the frequency band received, other distortion such as intermodulation distortion is more problematic. This distortion falls within a received signal band and cannot be easily filtered out without blocking other desired signals. Thus, frequency planning is often used to control the location of distortion signals that 10 degrade selectivity.

15 Frequency planning is the selection of local oscillator signals that create the intermediate frequency (IF) signals of the down conversion process. It is an analytical assessment of the frequencies being used and the distortion products associated with these frequencies that have been selected. By evaluating the distortion and its strength, an engineer can select local oscillator and IF frequencies that will yield the best overall receiver performance, such as selectivity and image response. In designing a radio receiver, the primary problems encountered 20 are designing for sufficient sensitivity, selectivity and image response.

25 Selectivity is a measure of a radio receiver's ability to reject signals outside of the band being tuned by a radio receiver. A way to increase selectivity is to provide a resonant circuit after an antenna and before the receiver's frequency conversion circuitry in a "front end." For example, a parallel resonant circuit after an antenna and before a first mixer that can be tuned to the band desired will produce a high impedance to ground at the center of the band. The high impedance will 30 allow the antenna signal to develop a voltage across this impedance. Signals out of band will not develop the high voltage and are thus attenuated.

35 The out of band signal rejection is determined by a quality factor or "Q" of components used in the resonant circuit. The higher the Q of a circuit in the preselector, the steeper the

5 slope of the impedance curve that is characteristic of the preselector will be. A steep curve will develop a higher voltage at resonance for signals in band compared to signals out of band. For a resonant circuit with low Q a voltage developed across the resonant circuit at a tuned frequency band will be closer in value to the voltage developed across the resonant circuit out of band. Thus, an out of band signals would be closer in 10 amplitude to an in band signals than if a high Q circuit were constructed.

15 This type of resonant circuit used as a preselector will increase frequency selectivity of a receiver that has been designed with this stage at its input. If an active preselector circuit is used between an antenna and frequency conversion stages, the sensitivity of the receiver will be increased as well as improving selectivity. If a signal is weak its level will be close to a background noise level that is present on an antenna in addition to a signal. If this signal cannot be separated from 20 the noise, the radio signal will not be able to be converted to a signal usable by the receiver. Within the receiver's signal processing chain, the signal's amplitude is decreased by losses at every stage of the processing. To make up for this loss the signal can be amplified initially before it is processed. Thus, 25 it can be seen why it is desirable to provide a circuit in the receiver that provides frequency selectivity and gain early in the signal processing chain.

30 Radio frequency tuners are increasingly being designed with major portions of their circuitry implemented as an integrated circuit. In the state of the art to minimize distortion products created in the receiver, exotic materials such as gallium arsenide (GaAs) are used. A receiver implemented on this type of material will typically have lower distortion and noise present than in a similarly constructed receiver constructed on 35 silicon. Silicon, is an attractive material due to its low cost.

In addition, a CMOS circuit implemented on silicon has the additional benefit of having known processing characteristics 5 that allow a high degree of repeatability from lot to lot of wafers. The state of the art has not achieved a completely integrated receiver in CMOS circuitry. A reason for this is the difficulty of eliminating receiver distortion and noise.

The distortion products discussed above that are created in 10 the receiver can, in the majority of cases, also be reduced by setting an appropriate drive level in the receiver, and by allowing a sufficient spacing between carriers and channels. These receiver design parameters are dependent upon many other factors as well, such as noise present in the system, frequency, 15 type of modulation, and signal strength among others. Noise is one of the most important of these other parameters that determines the sensitivity of the receiver, or how well a weak signal may be satisfactorily received.

Noise is present with the transmitted signal, and also 20 generated within a receiver. If excessive noise is created in a receiver a weak signal may be lost in a "noise floor". This means that the strength of the received signal is comparable to the strength of the noise present, and the receiver is incapable of satisfactorily separating a signal out of this background 25 noise, or floor. To obtain satisfactory performance a "noise floor" is best reduced early in a receiver's chain of circuit components.

Once a signal is acquired and presented to a receiver, in 30 particularly an integrated receiver with external pins, additional noise may be radiated onto those pins. Thus, additional added noise at the receiver pins can degrade the received signal.

In addition to the noise that is present on an antenna or a cable input to a receiver, noise is generated inside the radio 35 receiver. At a UHF frequency range this internal noise

predominates over the noise received with the signal of interest. Thus, for the higher frequencies the weakest signal that can be detected is determined by the noise level in the receiver. To increase the sensitivity of the receiver a "pre-amplifier" is often used after an antenna as a receiver front end to boost the signal level that goes into the receiver. This kind of pre-amplification at the front end of the amplifier will add noise to the receiver due to the noise that is generated inside of this amplifier circuit. However, the noise contribution of this amplifier can be minimized by using an amplifier that is designed to produce minimal noise when it amplifies a signal, such as an LNA. Noise does not simply add from stage to stage; the internal noise of the first amplifier substantially sets the noise floor for the entire receiver.

In calculating a gain in a series of cascaded amplifiers the overall gain is simply the sum of the gains of the individual amplifiers in decibels. For example, the total gain in a series of two amplifiers each having a gain of 10 dB is 20 dB for a overall amplifier. Noise floor is commonly indicated by the noise figure (NF). The larger the NF the higher the noise floor of the circuit.

A cascaded noise figure is not as easily calculated as amplifier gain; its calculation is non-intuitive. In a series of cascaded amplifiers, gain does not depend upon the positioning of the amplifiers in the chain. However, in achieving a given noise figure for a receiver, the placement of the amplifiers is critical with respect to establishing a receiver's noise floor.

30 In calculating the noise figure for an electronic system Friis'

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equation is used to calculate the noise figure of the entire system. Friis' equation is:

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$$NF_{total} = NF_1 + \frac{NF_2 - 1}{G_1} + \frac{NF_3 - 1}{G_1 G_2} + \dots + \frac{NF_n - 1}{G_1 G_2 \dots G_n} \quad (1)$$

10  $NF_{total}$  = system noise figure

$NF_1$  = noise figure of stage-1

$NF_2$  = noise figure of stage-2

$NF_n$  = noise figure of stage-nth

$G_1$  = gain of stage-1

$G_2$  = gain of stage-2

15  $G_n$  = gain of nth stage

What can be seen from this equation is that the noise figure of a first stage is the predominant contributor to a total noise figure. For example, the noise figure of a system is only increased a small amount when a second amplifier is used. Thus, it can be seen that the noise figure of the first amplifier in a chain of amplifiers or system components is critical in maintaining a low noise floor for an entire system or receiver. A low NF amplifier typically requires a low noise material for 20 transistors, such as gallium arsenide. Later amplifiers that do not contribute significantly to the noise, are constructed of a cheaper and noisier material such as silicon.

The initial low noise amplifiers are typically constructed from expensive materials such as gallium arsenide to achieve 30 sufficient performance. Gallium arsenide requires special processing, further adding to its expense. Additionally, GaAs circuits are not easily integrated with silicon circuits that make up the bulk of the receivers in use. It would be desirable to achieve identical performance with a less costly material, 35 such as silicon. Silicon requires less costly processing.

Further it is advantageous if a standard process, such as CMOS, could be used to achieve the required low noise design. Given  
5 the trend towards miniaturization and high volume production, it is highly desirable to be able to produce an integrated receiver with a low noise floor on silicon.

Within a receiver the layout and spacing of circuitry is critical to avoid the injection of noise generated in other  
10 portions of the circuit onto a received signal. If a tuner is placed on a semiconductor substrate noise generated in the substrate itself will interfere with, and degrade the received signal, this has been a problem preventing complete integration of a receiver on silicon.

15 Historically low noise substrates, fabricated from exotic and costly materials such as gallium arsenide have been used to reduce noise generated by the semiconductor substrate. However, it would be advantageous to be able to fabricate a receiver on a single CMOS substrate. CMOS advantageously is a known process  
20 that may be implemented economically for volume production. Currently a receiver fabricated completely in CMOS has not been available without utilizing external components in the received signal path. Each time the signal is routed on or off of the integrated circuit additional opportunities for the introduction  
25 of noise into a signal path are provided. Minimizing this introduction of noise is an ongoing problem in receiver design.

After preselection and low noise amplification that is performed in a front end of a receiver, the signal next enters the receiver's frequency conversion circuitry. This circuitry  
30 takes channels that have been passed through the front end and converts one of the selected channel's frequencies down to one or more known frequencies ( $f_{IF}$  or IFs). This frequency conversion is accomplished through the use of a circuit called  
35 a mixer that utilizes a local oscillator signal ( $f_{LO}$ ), usually generated in the receiver, to tune a received channel to an IF

frequency while blocking the other channels. Spurious signals, previously described, are produced in this receiver circuitry, 5 and an additional problem known as "image response" is encountered that must be considered in the receiver's design.

It is well known to those skilled in the art that when two sinusoidal signals of differing frequencies are multiplied together by their application to a nonlinear device, such as a 10 mixer, that signals of a differing frequency are produced. A mixer has three ports:  $f_{RF}$  receives a low level radio frequency signal that contains the desired modulation,  $f_{LO}$  is a high level signal from a local oscillator, and  $f_{IF}$  is the resultant mixer product or intermediate frequency produced. These frequencies 15 are related:

$$f_{IF} = mf_{RF} \pm nf_{LO} \quad (2)$$

where  $m=0, 1, 2, 3, \dots$  and

$n=0, 1, 2, 3, \dots$

20 In a typical first order circuit ( $m=n=1$ ) four frequencies are produced:  $f_{RF}$ ,  $f_{LO}$ ,  $f_{IFLO}=f_{RF}-f_{LO}$  and  $f_{IFHI}=f_{RF}+f_{LO}$ . A  $f_{IFLO}$  and  $f_{IFHI}$  being termed intermediate frequencies. In receivers the common practice is to select either the sum or difference IF frequency 25 by filtering out the undesired one. Since both signals contain the same information, only one is needed in the subsequent circuitry.

One or more mixers are advantageously used in radio 30 receivers to convert a high frequency radio signal which is received into a lower frequency signal that can be easily processed by subsequent circuitry. Mixers are also used to tune multiple channels, so that different tuned circuits are not required for each channel. By changing a local oscillator frequency, differing radio frequencies received can be tuned to 35 produce a constant intermediate frequency value regardless of the

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frequency of the received channel. This means that circuit  
components used to process the intermediate frequency may be  
5 fixed in value, with no tuning of capacitors or coils required.  
Thus, circuits in an IF strip are all fixed-tuned at an IF  
frequency. A receiver constructed in this manner, using one or  
more frequency conversions, is called a superheterodyne radio  
receiver.

10 A disadvantage of a superheterodyne radio receiver is that  
any of the one or more local oscillators within the receiver also  
acts as a miniature transmitter. A receiver "front end"  
alleviates this problem by isolating an antenna from the  
remaining receiver circuitry.

15 By positioning a radio frequency amplifier between the  
antenna and the frequency converting stages of a receiver,  
additional isolation between the receiver circuitry and the  
antenna is achieved. The presence of an amplifier stage provides  
attenuation for any of the one or more local oscillator signals  
20 from the frequency conversion stages that are radiated back  
towards the antenna or a cable distribution network. This  
increased isolation has the benefit of preventing radiation of  
a local oscillator signal out the antenna which could cause radio  
frequency interference from a local oscillator. If radiated  
25 these and other signals present could create interference in  
another receiver present at another location.

FIG. 4 is an illustration that shows an image frequency's  
402 relation to other signals present 404, 406, 408 at a mixer.  
Image frequency suppression is an important parameter in a  
30 receivers design. In a radio receiver two frequencies input to  
a radio receiver 404, 406 will yield a signal at the IF frequency  
408. A receiver will simultaneously detect signals at the  
desired frequency 404 and also any signals present at an  
undesired frequency known as the image frequency 402. If there  
35 is a signal present at the image frequency, it will translate

down to the IF frequency 408 and cause interference with the reception of the desired channel. Both of these signals will be  
5 converted to the IF frequency unless the receiver is designed to prevent this. The image frequency 402 is given by:

$$f_I = f_{RF} + 2f_{IF} \quad (3)$$

10 where  $f_I$  is the image frequency. This is illustrated in FIG. 4. A frequency that is spaced the IF frequency 410 below the local oscillator frequency ( $f_{RF}$ ) 404, and a frequency that is spaced the intermediate frequency 412 above the local oscillator signal ( $f_I$ ) 402, will both be converted down to the intermediate frequency ( $f_{IF}$ ) 408. The usual case is that a frequency that occurs lower than the local oscillator signal is the desired signal. The signal occurring at the local oscillator frequency plus the intermediate frequency 402 is an unwanted signal or noise at that frequency that is converted to the IF frequency causing interference with the desired signal.  
15  
20

In FIG. 4 the exemplary 560 KHz signal 404 is a radio station that the tuner is tuned to receive. The exemplary 1470 KHz signal 402 is another radio station transmitting at that particular frequency. If a designer of the receiver had picked  
25 an exemplary local oscillator signal of 1015 KHz 406 then both of these radio stations would be simultaneously converted to an exemplary IF frequency of 455 KHz 408. The person listening to the radio would simultaneously hear both radio programs coming out of his speaker. This illustrates the need for the careful selection of local oscillator frequencies when designing a radio receiver. The selection of local oscillator frequencies is a part of frequency planning and used by those skilled in the art to design a receiver that will provide frequency conversions needed with minimal distortion.  
30

5 FIG. 5 illustrates a dual (or double) conversion receiver  
10 502. Such a multiple conversion receiver allows selectivity,  
15 distortion and stability to be controlled through a judicious  
frequency planning. In the double conversion receiver 502 a  
received signal 504 is first mixed 506 to a first intermediate  
frequency, and then mixed 508 down to a second intermediate  
frequency. In this type of receiver the first IF frequency is  
made to be high so that a good image rejection is achieved. The  
second IF is made low so that good adjacent channel selectivity  
is achieved.

15 If the first IF frequency is low an image frequency falls  
higher in frequency, or closer to the center of a pass band of  
20 an RF selectivity curve of a receiver "front end," 510 and  
undergoes little attenuation. If the IF frequency is high the  
image frequency falls far down on the skirt of the RF selectivity  
25 curve for the receiver "front end" receiving a required  
attenuation. Thus, the selectivity of the receiver acts to  
attenuate the image frequency when a high IF frequency is used.  
As an added benefit a high image frequency provides less of a  
chance for interference from a high powered station. This is  
because at higher frequencies transmitted power is often lower  
increases.

30 A low second IF frequency produces a good adjacent channel  
selectivity. Frequency spacing between adjacent channels is  
fixed. To prevent interference from adjacent channels the  
receiver must possess a good selectivity. Selectivity can be  
achieved through a RF tuned circuit, and more importantly by the  
superior selectivity provided by a frequency conversion process.  
The selectivity improvement given by using a low IF is shown by  
35 considering a percent separation of a desired and an undesired  
signal relative to total signal bandwidth. If a separation  
between the desired and undesired signals is constant a second

IF signal falling at the lower frequency will give a larger percent separation between the signals. As a result it is easier  
5 to distinguish between IF signals that are separated by a larger percentage of bandwidth. Thus, the judicious selection of two intermediate frequencies in a double conversion receiver is often used to achieve a given design goal, such as image frequency rejection and selectivity.

10 Additionally, the use of a second IF frequency allows gain in the receiver to be distributed evenly. Distributing gain helps prevent instability in the receiver. Instability usually is seen as an oscillating output signal 512. Distributing the gain among several IF amplifiers 514, 516, 518 reduces the chance  
15 of this undesirable effect. Often to further distribute the gain required in a system design a third frequency conversion, and a third IF frequency, will be utilized.

20 After a receiver front end that possibly contains a low noise amplifier, additional amplifiers are often seen in the various IF strips. An amplifier in an IF strip does not require frequency tuning and provides signal gain to make up for signal losses, encountered in processing a received signal. Such losses can include conversion loss in mixers and the insertion loss  
25 encountered by placing a circuit element, such as a filter or an isolator in the IF strip.

30 In receivers filters are used liberally to limit unwanted frequencies that have been escaped previous elimination in a "front end," or to eliminate unwanted frequencies that have been created immediately preceding a filter. In addition to attenuating unwanted frequencies, a desired signal will also undergo some attenuation. This attenuation results from an insertion loss of a filter, or some other component, and if uncompensated, will degrade a signal. This is especially true when a series of filters are cascaded, since the effect is  
35 additive.

Often a series of multiple filters are cascaded in a given  
5 IF strip. These filters typically have an identical response  
characteristic. The cascaded filters are used to increase the  
selectivity of the receiver. While it is true that the insertion  
loss in the pass band is the sum of individual filter insertion  
losses, as measured in decibels, a rejection improvement obtained  
10 outside of the pass band is the sum of the rejections at the  
given frequency. Thus, three cascaded filters, each having an  
insertion loss of .01 dB at a center frequency, would have a  
total insertion loss of .03 dB. If the rejection in the stop  
band, a given frequency away from the center frequency of the  
filter, were 20 dB, then a total rejection for 3 cascaded filters  
15 would be 60 dB, a great improvement in filter selectivity.

In choosing intermediate frequencies for IF strips in the  
receiver, no concrete design guidelines exist. Also because of  
a wide variance in design goals that are encountered in receiver  
design, concrete methodologies do not exist. Each receiver must  
20 be uniquely engineered to satisfy a series of system design goals  
taking into consideration design tradeoffs that must be made.  
In the current state of the art, design tradeoffs, and design  
methodologies used have been directed to integrating all parts  
of the receiver except for frequencies selective components. The  
25 conventional wisdom in receiver design is that filters are not  
easily integrated onto a silicon substrate and that filtering is  
best done off of a chip.

Some general design guidelines exist to aid an RF engineer  
in designing a receiver. One such rule is that designing for  
30 receiver selectivity is more important than designing for  
receiver sensitivity. Thus, when faced with conflicting design  
choices, the more desirable choice is to provide a design that  
will separate adjacent channels that interfere with each other  
rather than to design a receiver capable of picking up the  
35 weakest channels. Another rule of thumb in choosing intermediate

5 frequencies is to choose the first intermediate frequency at twice the highest input frequency anticipated. This is to reduce  
10 the possibility of spurious second order intermodulation distortion. Depending upon a system performance desired, this rule can even be more restrictive, requiring an IF at greater than three times the highest input frequency. Thus, it may be seen that a wide variety of performance requirements exist in a receiver circuit, and that the range of choices for a given criteria may be utilized by those skilled in the art to produce a unique design that meets the challenges posed by an increasing trend towards integration.

15 When more than one IF is present in a receiver there is an image frequency associated with each IF that must be considered in the design. A good receiver provides an image rejection greater than 70 dB.

20 One of the first considerations in frequency planning a superheterodyne receiver is the selection of IF conversions. A frequency range of the local oscillator needs to be determined to establish the locations of spurious responses of various orders. Two choices are possible for each of two possible LO frequency and the selection is not subject to an easy generalization. The two available frequencies are the absolute  
25 value of the quantity  $|f_{RF} \pm f_{IF}| = f_{LO}$ . Selection depends on RF bands chosen to be received and frequencies present in these bands, the availability of fixed bandwidth filters at a desired IF and constraints imposed upon an engineer by the limitations of a material that will be used to fabricate a receiver.

30 Receiver planning is a process that is centered upon frequency planning and receiver level diagrams. After initial frequency selections for a frequency plan are made, a receiver level plan is used to calculate noise figures, intercept points (IP) and levels of spurious responses. Each is evaluated in  
35 light of design requirements. After each set of selections

5 performance is evaluated and a next set of parameter selections  
is made until an appropriate compromise in receiver performance  
is achieved.

10 Once frequency planning and a level diagram yield a  
satisfactory design solution these tools are used to guide a  
detailed receiver design. Once parameters of a section of a  
receiver are defined, an engineer can use various circuit  
implementations to achieve a stated design goal. For example a  
15 frequency plan and level diagram may require a band pass filter  
with certain characteristics such as bandwidth, center frequency  
and insertion loss. The engineer would then either pick a single  
filter that meets all of these requirements or cascade one or  
more filters such that a composite response will yield the  
required design value.

20 Needless to say experience and knowledge of available  
technology plays a large part in achieving a successful receiver  
design blueprint. An engineer must have a rough idea of  
component availability and design methodologies that will yield  
a certain performance. If the engineer specifies a portion of  
the receiver that has performance characteristics that are not  
achievable with available components or design methods, then an  
impractical and unproduceable design has been proposed requiring  
25 replanning the architecture of the receiver.

30 A design process and a result achieved is very dependent  
upon technology available, materials and methodologies known at  
the time. New improvements in design techniques, computer  
simulation, processing and a push for increased miniaturization  
continually fuel achievement of new and innovative receiver  
designs to solve technological problems.

35 Once frequency conversions have been chosen and a receiver  
designed, with the distortion products created in the receiver  
found acceptable, the next step in receiver design is to design  
circuitry that will generate one or more local oscillator

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signals. These signals could be provided by a source that is external to a chip. However, this would not be practical in seeking to miniaturize an overall receiver design. A better approach is to generate the local oscillator frequencies near the receiver. In reducing an entire receiver onto a single chip, problems in maintaining signal purity, and stability are encountered.

10 An innovation that has allowed increased miniaturization in receiver design is the development of frequency synthesis. Local oscillator signals are required in receivers utilizing frequency conversion. These signals must be tunable and stable. A stable frequency is easily produced by a quartz crystal at a single frequency. A tunable frequency can be produced by an LC type oscillator. However, this LC oscillator does not have sufficient stability. Additionally using a large number of crystals to generate a range of local oscillator signals, or inductors required in an LC oscillator do not allow an easily miniaturized design. Frequency synthesis is space efficient.

15 Variable frequency local oscillator signals used in a receiver must be generated by appropriate circuits. These frequency synthesis techniques derive variable LO signals from a common stable reference oscillator. A crystal oscillator has 20 a stable frequency suitable for use in a synthesizer.

25 Oscillators may provide a fixed or a variable output frequency. This fixed or variable frequency may be used for frequency conversion in a receiver as a local oscillator that is used to mix a received radio frequency (RF) input down to an intermediate frequency or a base band signal that is more easily processed in the following circuitry. Another way that a received signal can be converted down to a base band or intermediate frequency signal is by using frequency synthesizer outputs as local oscillator signals to mix the signal down. 30 35 Synthesizers provide accurate, stable and digitally programmable

frequency outputs, without the use of multiple oscillators to tune across a band. Accuracy is maintained by using feed back.

5 Three general techniques are used for frequencies synthesis. Direct synthesizers use frequency multipliers, dividers and mixers. Indirect synthesizers use phase-locked loops. Direct digital synthesizers use digital logic combined with a digital to analog converter to provide an analog output. Some designs 10 combine the three techniques.

A direct synthesizer will use a frequency reference such as a crystal oscillator as disclosed in FIG. 5 to generate a reference frequency. To achieve a desired output frequency, the reference frequency is multiplied through a series of 15 multipliers. Dividers may be used similarly to reduce the frequency output to the desired lesser value. Additionally, two signals generated from the chain of multipliers and dividers can be fed into a mixer to generate a third frequency. The mix and divide direct synthesis approach permits the use of many 20 identical modules that produce fine resolution with low spurious output.

Indirect synthesis can take several forms. It can use divide by N to produce one or more of the digits, and mix and divide with loops imbedded among circuits. In each form of 25 frequency synthesizer, the loops contained in it are governed by a derivative of a reference frequency. Indirect synthesis can be used to generate a frequency of  $\left(\frac{N}{M}\right) f_{in}$ . Circuits of this type are often used as local oscillators for digitally tuned radio and television receivers.

30 Indirect synthesizers make use of a number of phase locked loops (PLLs) in order to create a variety of frequency outputs. Each loop present in the system makes use of a common frequency reference provided by a single oscillator. Frequency synthesizers provide the advantage of being digitally programmable to a

desired frequency as well as providing an extremely stable frequency.

5 Frequency stability in a synthesizer is achieved with phase locked loops. A phase locked loop is programmed to generate a desired frequency. Once it approximates the frequency, the frequency is divided down to the value of a reference frequency, provided by an external oscillator, and compared to that  
10 reference frequency. When the difference reaches zero the phase locked loop stops tuning and locks to the frequency that it has just produced. The frequency reference used to tune the phase locked loop is typically provided by a single frequency oscillator circuit.

15 Frequency synthesizers in a radio frequency receiver often incorporate two phase locked loops. One PLL is used to provide coarse tuning within the frequency band of interest while the second PLL provides fine tuning steps.

20 In using this scheme, a coarse tuning must be such that a desired channel will initially fall within the selectivity of the receiver to produce a signal output. It would be an advantage in receiver design if tuning speed could be increased so that initially several channels would fall within the selectivity of the receiver. Tuning in this manner would allow an output to be  
25 created with an extremely coarse tuning range that could be dynamically adjusted. Currently this type of tuning is not seen in the state of the art.

30 Typically PLLs use a common reference frequency oscillator. Local oscillator signals produced by a frequency synthesizer's phase locked loops inject noise produced in the reference frequency oscillator and the PLLs into the signal path by way of a PLL output.

35 A range of output frequencies from a synthesizer can span many decades, depending on the design. A "resolution" of the synthesizer is the smallest step in frequency that can be made.

5 Resolution is usually a power of 10. A "lock up time" of the synthesizer is the time it takes a new frequency to be produced once a command has been made to change frequencies.

The more accurate the frequency required the longer the lock up time. The reduction of the lock up time is a desirable goal in synthesizer design. A modern trend is to use frequency synthesis in wide band tuners. To tune across a wide band width 10 quickly the lock up time must be minimized. Current state of the art tuning times for jumps in frequencies can be as short as several microseconds. This is difficult to do when the required increment in frequency adjustment is small. In the state of the art indirect synthesis is capable of producing multi digit 15 resolution. However, indirect synthesis is not capable of providing micro second switching speeds. For faster switching speeds direct analog and direct digital technologies are used. Therefore, it is desirable to construct an indirect frequency synthesizer that provides high resolution and improved switching speed.

20 The present embodiments of the invention allow all channel selectivity and image rejection to be implemented on an integrated circuit. Integration is achievable by utilizing differential signal transmission, a low phase noise oscillator, 25 integrated low Q filters, filter tuning, frequency planning, local oscillator generation and PLL tuning to achieve a previously unrealized level of receiver integration.

30 The embodiments of the invention advantageously allow a LC filters to be integrated on a receiver chip, resulting in an integrated circuit that contains substantially the entire receiver. By advantageously selecting a frequency plan, and utilizing the properties of complex mixers, an architecture is achieved that allows LC filters to be integrated on a receiver chip so that acceptable performance is produced when converting

a received signal to one having a lower frequency that is easily processed.

5 The embodiments utilize particular aspects of an arbitrarily defined input spectrum to first shift the received frequencies to a higher frequency in order that interference may be more easily eliminated by filtering and then shifting the spectrum to a nominal IF for processing. This first shifting process  
10 advantageously shifts interfering image signals away from a center frequency of a first LC filter bank so that the LC filter bank is more effective in reducing the interfering signal strength. To further reduce the interfering signal strength, multiple LC filters that are tuned to the same frequency are  
15 cascaded, further reducing the interfering signal strength.

To reduce degradation of the desired signal the exemplary embodiments of the invention utilize a complex mixing stage following an LC filter bank to reduce the image frequency interference by an additional amount that might be necessary to meet a particular image rejection target (i.e., an about 60 dB to 20 65 dB rejection target). A complex mixer creates a signal as a result of its normal operation that cancels an image frequency interference by the remaining amount needed to achieve satisfactory performance with LC filters.

25 The ultimate goal of a receiver is to reduce the frequency of an incoming signal to a frequency that is lower than received, so that processing of the desired signal can be easily achieved. The receiver architecture utilizes two frequency down conversions to achieve this goal. Each frequency conversion is susceptible  
30 to interference that requires filtering. Frequency planning as described above used in conjunction with LC filters and complex mixers, provides the required image distortion rejection that allows LC filters to be used advantageously in an integrated receiver.

5 Radio receivers require one or more local oscillator (LO) signals in order to accomplish frequency conversion to an intermediate (IF) frequency. In a typical receiver these local oscillator signals must be stable and free from noise. When a receiver is fabricated as an integrated circuit, the chances of injecting noise via the LO signals increases. Local oscillator signals for a receiver are typically generated in close proximity 10 to the frequency conversion circuitry. The close proximity of this frequency generation circuitry to the signal path creates an increased likelihood of noise being radiated or conducted to cause interference with the received signal.

15 In order to achieve improved noise immunity the exemplary embodiments of the invention may utilize circuitry to generate the local oscillator signals that possess superior noise performance. The local oscillator signals may also be advantageously transmitted differentially to the mixers present on the integrated circuit. It should be noted that in alternate 20 embodiments of the invention that a single ended output can be produced from the differential signal by various techniques known in the art. This technique is used advantageously whenever external connections to the receiver are required that are single ended.

25

#### OSCILLATOR

An exemplary embodiment of the present invention utilizes a differential oscillator having low phase noise or jitter and high isolation, as a frequency reference that substantially 30 increases the performance of a tuner architecture integrated onto a single silicon substrate.

In accordance with the present invention, a crystal oscillator circuit is provided and constructed so as to define a periodic, sinusoidal, balanced differential signal across two 35 symmetrical terminals of a crystal resonator which are coupled

in a parallel configuration across symmetrical, differential terminals of a differential oscillator circuit.

5 The differential oscillator circuit is configured such that it is constructed of simple active and passive components which are easily implemented in modern integrated circuit technology, thus allowing the differential oscillator circuit to be accommodated on a monolithic integrated circuit chip for which 10 the crystal oscillator (as a whole) is providing a suitable, stable periodic timing reference signal. Similarly, and in contrast to prior art implementations, only the resonating crystal (crystal resonator or quartz crystal resonator) is provided as an off-chip component. This particular configuration 15 allows for considerable savings in component parts costs by partitioning more and more functionality into the integrated circuit chip.

Remote (off chip) mounting of the crystal resonator requires that electrical contact between the crystal resonator and the 20 associated oscillator circuit, be made with interconnecting leads of finite length. In integrated circuit technology, these interconnecting leads are typically implemented as circuit pads and conductive wires formed on a PC board substrate to which package leads are bonded (soldered) in order to effect electrical 25 connection between the crystal resonator and an associated oscillator circuit. External electrical connections of this type are well known as being susceptible to noise and other forms of interference that might be radiated onto the interconnecting leads and, thence, into the oscillator circuit, degrading its 30 overall noise performance.

A sinusoidal signal source, having a differential output configuration, defines a pair of periodic sinusoidal signals, with the signal at one output terminal defined as being  $180^\circ$  out of phase with a similar periodic, sinusoidal signal appearing at 35 the other output terminal. Classical differential signals are

termed "balanced" in that both signals exhibit equal peak-to-peak amplitudes although they exhibit a 180° phase relationship. As  
5 illustrated in the simplified timing diagram of FIG. 6, differential signals have a particular advantage in that common-mode interference, that is injected on either terminal, is canceled when the signal is converted to single-ended. Such  
10 common mode interference is typically of equal amplitude on each pin and is caused by radiation into the circuit from external sources or is often generated in the circuit itself. In FIG. 6, a positive sinusoidal signal, denoted signal-P oscillates about a zero reference, but is shifted by a common-mode interference component, denoted  $I_{CM}$ . Likewise, a negative sinusoidal signal,  
15 denoted at signal-n, also oscillates about a zero reference, exhibiting a 180° phase relationship with signal-p, and is also offset by a common mode interference component denoted  $I_{CM}$ .

A superposition of the positive and negative periodic signals is illustrated in the timing diagram denoted "composite", which clearly illustrates that the peek-to-peek difference between the positive and negative signals remains the same, even  
20 in the presence of a common mode interference component  $I_{CM}$ .

Turning now to FIG. 7, there is depicted a semi-schematic block diagram of a periodic signal generation circuit including  
25 a differential crystal oscillator driving a differential linear buffer amplifier. Advantageously, the present invention contemplates differential signal transmission throughout its architecture to maintain the purity of the derived periodic signal and to minimize any common mode interference components injected into the system. In particular, the present invention  
30 incorporates differential signal transmission in the construction of a differential crystal oscillator circuit, including a crystal resonator and its associated oscillator driver circuit. Differential signal transmission is maintained through at least  
35 a first linear buffer stage which functions to isolate the

5 differential oscillator circuit switch transients and other forms of noise that might be generated by follow-on digital integrated circuit components.

In FIG. 7, a differential crystal oscillator circuit is configured to function as a source of stable, synchronous and periodic signals. According to the illustrated embodiment, a differential crystal oscillator 710 suitably incorporates a resonating crystal 712 and a pair of symmetrical load capacitors 714 and 716, each load capacitor respectively coupled between ground potential and one of the two symmetrical output terminals of the resonating crystal 712.

15 Resonating crystal 712 is coupled between differential terminals of a differential oscillator driver circuit 718, in turn connected to differential inputs of a differential linear buffer integrated circuit 720. The symmetrical terminals of the resonating crystal 712 are coupled across differential terminals of the resonator and linear buffer, with a first terminal of the crystal being shunted to ground by the first shunt capacitor 14. The second terminal of the crystal is shunted to ground by the second shunt capacitor 716.

20 The oscillator driver circuit portion of the differential crystal oscillator 710 functions, in cooperation with the crystal resonator 712, to define a pure sinusoidal and differential signal across the crystal's symmetrical terminals. As will be developed in greater detail below, this pure sinusoidal and differential signal is then used by the linear buffer 720 to develop an amplified representation of periodic signals 30 synchronized to the crystal resonant frequency. These amplified signals are also contemplated as differential inform and are eminently suitable for driving digital wave shaping circuitry to define various digital pulse trains useable by various forms of digital timing circuitry, such as phase-lock-loops (PLLs), 35 frequency tunable digital filters, direct digital frequency

5 synthesizers (DDFS), and the like. In other words, the system  
depicted in FIG. 7 might be aptly described as a periodic  
710 function generator circuit, with the crystal oscillator portion  
720 providing the periodicity, and with the buffer portion providing the functionality.

10 Before entering into a detailed discussion of the construction and operation of the differential oscillator driver circuit and differential linear buffer amplifier, it will be useful to describe characteristics of a resonating crystal, such as might be contemplated for use in the context of the present invention.

15 FIG. 8 depicts the conventional representation of a resonating crystal 712 having mirror-image and symmetrical terminals 822 and 824, upon which differential periodic signals may be developed at the crystal's resonant frequency. Resonating crystals (also termed crystal resonators) may be formed from a variety of resonating materials, but most commonly are formed 20 from a piece of quartz, precisely cut along certain of its crystalline plane surfaces, and so sized and shaped as to define a particular resonant frequency from the finished piece. Resonating crystals so formed are commonly termed "quartz crystal resonators".

25 A typical representational model of the equivalent circuit of a quartz crystal resonator 712 is illustrated in simplified, semi-schematic form in FIG. 9. A quartz crystal resonator can be modeled as a two terminal resonator, with an LCR circuit, incorporating a capacitor  $C_m$  in series with an inductor  $L_m$  and a 30 resistor  $R_m$ , coupled in parallel fashion with a capacitor  $C_o$  across the two terminals. It will be understood that the particular component values of the capacitor, inductor and resistor, forming the LCR filter portion of the circuit, define the resonant characteristics of the crystal. These design values 35 may be easily adjusted by one having skill in the art in order

to implement a resonating crystal operating at any reasonably desired frequency.

5 For example, a particular exemplary embodiment of a crystal resonator might be desired to have a resonant frequency in the range of about 10 megahertz (MHz). In such a case, the equivalent circuit of such a crystal might have a typical value of about 20 femto Farads (fF) for the capacitor  $C_m$ . The inductor 10  $L_m$  might exhibit a typical value of about 13 milli Henreys (mH), while the resistor might have a typical value of about 50 ohms. When used in a practical oscillator design, oscillation will be achieved for values of the capacitor  $C_0$  that are less than a design worst case value. In the exemplary embodiment, worst case 15 values of 7 pico Farads (pF) might be chosen in order to ensure a design that oscillates at the desired resonant frequency over a wide range of crystal equivalent circuit values. In a practical application, the typical range of capacitance values for  $C_0$  might be from about 3 to about 4 pF.

20 FIGS. 10 and 11 are graphical representations depicting response plots of impedance and phase with respect to frequency, respectively, of a crystal resonator circuit constructed in accordance with the equivalent circuit model of FIG. 9 and using the values given above for the component  $C_m$ ,  $L_m$ ,  $R_m$ , and  $C_0$  parts. 25 FIG. 10 is a plot of the real portion of impedance, in ohms, as a function of the resonator's frequency and mega Hertz. FIG. 11 is a representational plot of the imaginary impedance component (expressed as phase), again expressed as a function of frequency in mega Hertz. From the representational plots, it can be 30 understood that an exemplary crystal resonator constructed in accordance with the above values exhibits a resonant frequency in the range of about 10 MHz. Further, simulation results on such a crystal resonator exhibit a steep rise in the real impedance versus frequency plot of FIG. 10 in the resonance 35 region about 10 MHz. A steep rise in real impedance in the

resonance region is indicative of a high quality factor,  $Q$ , typically exhibited by quartz crystal resonators.

5 An example of a quartz crystal resonator having the aforementioned characteristics and exhibiting a resonance fundamental at about 10 MHz is a Fox HC49U, quartz crystal resonator, manufactured and sold by Fox Electronics of Ft. Myers, Florida. It should be noted, however, that the specific values  
10 of a quartz crystal resonator, including its resonant frequency, are not particularly important to practice of principles of the invention. Any type of crystal resonator may be used as the resonator component 712 of FIG. 7, so long as it is constructed with generally symmetrical terminals which can be driven, in a manner to be described in greater detail below, by an oscillator  
15 driver circuit 718 of FIG. 7 so as to develop a differential, sinusoidal signal with respect to the two terminals. Further, the resonator need not oscillate at a frequency of 10 MHz. The choice of resonant frequency is solely a function of a circuit  
20 designer's preference and necessarily depends on the frequency plan of an integrated circuit in which the system of the invention is used to provide periodic timing signals.

Turning now to FIG. 12, there is depicted a simplified schematic diagram of a differential oscillator driver circuit, indicated generally at 718, suitable for differential coupling to a crystal resonator in order to develop balanced, differential sinusoidal signals for use by downstream components.

30 In the exemplary embodiment of FIG. 12, the differential oscillator driver circuit 718 is constructed using common integrated circuit components and is symmetrical about a central axis. The oscillator driver 718 is constructed with a pair of P-channel transistors 1226 and 1228 having their source terminals coupled in common and to a current source 1230 connected, in turn, between the common source terminals and a positive supply  
35 potential  $V_{DD}$ . The gate terminals of each of the P-channel

transistors 1226 and 1228 are coupled to the drain nodes of the  
opposite transistor, i.e., the gate terminal of P-channel  
5 transistor 1228 is coupled to the drain node of P-channel  
transistor 1226, and vice versa.

Output terminals are defined at each of the transistor's  
drain nodes, with the drain node of P-channel transistor 1226  
defining the "negative" terminal ( $V_{on}$ ) and the drain terminal of  
10 P-channel transistor 1228 defining the "positive" output ( $V_{op}$ ).  
Thus, it will be understood that the circuit is able to operate  
differentially by cross coupling the transistors 1226 and 1228  
in order to provide feedback.

Because transistors exhibit some measure of gain at all  
15 frequencies, particularly DC, conventional cross coupled  
transistors are often implemented as latches in digital circuit  
applications where large DC components are present. In the  
differential oscillator driver circuit 718 of the invention,  
latching is prevented by removing the DC gain component, while  
20 retaining the system's high frequency gain, particularly gain in  
the desirable 10 MHz region.

In order to substantially eliminate the gain component at  
low frequencies, a high pass filter is interposed between the  
gate and output terminals of each symmetrical half of the  
25 circuit. In particular, a high pass filter 1232 is coupled  
between the "negative" output terminal and the gate terminal of  
P-channel transistor 1228. Likewise, the high pass filter 1234  
is coupled between the "positive" output terminal and the gate  
terminal of P-channel transistor 1226. Further, each of the high  
30 pass filters 1232 and 1234 are coupled between a virtual ground,  
identified as  $V_{mid}$  and indicated in phantom in the exemplary  
embodiment of FIG. 12, and the corresponding gate terminal of the  
respective one of the differential pair P-channel transistors  
1226 and 1228. Each of the high pass filters 1232 and 1234 are  
35 implemented as RC filters, each including a resistor and

5 capacitor in a series-parallel configuration. Each capacitor is series-connected between an output terminal and the gate terminal of a corresponding differential pair transistor, while each 10 resistor is coupled between a gate terminal and the virtual ground. Thus, the first high pass filter 1232 includes a capacitor 1236 coupled between the "negative" terminal and the gate terminal of P-channel transistor 1228. A resistor 1238 is coupled between the gate of P-channel transistor 1228 and virtual 15 ground. Similarly, the second high pass filter 1234 includes a capacitor 1240 coupled between the "positive" terminal and the gate terminal of P-channel transistor 1226. A resistor 1242 is coupled between the gate of P-channel transistor 1226 and the virtual ground.

20 In operation, high pass filter 1232 filters the input from Von prior to applying that signal to the gate of its respective differential pair transistor 1228. In like manner, high pass filter 1234 filters the input from Vop prior to applying that 25 signal to the gate of its respective differential pair transistor 1226. Each of the high pass filters are symmetrically designed and have component values chosen to give cutoff frequencies in the range of about 5 MHz. For example, filter capacitors 1236 and 1240 might have values of about 1.5 pF, and filter resistors 1238 and 1242 might have values in the range of about 718 Kohms. Which would give a filter yielding the desired 5 MHz cutoff. It will be thus understood that the differential oscillator driver 30 circuit 18 will have negligible gain at DC, while exhibiting its design gain values in the desired region of about 10 MHz.

35 It should be understood that the component values for high pass filters 1232 and 1234 were chosen to give a particular cut off frequency of about 5 MHz, allowing the oscillator driver circuit to exhibit full design gain at a resonate frequency of about 10 MHz. If the resonant frequency of the crystal oscillator circuit were required to have a different value, the

components of the high pass filters 1232 and 1234 would necessarily take on different values to accommodate the different operational characteristics of the circuit. Accordingly, the actual component values, as well as the cutoff frequency value of the exemplary embodiment, should not be taken as limiting the differential oscillator driver circuit according to the invention in any way. The values and characteristics of the differential oscillator driver circuit 18 of FIG. 12 are exemplary and have been chosen to illustrate only one particular application.

Because the common mode output signal of a differential amplifier is often undefined, the differential oscillator driver circuit 718 of FIG. 12 is provided with a common mode control circuit which functions to maintain any common mode output signal at reasonable levels. In particular, a differential pair of N-channel transistors 1244 and 1246 is provided with each having its drain terminal coupled to a respective one of the Von and Vop output terminals. The differential N-channel transistors 1244 and 1246 further have their source terminals tied together in common and to a negative supply potential  $V_{ss}$ . Their gate terminals are tied together in common and are further coupled, in feedback fashion, to each transistor's drain node through a respective bias resistor 1248 and 1250. The bias resistors 1248 and 1250 each have a value, in the exemplary embodiment, of about 100 Kohms, with the gate terminals of the N-channel differential pair 1244 and 1246 coupled to a center tab between the resistors. This center tab defines the virtual ground  $V_{mid}$  which corresponds to a signal midpoint about which the sinusoidal signals Von and Vop oscillate. Any common mode component present at the outputs will cause a voltage excursion to appear at the gates of the N-channel differential pair 1244 and 1246. In other words, virtual ground  $V_{mid}$  can be thought of as an operational threshold for the current mode control differential pair 1244 and 1246. Common mode excursions above or below  $V_{mid}$  will cause a common

mode control differential pair to adjust the circuit's operational characteristics so as to maintain  $V_{mid}$  at a virtual ground level, thus minimizing any common mode component.

5 In operation, noise in such a linear differential oscillator driver circuit is filtered mainly by the crystal resonator, but also by the operational characteristics of the driver circuit. For example, noise at 10 MHz is amplified by the positive 10 feedback characteristics of the circuit and will continue to grow unless it is limited. In the exemplary embodiment of FIG. 12, signals in the 10 MHz region will continue to grow in amplitude until limited by a non-linear self-limiting gain compression mechanism.

15 As the amplitude of the amplified signal becomes large, the effective transconductance  $g_e$  of the P-channel differential pair transistors 1226 and 1228 fall off, thus limiting the gain of the differential amplifier. Amplifier gain falloff with increasing gate voltage excursions is a well understood principle, and need 20 not be described in any further detail herein. However, it should be mentioned that as the gain of the oscillator driver circuit trends to 1 the crystal resonator begins to self-limit, thus defining a constant output amplitude sinusoidal signal. Constancy of the amplitude excursions are reflected to the 25 control (gate) terminals of the P-channel differential pair 1226 and 1228 where the feedback mechanism ensures stability about unity gain.

It should be understood therefore that the differential oscillator driver circuit 718 in combination with a crystal 30 resonator (712 of FIG. 7) function to define periodic, sinusoidal and differential signals across the terminals of the crystal resonator. The signals are differential in that they maintain a  $180^\circ$  phase relationship. Signal quality is promoted because the exemplary differential oscillator driver circuit is designed 35 to be highly linear with a relatively low gain, thus reducing

phase noise (phase jitter) to a significantly better degree than  
has been achieved in the prior art. Signal quality and symmetry  
5 is further enhanced by the symmetrical nature of the two halves  
of the oscillator driver circuit. Specifically, the oscillator  
driver circuit is symmetrical about a central axis and, when  
implemented in integrated circuit technology, that symmetry is  
maintained during design and layout. Thus, conductive signal  
10 paths and the spatial orientation of the driver's active and  
passive components are identical with respect to the "negative"  
and "positive" outputs, thereby enhancing signal symmetry and  
further minimizing phase jitter.

In accordance with the invention, differential crystal  
15 oscillator circuit is able to provide a periodic clock signal  
(approximately 10 MHz) that exhibits stable and robust timing  
characteristics with very low jitter. As depicted in the  
simplified semi-schematic block diagram of FIG. 13, a particular  
20 exemplary embodiment of a periodic signal generator circuit  
incorporates a differential crystal oscillator circuit according  
to the present invention, including a crystal resonator 12 and  
differential oscillator driver circuit 718. A resonant crystal  
circuit 12 includes first and second timing capacitors (714 and  
25 716 of FIG. 7) which are not shown merely for convenience in ease  
of explanation. The resonant crystal circuit 712 is coupled, in  
parallel fashion, across the output terminals of the oscillator  
driver circuit 718 which incorporates the active device circuitry  
for pumping energy into the circuit in order to sustain  
30 oscillation. This parallel combination is coupled,  
differentially, into a linear buffer amplifier 720, which  
functions to provide a linear gain factor  $K$  to the differential  
signal provided by the crystal oscillator circuit.

Linear buffer amplifier 720 provides signal isolation,  
through high input impedance, as well as amplification of the  
35 oscillating (10 MHz) signal produced by the crystal

resonator/oscillator driver combination. Linear buffer amplifier  
720 is configured to output differential mode signals  
5 characterized by linear amplification of the input differential  
signals, that may then be used to drive one or more additional  
wave shaping-type devices, such as nonlinear buffer amplifiers  
1352, 1354 and 1356.

In the exemplary embodiment of FIG. 13, the nonlinear  
10 buffers 1352, 1354 and 1356 function in order to provide signal  
translation (wave shaping) from the differential sign wave  
periodic signal present at the output of the linear buffer 720  
to a digital pulse train at characteristic logic levels suitable  
for driving fall-on digital circuit blocks 1358, 1360 and 1362.  
15 In addition to its signal translation function, nonlinear buffers  
1352, 1354 and 1356 also provide a measure of signal  
conditioning, transforming the purely sinusoidal signal at their  
inputs to a very low jittergetter square wave output.

Following digital circuitry 1358, 1360 and 1362 illustrated  
20 in the exemplary embodiment of FIG. 13 might be any type of  
digital circuitry that requires a stable periodic clock, such as  
a phase-lock-loop, a tunable filter, a digital frequency  
synthesizer, and the like. Characteristically, high speed  
switching circuits of these types generate a great deal of noise,  
25 particularly as a result of ground bounce, switch transients and  
ringing. In order to minimize feed through coupling of these  
noise sources back to the crystal oscillator circuit, and in  
contrast to the prior art, the system of the present invention  
utilizes two stages of buffering.

30 In the prior art, signal transformation from a sinusoidal  
signal to a square wave output is typically implemented by using  
an inverter to square sinusoidal input signal. A digital  
inverter function might be characterized as a nonlinear amplifier  
35 of a transformed sinusoidal input signal to a square wave by  
providing an extremely high gain, such that the input signal is

5 driven to the rail during amplification (i.e., clipping). Thus, the output signal of a typical inverter might be characterized as a clipped sine wave. This particular nonlinearity characteristic of the inverter further provides opportunities for phase noise to be added to the output signal.

10 Phase noise (phase jitter) can also be introduced when the slope of a signal waveform going through a zero transition is not sharp. Thus, in the present invention, phase noise is minimized in the nonlinear buffer amplifiers 1352, 1354 and 1356 by amplifying the differential signal provided by the crystal oscillator circuit through the linear amplifier 720 in order to increase the amplitude, and thus the slew rate, of the signal 15 prior to its conversion to a square wave. Phase noise resulting from zero crossings of the nonlinear buffer amplifiers is thereby minimized.

20 Further, in a very large scale integrated circuit, there are a great number of digital logic elements coupled to a common power supply. Switching of these digital logic elements causes the power supply voltage to move up and down, causing digital switching noise. This movement in the power supply induces a jitter component at each inverter that is used as a buffer in a conventional oscillator circuit. According to the present 25 invention, maintaining a differential signal throughout the oscillator circuit, including the wave shaping buffers, allows the effects of power supply noise to be substantially eliminated from the oscillator, thus maintaining signal quality. In addition, the use of a differential signal throughout the 30 oscillator's architecture allows common mode noise radiated onto the pins of the crystal resonator to be rejected.

35 The number of nonlinear buffers which might be cascaded in order to produce a suitable clock signal is an additional important feature in the design of a low phase noise oscillator circuit. In conventional oscillator circuits, multiple cascaded

invertors are used to provide high isolation of the final, squared output signal. In such cases, each time the signal 5 passes through a nonlinear inverter, zero crossing occurs which offers an additional opportunity for phase noise to be added to the circuit. In order to minimize phase noise, the present invention contemplates a single stage of nonlinear buffering which presents a high input impedance to the linear buffer 720 10 which proceeds it. Additionally, the linear buffer 720 is further provided with a high input impedance to further isolate the crystal resonator and its associated differential oscillator driver circuitry from noise loading.

An exemplary embodiment of a linear buffer suitable for use 15 in connection with the periodic signal generation circuit of FIG. 13 is illustrated in simplified, semi-schematic form in FIG. 14. The exemplary embodiment of FIG. 14 illustrates the conceptual implementation of a differential-in differential-out amplifier. The differential implementation has several 20 advantages when considered in practical applications. In particular, maximum signal swing is improved by a factor of 2 because of the differential configuration. Additionally, because the signal path is balanced, signals injected due to power supply variation and switch transient noise are greatly 25 reduced.

The exemplary implementation of a differential-in, differential-out amplifier (indicated generally at 720) of FIG. 14 uses a folded cascade configuration to produce a differential output signal, denoted  $V_{out}$ . Since the common-mode 30 output signal of amplifiers having a differential output can often be indeterminate, and thus cause the amplifier to drift from the region where high gain is achieved, it is desirable to provide some form of common-mode feedback in order to stabilize the common-mode output signal. In the embodiment of FIG. 14, the 35 common-mode output signal is sampled, at each of the terminals

comprising the output  $V_{out}$  and fed back to the current-sink loads of the folded cascade.

5 Differential input signals  $V_{in}$  are provided to the control terminals of a differential input pair 1464 and 1466, themselves coupled between respective current sources 1468 and 1470 and to a common current-sink load 1472 to  $V_{ss}$ . Two additional transistors (P-channel transistors in the exemplary embodiment 10 of FIG. 14) define the cascade elements for current-sources 1468 and 1470 and provide bias current to the amplifier circuit.

15 High impedance current-sink loads at the output of the amplifier 1476 and 1478 might be implemented by cascaded current sink transistors (N-channel transistors for example) resulting in an output impedance in the region of about 1 Mohm. The common mode feedback circuit 1480 might be implemented as an N-channel differential pair, biased in their active regions and which sample the common-mode output signal and feedback a correcting, common-mode signal into the source terminals of the cascaded 20 transistors forming the current-sinks 1476 and 1478. The cascade devices amplify this compensating signal in order to restore the common-mode output voltage to its original level.

25 It should be noted that the exemplary linear amplifier of FIG. 14 might be implemented as any one of a number of appropriate alternative amplifiers. For example, it need not be implemented as a fully differential folded cascade amplifier, but might rather be implemented as a differential-in, differential-out op amp using two differential-in single-ended out op amps. Further, the actual circuit implementation might certainly vary 30 depending on the particular choices and prejudices of an analog integrated circuit designer. The input differential pair might be either an N-channel or a P-channel pair, MOS devices might be used differentially as active resistors or alternatively, passive resistor components might be provided, and the like. All that 35 is required is that the linear amplifier 720 amplifies a

5 differential input signal to produce a differential, sinusoidal signal at its output. Thus, the only frequency components reflected back through the linear amplifier 720 will be sinusoidal in nature and thus, will not affect the operational parameters of the differential crystal oscillator frequency. Further, the linear buffer 720 will necessarily have a relatively high output impedance in order to attenuate noise that might be 10 reflected back from the square wave output of the following nonlinear amplifier stages.

15 Turning now to FIG. 15, there is depicted a simplified semi-schematic diagram of a nonlinear buffer, indicated generally at 1582, such as might be implemented as a wave shaping or squaring circuit 1352, 1354 or 1356 of FIG. 13. The nonlinear buffer 1582 receives a differential, sinusoidal input signal at the gate terminals of an input differential transistor pair 1584 and 1586. Drain terminals of the differential pair 1584 and 1586 are connected together in common and to a current sink supply 20 1588 which is coupled to a negative potential. Each of the differential pairs' respective source terminals are coupled to a bias network, including a pair of differential bias transistors 1590 and 1592 having their gate terminals tied together in common and coupled to a parallel connected bias network. The bias 25 network is suitably constructed of a resistor 1594 and a current sink 1596 connected in series between a positive voltage potential such as Vdd and Vss. A bias node between the resistor 1594 and current sink 1596 is coupled to the common gate terminals of the bias transistor network 1590 and 1592 and 30 defines a bias voltage for the bias network which will be understood to be the positive supply value minus the IR drop across bias resistor 1594. The current promoting the IR drop across the bias resistor 1594 is, necessarily, the current I developed by the current sink 1596.

5 A differential, square wave-type output ( $V_{out}$ ) is developed at two output nodes disposed between the respective source terminals of the bias network transistors 1590 and 1592 and a respective pair of pull-up resistors 1598 and 1599 coupled, in turn, to the positive supply potential. It should be noted, that the bias network, including transistors 1590 and 1592, function to control the non-linear amplifier's common mode response in a manner similar to the linear amplifier's common mode network (transistors 1244 and 1246 and resistors 1248 and 1250 of FIG. 12).

10 15 Although depicted and constructed so as to generate a differential square wave-type output in response to a differential sinusoidal input signal, the non-linear buffer 1582 of FIG. 15 is well suited for single-ended applications as well as for differential applications. If a single-ended output is desired, one need only take a signal from one of the two symmetric outputs. The choice of whether to implement the 20 non-linear buffer as a single-ended or a differential buffer will depend solely on the input requirements of any follow-on digital circuitry which the periodic signal generation circuit in accordance with the invention is intended to clock. This option is solely at the discretion of the system designer and has no 25 particular bearing on practice of principles of the invention.

30 FIG. 16 is a semi-schematic illustration of an alternative embodiment of the differential oscillator driver circuit (718 of FIG. 12). From the exemplary embodiment of FIG. 16, it can be understood that the oscillator driver circuit is constructed in a manner substantially similar to the exemplary embodiment of FIG. 12, except that a crystal resonator is coupled across the circuit halves above the differential transistor pair, as opposed to being coupled across a circuit from the  $V_{on}$  to  $V_{op}$  output terminals. The alternative configuration of FIG. 16 operates in 35 substantially the same manner as the embodiment of FIG. 12 and

5 produces the same benefits as the earlier disclosed oscillator. It is offered here as an alternative embodiment only for purposes of completeness and to illustrate that the specific arrangement of the embodiment of FIG. 12 need not be followed with slavish precision.

10 It should be understood that oscillator circuits with low phase noise are highly desirable in many particular applications. FIG. 17 illustrates one such application as a reference signal generator in a phase-lock-loop. The phase-lock-loop uses a low phase noise periodic signal generation circuit in accordance with the invention in order to generate a reference signal for use by a phase detector. Providing a clean reference signal to the 15 phase detector is fundamental to providing a clean RF output from the PLL. Since noise and nonlinearities induced by signal generation circuit are carried through the PLL circuit, thus degrading the RF output, reducing phase noise and providing noise rejection early on in the signal processing chain is advantageous 20 to maintaining a clean RF output. A differential crystal oscillator (710 of FIG. 7) advantageously provides this claim signal by maintaining a differential signal across the terminals of the resonating crystal, an improvement not currently available in state-of-the-art crystal oscillators. Additionally, the use 25 of linear buffer amplifiers followed by nonlinear amplification in a reference oscillator circuit is a unique improvement over the prior art in reducing phase noise.

30 Since PLLs have become available in integrated circuit form, they have been found to be useful in many applications. Certain examples of advantageous application of phase-lock-loop technology include tracking filters, FSK decoders, FM stereo decoders, FM demodulators, frequency synthesizers and frequency multipliers and dividers. PLLs are used extensively for the generation of local oscillator frequencies in TV and radio 35 tuners. The attractiveness of the PLL lies in the fact that it

5 may be used to generate signals which are phase-locked to a crystal reference and which exhibit the same stability as the crystal reference. In addition, a PLL is able to act as a narrow band filter, i.e., tracking a signal whose frequency may be varying.

10 A PLL uses a frequency reference source in the control loop in order to control the frequency and phase of a voltage control oscillator (VCO) in the loop. The VCO frequency may be the same as the reference frequency or may be a multiple of the reference frequency. With a programmable divider inserted into the loop, a VCO is able to generate a multiple of the input frequency with a precise phase relationship between a reference frequency and an RF output. In order to maintain such a precise phase and frequency relationship, the frequency reference provided to the PLL must, necessarily, also be precise and stable.

15 FIG. 18 is a simplified block diagram of an illustrative frequency synthesizer that might incorporate the differential periodic signal generation circuit of the invention. The frequency synthesizer is a signal generator that can be switched to output any one of a discrete set of frequencies and whose frequency stability is derived from a crystal oscillator circuit.

20 Frequency synthesizers might be chosen over other forms of frequency sources when the design goal is to produce a pure frequency that is relatively free of spurious outputs. Particular design goals in frequency synthesizer design might include suppression of unwanted frequencies and the suppression of noise in a region close to the resonant frequency of the 25 crystal that is a typical source of unwanted phase modulation. Synonymous terms for this type of noise are broadband phase noise, spectral density distribution of phase noise, residual FM, and short term fractional frequency deviation.

30 To reduce the noise produced in a synthesizer, crystal oscillators are commonly used due to their stability and low

noise output. The use of a periodic signal generation circuit incorporating a differential crystal oscillator according to an 5 embodiment of the present invention advantageously improves these performance parameters. Improved phase noise is achieved through the use of linear buffering followed by nonlinear amplification, while noise rejection is provided by the differential design utilized throughout the circuitry architecture.

10 It should be evident that a periodic signal generation circuit according to the invention has many uses in modern, state-of-the-art timing circuits and systems. The periodic signal generation circuit is constructed of simple active and passive components which are easily implemented in modern 15 integrated circuit technology. Thus allowing substantially all of the components to be accommodated on one monolithic integrated circuit chip for which the crystal oscillator portion is providing a suitable, stable periodic timing reference signal. Only the resonating crystal portion (crystal resonator or quartz 20 crystal resonator) is provided as an off-chip component. This particular configuration allows for considerable savings in component parts costs by partitioning more and more functionality into the integrated circuit chip itself.

25 A more detailed description of the oscillator is provided in U.S. Patent Application No. 09/438,689 filed November 12, 1999 (B600:33758) entitled "Differential Crystal Oscillator" by Christopher M. Ward and Pieter Vorenkamp; based on U.S. Provisional Application No. 60/108,209 filed November 12, 1998 (B600:33588), the subject matter of which is incorporated in its 30 entirety by reference. The oscillator's output is a differential signal that exhibits high common mode noise rejection. Use of a low noise reference oscillator with differential signal transmission allows the synthesis of stable low noise local oscillator signals. Advantageously in the present exemplary 35 embodiment of the invention a unique generation of the local

1 36664/PBH/B600 (BP 1298)

5 oscillator signals allows complete integration of a receiver circuit on a CMOS integrated circuit by reducing noise in the signal path.

10 Frequency synthesizers and a radio frequency receiver often incorporate phase locked loops that make use of a crystal oscillator as a frequency reference. A PLL is used to provide coarse tuning within the frequency band of interest while a second PLL provides fine tuning steps. Advantageously, the present embodiments of the invention utilize a method of coarse/fine PLL adjustment to improve the performance of the integrated tuner.

15 COARSE/FINE PLL ADJUSTMENT

FIG. 19 is a diagram illustrating receiver tuning. The combination of a wide band PLL 1908 and a narrow band PLL 1910 tuning provides a capability to fine tune a receiver's LOS 1902, 1904 over a large bandwidth in small frequency steps. For 20 the exemplary embodiments of QAM modulation a small frequency step is 100 kHz, and 25 kHz for NTSC modulation. Fine tuning is available over an entire exemplary 50 MHz to 860 MHz impact frequency band width 1906. The first PLL 1908 tunes a first LO 1902 in large 10 MHz frequency steps and the second PLL 1910 25 tunes a second LO 1904 in much smaller steps. The first intermediate frequency (IF) filter 1912 has a sufficiently wide band width to allow up to 10 MHz frequency error in tuning the first intermediate frequency, with the narrow band PLL providing final fine frequency tuning to achieve the desired final IF 30 frequency 1914.

FIG. 20 is a block diagram of an exemplary tuner 2002 designed to receive a 50 to 860 MHz bandwidth signal 2004 containing a multiplicity of channels. In this exemplary band of frequencies, there are 136 channels with a spacing between 35 channel center frequencies of six megahertz 2008. The tuner

5 selects one of these 136 channels 2006 that are at a frequency between 50 and 860 MHz by tuning to the center frequency of the selected channel 2010. Once a channel is selected the receiver rejects the other channels and distortion presented to it. The selected channel is down converted to produce a channel centered about a 44 MHz intermediate frequency (IF) 2012. Alternatively 10 the value of the intermediate frequency ultimately produced by the tuner may be selected utilizing the method of the invention to provide any suitable final IF frequency, such as 36 MHz.

15 In selecting one of these 136 channels, a maximum frequency error in the local oscillator (LO) frequency used to tune the channel to a given IF of plus or minus 50 kHz is allowable. 20 Using one frequency conversion to directly tune any one of the 136 channels to 44 MHz would require a tuning range in the local oscillator of 810 MHz. This would require a local oscillator that tunes from 94 to 854 MHz, if utilizing high side conversion.

25 Achieving this with a single LO is impractical. Tuning range in local oscillators is provided by varactor diodes that typically require 33 volts to tune them across their tuning range. Additionally, within this tuning range a frequency tuning step of 100 kHz is required to ensure that the center frequency of a tuned channel is tuned within plus or minus 50 kHz. Thus, a large range of frequencies would have to be tuned in small increments over a 33 volt tuning signal range.

30 Returning to FIG. 19 illustrating the frequency tuning method of the invention an exemplary 50 to 860 MHz signal 1906 is presented to a first mixer 1916 that is tuned with a wide band PLL 1908 that tunes a first LO 1902 in frequency steps of 10 MHz. This local oscillator 1902 is set to a frequency that will nominally center a channels that has been selected at a first IF 35 of 1,200 MHz 1918. The first IF 1918 is then mixed 1920 to the second IF of 275 MHz 1922. This is done by the narrow band PLL 1910 that tunes a second LO 1904 in frequency steps of 100 kHz.

5 The second IF 1922 is next mixed 1924 down to a third IF 1926 of 44 MHz by a third local oscillator signal 1928. This third local oscillator signal 1930 is derived from the second local oscillator or narrow band PLL signal by dividing its frequency by a factor of four.

10 FIG. 21 is an exemplary table of frequencies utilizing coarse and fine PLL tuning to derive a 44 MHz IF ("IF-3"). A process is utilized to determine the wide and narrow band PLL frequencies. The relationship between the wideband PLL and narrowband PLL frequencies to yield the desired intermediate frequency is found from:

15  $F_{LO1} - F_{sig} - (5/4 * F_{LO2}) = F_{IF}$  (4)

where:

FLO1: PLL1 frequency (10MHz steps)

FLO2: PLL2 frequency

20 (e.g., 25kHz/100kHz/200kHz or 400kHz step)

Fsig: Input signal

Fif (e.g., 44MHz or 36MHz or whatever IF is required)

Example:

25  $1250M - 50M - (5/4 * 924.8M) = 44M$

where:  $F_{sig} = 50MHz$

$F_{LO1} = 1250MHz$

$F_{LO2} = 924.8MHz$

$F_{IF} = 44MHz$

30

FIG 21 and 22 utilized this formula to derive the values entered into them to tune the exemplary cable TV signals "Fr". For example the first column 2102 of the table lists the frequencies needed to tune a signal centered at 50 MHz ("Fr") 35 to a 44 MHz final IF ("IF-3"). To tune a received channel

centered at 50 MHz a first LO of 1,250 MHz ("LO-1") is provided by a wide band, or coarse, PLL. This produces a first IF of 1,200 MHz ("IF-1"). Next utilizing 100 kHz tuning steps to adjust LO 2, it is set to 924.8 MHz ("LO-2"). Note this is not exactly 925 MHz. Dividing the second LO by 4 in this instance yields 231.2 MHz for a third LO ("LO-3"). When LO 3 is applied to the second IF of 275.2 a third IF of 44 MHz ("IF-3") is produced. This tuning arrangement is illustrated for received channels having a six MHz channel spacing as can be seen from the line entitled "Fr". In each case the coarse fine tuning approach yields a third IF ("IF-3") of 44 MHz.

FIG. 22 is an illustration of an alternative embodiment of the coarse and fine PLL tuning method to produce an exemplary final IF of 36 MHz. In this case as previously, a first IF (IF-1) is tuned to 1,200 MHz plus or minus 4 MHz. And second LO (LO-2) is close to 930 MHz, utilizing a small offset to yield a third IF of 36 MHz (IF-3). These predetermined tuning frequencies are stored in a memory and applied when a command is given to tune a given channel. Alternatively an algorithm may be supplied to produce the tuning frequencies. It is understood that these frequencies are exemplary and other frequencies that utilize this method are possible.

Thus, it can be seen that the interaction of course and fine PLL frequencies are utilized to produce a third IF of 44 MHz. A second LO (LO-2) is maintained close to a frequency of 925 MHz to tune each of the channels. However, it is slightly off by a very small tuning step of 100 kHz. Note that the first IF (IF-1) is not always right at 1,200 MHz. Sometime it is off by as much as 4 MHz either above or below 1,200 MHz. This error will still result in signal transmission through a first IF filter. The maximum error utilizing this scheme is plus or minus 4 MHz.

This method of PLL adjustment is described in more detail in U.S. Patent Application No. 09/438,688 filed November 12,

1999, (B600:34015) entitled "System and Method for Coarse/Fine  
5 PLL Adjustments" by Pieter Vorenkamp, Klaas Bult and Frank Carr;  
based on U.S. Provisional Application No. 60/108,459 filed  
November 12, 1998 (B600:33586), the subject matter of which is  
incorporated in its entirety by reference.

A coarse, and a fine PLL use a common reference frequency  
oscillator. Local oscillator signals produced by the frequency  
10 synthesizer's phase locked loops inject noise produced in the  
reference frequency oscillator and the PLLs into a signal path  
through the PLL output. Noise injected can be characterized as  
either phase noise or jitter. Phase noise is the frequency  
domain representation of noise that, in the time domain is  
15 characterized as jitter. Phase noise is typically specified as  
a power level below the carrier per Hertz at a given frequency  
away from the carrier. Phase noise can be mathematically  
transformed to approximate a jitter at a given frequency for a  
time domain signal. In a clock signal jitter refers to the  
20 uncertainty in the time length between zero crossings of the  
clock signal. It is desirable to minimize the jitter produced  
in an oscillator circuit and transmitted through the signal chain  
into the signal path to prevent noise degradation in the receiver  
path. Equivalently, any oscillator producing a stable output  
25 frequency will suffice to produce a reference frequency for the  
PLL circuitry.

Another obstacle to integrating an entire receiver on a  
single CMOS chip has been the inability to fabricate a  
satisfactory filter structure on the chip. As previously  
30 described, a multitude of unwanted frequencies created through  
circuit non linearities are a major obstacle in achieving  
satisfactory receiver performance. Filtering is one method of  
eliminating these unwanted spurious signals. An integrated  
filter's center frequency tends to drift, and needs calibration

to maintain performance. To successfully use filtering on chip, an auto calibration loop is needed to center the filter response.

5 FIG. 23 is a block diagram of a dummy component used to model an operative component on an integrated circuit chips. According to one aspect of the invention, a dummy circuit on an integrated circuit chip is used to model an operative circuit that lies in a main, e.g. RF, signal path on the chip.

10 Adjustments are made to the dummy circuit in a control signal path outside the main signal path. Once the dummy circuit has been adjusted, its state is transferred to the operative circuit in the main signal path. Specifically, as shown in FIG. 23,

15 there is a main signal path 2201 and a control signal path 2202 on an integrated circuit chip. In main signal path 2201, a signal source 2203 is coupled by an operative circuit 2204 to be adjusted to a load 2205. Main signal path 2201 carries RF signals. Signal source 2203 generally represents the portion of the integrated circuit chip upstream of operative circuit 2204

20 and load 2205 generally represents the portion of the integrated circuit chip downstream of operative circuit 2204. In control signal path 2202, a control circuit 2206 is connected to a dummy circuit 2207 and to operative circuit 2204. Dummy circuit 2207 is connected to control circuit 2206 to establish a feedback loop.

25 Dummy circuit 2207 replicates operative circuit 2204 in the main signal path in the sense that, having been formed in the same integrated circuit process as operative circuit 2204, its parameters, e.g., capacitance, inductance, resistance, are equal to or related to the parameters of operative circuit 2204.

30 To adjust operative circuit 2204, a signal is applied by control circuit 2206 to dummy circuit 2207. The feedback loop formed by control circuit 2206 and dummy circuit 2207 adjusts dummy circuit 2207 until it meets a prescribed criterion. By means of the open loop connection between control circuit 2206 and operative circuit 2204 the state of dummy circuit 2207 is also transferred

5 to operative circuit 2204, either on a one-to-one or a scaled basis. Thus, operative circuit 2204 is indirectly adjusted to satisfy the prescribed criterion, without having to be switched out of the main signal path and without causing disruptions or perturbations in the main signal path.

10 In one implementation of this dummy circuit technique described below in connection with FIGS. 24a-c and FIGS. 25-27, operative circuit 2204 to be adjusted is a bank of capacitors in one or more operative bandpass filters in an RF signal path, dummy circuit 2207 is a bank of related capacitors in a dummy bandpass filter, and control circuit 2206 is a phase detector and an on-chip local oscillator to which the operative filter is to be tuned. The output of the local oscillator is coupled to the dummy filter. The output of the dummy filter and the output of the local oscillator are coupled to the inputs of the phase detector to sense the difference between the frequency of the local oscillator and the frequency to which the dummy filter is tuned. The output of the phase detector is coupled to the dummy filter to adjust its bank of capacitors so as to tune the dummy filter to the local oscillator frequency. After the dummy filter is tuned, the state of its capacitor bank is transferred, either on a one-to-one or scaled basis, to the operative filter. Since 25 the capacitor bank in the dummy filter replicates that of the operative filter, the frequency to which the operative filter is tuned can be easily scaled to the frequency of the dummy filter.

30 In another implementation of the dummy circuit technique described below in connection with FIGS. 28 to 33, operative circuit 2204 to be adjusted is a filter having a spiral inductor that has a temperature sensitive internal resistance. Dummy circuit 2207 has an identical spiral inductor. Control circuit 2206 has a controllable variable resistor in series with the inductor of dummy circuit 2207. The controllable resistor is 35 driven by a feedback loop to offset changes in the internal

5 resistance of the inductor of dummy circuit 2207. Operative circuit 2204 has a similar controlled resistor in series with its inductor to transfer the resistance value of the controllable resistor in control circuit 2206 to the resistor of the operative circuit 2204 in open loop fashion.

#### FILTER TUNING

10 FIG. 24a is a block diagram illustrating the use of a tuning circuit outside of a signal path to tune bandpass filters present in a receiver. A tuning circuit 2302 utilizes a substitute or "dummy" filter stage 2310 to derive tuning parameters for a filter bank 2304 present in a signal path 2306. The tuning circuit utilizes a local oscillator signal 2308 available in the receiver to tune the dummy filter 2310 to the center frequency of the local oscillator. Once tuned, the dummy filters 2310 tuned component values that result in a tuned response at the local oscillator frequency are scaled in frequency and applied to the bandpass filter 2312. The filters are tuned at startup, and the tuning circuitry is turned off during normal operation. This prevents the injection of additional noise into the signal path during operation.

25 FIG. 24b is a flow diagram of the tuning process in operation receiver is initially powered up 2312 and local oscillator signals generated by PLLs are centered at their design frequency 2314. Once the PLLs are locked their frequency is a known condition. Next substitute filter tuning is initiated 2316 and performed. When finished a signal is received back from the filter tuning network indicating that it is ready 2318. Information from the tuning network is copied to the receive path filter circuit 2320. Next the filter tuning circuit is turned off 2322 disconnecting it from the filter circuit. In the embodiments of the invention the narrow band PLL (2308, of FIG. 24a) is used 30 as reference frequency in the tuning circuit. However, it is 35

understood that this tuning technique may be utilized with any readily available signal.

5 Returning to FIG. 24a, in an exemplary embodiment of the invention a 925 MHz signal is directly available from the narrow band PLL 2308. It is used to tune the dummy filter 2310 contained in the tuning circuit 2302 associated with the 1,200 MHz filter 2304. After the dummy filter is tuned to 925 MHz, frequency 10 scaling is used to obtain the proper component values for the 1,200 MHz filter response to be centered. The exemplary 925 MHz signal generated by the narrow band PLL is divided by 4 to yield a 231 MHz third LO signal utilized in additional tuning circuitry.

15 Other divisions or multiplications may be equivalently used to tune dummy filters. A second exemplary filter tuning circuit 2302 for a 275 MHz filter contains a dummy filter 2310 that is tuned to a center frequency of 231 MHz. Once tuned, the component values used to center the 231 MHz dummy filter 2310 are 20 scaled to yield a centered response for the 275 MHz filter 2304. At this point in time the tuning circuits 2302 are switched off. It is especially important to turn off the exemplary tuning circuits on the 275 MHz filter since the 231 MHz signal used to tune its dummy filter falls in an exemplary 50-860 MHz band.

25 It is to be understood that any available frequency may be used to tune a substitute filter so that another filter, that does not have an appropriate tuning signal present, may be tuned. This is done by scaling the component values of the tuned dummy filter to values appropriate for the filter not having the tuning 30 frequency present. Tuning values obtained for a dummy filter may be applied to all filters present in a bank of filters having a common center frequency. Also tuning values obtained for a dummy filter may be applied to multiple filters present having differing center frequencies by applying differing scaling 35 factors. Finally multiple filters at different locations in a

signal path that have common center frequencies may be tuned by a common tuning circuit.

5 Capacitors disposed on an integrated circuit vary in capacitance value by as much as +/- 20%. Thus, to provide a satisfactory receiver performance a method of tuning integrated filters that removes this variation in capacitance is needed. In an LC filter circuit either an inductance or a capacitance can 10 be tuned. However, inductors are difficult to tune. Therefore, in the embodiments of the invention values of capacitance present in the filters are tuned. In tuning the exemplary embodiments, one or more capacitors are switched in and out of an LC filter circuit to tune it.

15 These capacitors are switched in and out of a filter circuit electronically. Capacitors with the same dimensions are provided in a bandpass filter and a dummy filter to provide satisfactory matching between the devices. Switchable caps in the embodiments of the invention are MOS caps that are all of the same value and from factor. However, it is to be recognized that other weighting 20 of capacitor values could be provided to achieve an equivalent function. For example, binary or  $1/x$  weighted values of capacitors could be disposed in each filter to provide tuning. In the embodiments of the invention a bank of fixed capacitors 25 and a bank of electronically tunable capacitors are provided. The adjustable capacitors in the exemplary embodiment represent 40% of the total capacitance provided. This is done to provide for the  $\pm 20\%$  variance in center frequency due to manufacturing variances. To accommodate other ranges of manufacturing 30 variations or alternative tuning schemes any fraction or all of the capacitors may be switchable. It is also understood that any type of switchable capacitor, in addition to a MOS capacitor type may be utilized.

FIGS. 24a-24c are exemplary illustrations of a tuning process utilizing switched capacitors. Filter responses shown at 35

the bottom plot 2402 illustrate a tuning of a dummy filter 2310 that is contained in a tuning circuit 2302 of FIG. 24a. A frequency response being tuned in the upper graph 2404 shows the tuning of the exemplary 1,200 MHz bandpass filter 2304 of FIG. 24a. Initially none of the switched capacitors are applied in a dummy filter circuit. This places the filter response initially 2406 above the final desired tuned response frequency 2408. In this example capacitors are added until the filter response of the dummy filter is centered about 925 MHz. However, the tuned response of the 925 MHz dummy filter 2408 is not the desired center frequency of the bandpass filter in the signal path. The values used in to tune the dummy filter would not tune the 1,200 MHz filter to the correct response. Frequency scaling is used to tune the desired response. This can be achieved because identical capacitors disposed on a chip are very well matched in value and parasitics. In particular capacitor matching is easy to achieve by maintaining similar dimensions between groups of capacitors. In scaling a response to determine a capacitance to apply in a bandpass filter, identical inductance values have been maintained in the dummy and bandpass circuits. Thus, only a scaling of the capacitors is necessary. The frequency relation in the exemplary embodiment is given by the ratio:

$$\frac{f_1}{f_2} \approx \sqrt{\frac{(L_2)(C_2)}{(L_1)(C_1)}} \quad (5)$$

For this particular embodiment utilizing identical inductor values  $L_1 = L_2$ . This reduces to:

$$\frac{f_1}{f_2} \approx \sqrt{\frac{(C_2)}{(C_1)}} \quad (6)$$

5 For the exemplary embodiment this is equal to 925/1200, or a capacitance ratio of 3:5. However, it is understood that other ratios will allow tuning to be performed equivalently.

10 Returning to FIG. 24a various control signals applied to the tuning circuit are shown. In the event that the tuning is slightly off after the tuning procedure, an offset control circuit is provided within the tuning circuit of FIG. 24a to move the tuning of the filters up or down slightly by providing a manual means of adding or removing a capacitor. This control is shown by an "up/down" control line 2324 of FIG. 24a. The exemplary tuning circuit of FIG. 24a is additionally provided with a "LO" 2308 tuning frequency to tune the dummy filter. The 15 "10 MHz reference" signal 2326 is utilized as a clock in the tuning circuit that controls the sequence of adding capacitors. The "reset" signal 2328 resets the tuning circuit for the next tuning cycle.

20 FIG. 25 is a block diagram of an exemplary tuning circuit. A reset signal 2502 is utilized to eliminate all the capacitors from the circuit at power up by resetting a counter 2504 that controls the application of the switched capacitors. The reset signal may be initiated by a controller or generated locally. This provides a known starting point for filter tuning. Next a 25 filter figure of merit is examined to determine iteratively when to stop tuning.

30 FIG. 26 illustrates the amplitude 2602 and phase 2604 relationship in an LC filter tuned to its center frequency,  $f_c$ . In tuning a filter to a center frequency two responses are available for examination. Amplitude and phase response are parameters that may be used to tune the filter. For a wide band LC filter amplitude response 2602 is not the optimal parameter to monitor. At the center frequency the top of the response curve is flat making it difficult to judge if the response is exactly 35 centered. The phase response 2604 however, has a rather

5 pronounced slope at the center frequency. The steep slope of the phase signal provides an easily discernable transition for determining when the center frequency has been reached.

10 Returning to FIG. 25, phase detection is used to detect when a dummy filter 2506 has been tuned. An exemplary 925 MHz input from a narrow band PLL is input 2508 to a phase detector 2510. The phase detector compares the phase of a signal input to a dummy filter 2508 to a phase of the output 2512 of that filter 2506. The phase detector produces a signal that is internally low pass filtered to produce a DC signal 2514 proportional to the phase difference of the two input signals 2512, 2508. When tuned there is a 90 degree phase shift across capacitors internal to 15 the phase detector, that corresponds to 0 degrees of phase shift across the filter. Zero (0) degrees of phase shift produces a 0 volt output. Since it is known that with the capacitors switched out of the filter circuit 2506 that the center frequency of the filter is high, the comparator 2516 following the low pass 20 filter is designed to output 2518 a high signal that enables filter capacitors to be switched in until the phase detector 2510 indicates no phase difference is present across the filter 2506 at the tuned frequency. With a zero degree phase shift detected 25 the comparator 2516 disables the counter preventing any further capacitors from being switched into the filter circuit.

30 The phase detector 2510 of the exemplary embodiment utilizes a gilbert cell mixer 2512 and an integral low pass filter 2525 to detect phase. However, other phase detectors may be equivalently substituted for the mixer circuit. The 90° phase shift between an *i* port 2508 and a *q* port 2512 is being detected by the mixer. A 90° phase shift between the *i* and the *q* signals in the mixer provides a 0 volt output indicating that those signals are in quadrature relation to each other. The signals are shown as differential signals, however single ended signals may 35 equivalently be used.

5 The phase detector out 2514 is next fed into a comparator 2516 that is set to trip on a zero crossing detected at its input. When a zero crossing is encountered as the phase detector output approaches zero, the comparator latches and a counter 2504 is shut off and reset 2518. The comparator function is equivalently provided by any standard comparator circuit known by those skilled in the art.

10 The counter 2504 counts based on the 10 MHz reference clock 2524, although many periodic signals will suffice as a clock. As the counter advances more filter capacitors are switched into the circuit. In the embodiments of the invention 15 control lines 2526 are used to simultaneously switch the capacitors into the dummy filter and the bandpass filter bank. The control lines remain hard wired to both filters 2528, 2506, and are not switched off. However, once the comparator 2516 shuts the counter 2504 off the tuning circuit 2530 is inactive and does not affect the band pass filter 2520 in the signal path.

15 FIG. 27 is a schematic diagram showing the internal configuration of switchable capacitors in a differential signal transmission embodiment of the dummy filter 2506 and the construction of the phase detector 2510. A set of fifteen control lines 2526 are utilized to switch fifteen pair of MOS 20 capacitors 2702 on and off. The capacitors are switched in and out by applying a given control signal to a virtual ground point 2704 in this configuration. Thus, when the capacitors are connected as shown the control signal is being applied at a virtual ground. Thus, parasitic capacitances at this point will 25 not affect the filter 2506 performance. A gain producing LC stage 2706 of the dummy filter is of a differential configuration and has its LC elements 2708 connected in parallel with the MOS 30 capacitors 2702.

5 Thus, with a capacitance ratio of 3:5 being utilized in the exemplary one line of embodiment a hard wired bus 2526 going to the dummy filter 2506 will switch in 5 unit capacitors, while the other end of the line that goes to the bandpass filter (2528 of FIG. 25) in the signal path will switch in 3 unit capacitors.

10 In the mixer circuit that is used as a phase detector 2710 in the exemplary embodiment, differential image ("i") signals  $I_p$  and  $I_n$  and differential quadrature ("q") signals  $Q_p$  and  $Q_n$  are input to the phase detector. A conventional Gilbert cell mixer configured as a phase detector 2710, as shown, has delay between the  $i$  port 2508 and  $q$  port 2512 to the output 2514. The  $i$  delay to the output tends to be longer due to the fact that it must travel through a greater number of transistors than the  $q$  input to output path. Thus, even if  $i$  and  $q$  are exactly 90 degrees out of phase a DC offset tends to be produced due to the path length differences causing a phase error. To remedy this situation a second Gilbert cell mixer is duplicated 2710 and connected in parallel with the first 2710. However, the  $i$  port and the  $q$  port connected to the mixer 2712 are swapped to average out the delay thus tending to reduce the offset. This results in an almost  $0^\circ$  output phase error that is independent of frequency. Other types of phase detectors and other means of equalizing the delay, such as a delay line are understood by those skilled in the art to provide an equivalent function.

15 20 25 30 In the embodiment shown, the loss pass filter is implemented by a single capacitor 2714 at each output. However, other equivalent methods of achieving a low pass filter known to those skilled in the art are acceptable as well.

35 A method of filter tuning the advantageously uses the frequency synthesizer output is fully described in U.S. Patent Application No. 09/438,234 filed November 12, 1999 (B600:34013) entitled "System and Method for On-Chip Filter Tuning" by Pieter Vorenkamp, Klaas Bult and Frank Carr; based on U.S. Provisional

5 Application No. 60/108,459 filed November 12, 1998 (B600:33586),  
the subject matter of which is incorporated in its entirety by  
reference.

10 Filters contain circuit elements whose values are frequency  
and temperature dependent. The lower the frequency, the larger  
the size of the element required to realize a given value. These  
frequency dependent circuit elements are capacitors and  
inductors. The fabrication of capacitors is not as problematic  
as the fabrication of inductors on an integrated circuit.  
Inductors require relatively more space, and because of their  
size has a temperature dependent Q.

15 ACTIVE FILTER MULTI-TRACK INTEGRATED SPIRAL INDUCTOR

20 FIG. 28a is a plan view of a multi-track spiral inductor  
2800 suitable for integration onto an integrated circuit, such  
as one produced with a CMOS process. A standard CMOS process  
often utilizes a limited number of layers and a doped substrate.  
25 These conditions do not provide optimum conditions for  
fabrication an on chip inductor. Currents induced in the heavily  
doped substrate tend to be a source of significant losses. The  
multi-track inductor 2800 is made from several long narrow strips  
of metal 2804, 2806 connected in parallel 2808, 2810 and disposed  
upon an integrated circuit substrate 2802. A multi-track  
integrated spiral inductor tends to produce an inductance with  
a higher Q. High Q is desirable to achieve lower noise floors,  
lower phase noise in oscillators and when used in filters, a  
better selectivity. To reduce series resistance and thus  
30 improves the Q of a spiral inductor, a single wide track width  
in the spiral is typically used by those skilled in the art.

35 Skin effect is a frequency dependent phenomena, occurring  
where a given current is present in a conductor, that produces  
a current density in the conductor. At DC, where the frequency  
is zero, the current density is evenly distributed across a

5 conductor's cross section. As the frequency is increased the current crowds to the surface of the conductor. At high frequency substantially all of the current tends to flow in the surface of the conductor. Thus, the current density at the center of the conductor is very low, and at the surface it is greater. A skin depth is the depth in the conductor ( $\delta$ ) at which the current is  $1/e=0.368$  the value of the current on the surface.

10 The equation for skin depth is:

$$\delta = (2\pi f \sigma \mu)^{-1/2} \quad (7)$$

where:

15  $f$ =frequency in Hz

$\sigma$ =conductivity of the conductor in mhos/m

$\mu$ =permeability in Henrys/m

As can be seen from the equation (7) the frequency increases the skin depth decreases.

20 When track width is increased beyond 10-15  $\mu\text{m}$  the skin effect causes the series resistance of a spiral inductor to increase at high frequencies. Thus,  $Q$  is reduced even though a wide track has been used. This trend tends to limit the maximum  $Q$  achievable in integrated spiral inductors.

25 Reduced  $Q$  at high frequencies in spiral inductors having a wide track width tends to be caused by eddy currents induced in a spiral inductor's inner sections 2812. Multiple narrow tracks placed side-by-side 2804, 2806 tends to reduce the eddy currents produced. In a spiral inductor eddy currents tend to produce a 30 magnetic field opposing a desired magnetic field that produces a desired inductance. Thus, by reducing the eddy currents the desired inductance is more efficiently produced with less loss, hence raising the inductor's  $Q$ .

35 The multi-track technique is advantageously utilized in applications requiring a winding. Examples of devices utilizing

5 multi-track windings comprise: planar spiral inductors (rectangular, octagonal or circular patterns) transformers, and  
baluns. These devices are suitable for incorporation into  
architectures comprising: integrated circuits, hybrid circuits,  
and printed circuit boards.

10 The first exemplary embodiment shown in FIG. 28a is of a square spiral inductor 2800 that is wound in two turns with several narrow tracks 2804, 2806 disposed in parallel upon a substrate 2802. Equivalently any number of track may be used to achieve a multi-track design. A turn is counted each time the track is wound around in a spiral such that a starting point 2814 is passed. Typically 5 to 20 turns are utilized in a spiral, 15 with 3 to 10 producing optimum performance. Alternative embodiments of the invention equivalently utilize one or more turns as required to achieve a desired inductance for a given track width.

20 For example a single track spiral inductor is designed to have a single track width of 30  $\mu\text{m}$  in a given number of turns to produce a desired inductance. By splitting an exemplary 30  $\mu\text{m}$  wide track into two 15  $\mu\text{m}$  tracks 2804, 2806 disposed in parallel on the substrate, the inductor Q tends to increase. A typical Q for the single track inductor with a track-width of 30 mm is 25 5.14. The Q of the exemplary dual track inductor 2800 with two 15 mm tracks 2804, 2806 in parallel is typically 5.71. Thus, utilizing two narrower tracks in parallel tends to yield an improved Q over a single wider track. A typical improvement in Q for splitting an inductor's track is in excess of 10%. A further 30 splitting of an inductor's tracks into multiple narrower parallel tracks tends to further increase the measured Q.

35 FIGS. 28b-28g illustrate various planar devices comprising inductor 2820, 2822, 2824, 2816 and transformer 2826, 2818 configurations suitable for incorporating multiple tracks into their designs. The devices are shown with single tracks for

5 clarity. However, it is understood that each of the tracks shown in the devices may comprise multiple tracks constructed as described below. The method is advantageously used in, various planar inductor topologies comprising square 2820, octagonal 2822, and circular 2824.

10 An example of a 3-turn symmetric inductor is shown 2816. Each of the single tracks shown is sub-divided into multiple tracks as described below. The multiple tracks are joined only at the ends 2826. A series of phantom lines 2828 indicate tracks on a different layer, connected to a track shown by a solid line using one or more vias. When routing multiple vertical tracks 2825 that are tied in common with vias 2827 to a different layer 15 the tracks being routed may be reduced to one track 2829, or the multiple vertical structure may be maintained 2831. This method is suitable for suitable for symmetric inductors of any number of turns.

20 The symmetric inductor 2816 may be used as a building block to construct a transformer 2818. A second symmetric inductor 2833 is wound in parallel with the symmetric inductor shown 2816. The ends of the first inductor 2830, 2832 are kept separate from the second symmetric inductor 2834, 2836. The resulting four ends 2830, 2832, 2834, 2836 comprise the transformer connections. 25 The symmetric inductor with a parallel winding 2818 is suitable for use as a balun for converting single-ended signals to differential signals and vice versa. The coupling is provided by the winding arrangement.

30 Alternatively two symmetric inductors of the type shown 2816 are placed substantially on top of each other, on different layers to produce a transformer, or balun as previously described.

35 FIG. 28h is an illustration of a second embodiment of an inductor having a single winding comprising five tracks 2838 per layer. The tracks are a maximum of 5  $\mu\text{m}$  wide. The embodiment

comprises one or more layers. The second embodiment further  
5 comprises a square spiral form factor constructed from five  
conductive tracks 2838 per layer formed into a single turn.  
Individual tracks are kept at a maximum width of 5  $\mu\text{m}$ . A 0.6  $\mu\text{m}$   
10 gap between adjacent tracks 2840 is maintained. The minimum gap  
is a requirement for a given process. Here it is a limitation  
of the CMOS process. At frequencies between 2 GHz and the  
inductor's self-resonant frequency an inductor constructed of  
15 multiple tracks of widths up to the maximum width tends to  
exhibit improved performance in quality factor (Q). Utilizing  
multiple narrower tracks in parallel tends to yield an improved  
Q over a single wider track, and a single double track inductor.  
15 The tracks in each layer are connected at their ends by a  
conductive strip 2842.

In a third exemplary embodiment six tracks are disposed on  
a layer. In the embodiment, a 30 mm track inductor is split into  
20 six parallel tracks of 5 mm each. Utilizing 6 tracks tends to  
improve the Q from 5.08 to 8.25, a 62% increase in Q.  
Improvements in an inductor's quality factor tends to improve the  
suitability of spiral inductors for use in high frequency  
circuits. For example multi-track spiral inductors are  
25 advantageously used in high frequency voltage-controlled  
oscillator (VCO) and tuned amplifier circuits.

FIG. 28i illustrates the placement of tracks 2844, 2848 in  
a layered structure 2846. In constructing an inductor according  
to this technique a set of parallel tracks 2844, 2848 are  
30 disposed side-by-side in a arrangement similar to that of coupled  
transmission lines. The side by side pattern is disposed in  
multiple layers M5, M4, M3. Each track disposed in a common  
layer has a starting point and an ending point. Each track's  
starting point 2850 in a layer is coupled together, and each  
track's ending point is coupled together in the layer 2852. A

pass through track 2854 is disposed in a layer to provide access to the end of an inner turn.

5 The placement of conductive via holes V2, V3, V4 in the embodiments of the invention couple the tracks in adjacent layers M2, M3, M4, M5. In the multiple track inductors described, the multi-tracks are joined together at the beginning of a winding 2850 and again joined together at the end of the winding 2852 by 10 a conductive material. Vias between layers are formed to couple a bottom track to one or more tracks disposed in layers above it. Vias are utilized along the length of the track.

15 Thus, by utilizing this technique a group of multiple tracks are formed in a first embodiment by disposing tracks in a combination of vertical layers M2, M3, M4, M5 and side-by-side in the same layer 2856, 2858. In a second embodiment an inductor is formed by disposing tracks side by side in the same layer. In a third embodiment an inductor is formed by layering tracks 20 on top of each other vertically. By connecting the track layers vertically using vias, the series resistance loss tends to be decreased due to increased conductor thickness.

25 For example, in an embodiment three layers are utilized in which individual track width is limited to 5 to 6  $\mu\text{m}$  in width, with four to six tracks disposed in parallel in each layer. In the embodiment vias are used vertically between metal layers to connect the tracks. The vias are used in as many places as possible along the length of each track to couple the layers. However, the parallel tracks in the same layer are joined to each other only at the ends.

30 FIG. 28j is an illustration of an embodiment utilizing a shield 2860 disposed beneath an inductor 2862. A shield tends to double inductor Q in the 3~6 GHz frequency range for a lightly doped substrate, such as is utilized in a non-epi process, a 100% improvement. If a heavily doped substrate, such as is found in 35 an epi-process is utilized, the shield tends not to improve

5 inductor Q. The embodiment shown utilizes an n<sup>+</sup> shield 2860. An n<sup>+</sup> diffusion advantageously tends to possess less capacitance between the inductor and ground plane than if polysilicon is used as the shield material. The ground planes are silicided n<sup>+</sup> material possessing a low resistivity. Silicided n<sup>+</sup> material is available in the fabrication process utilized in CMOS.

10 FIG. 28k is an illustration of a patterned shield 2864 that is utilized beneath a multi-track inductor. A patterned n<sup>+</sup> shield is utilized beneath the inductor to reduce losses to the substrate. In the embodiment an n<sup>+</sup> diffusion is provided in a fingered pattern of n<sup>+</sup> regions 2866. Polysilicon is disposed in a series of gaps 2868 between the n<sup>+</sup> fingers. The patterned shield provides shielding equivalent to a solid ground plane, but 15 without undesirable eddy currents. The shield is disposed in a fingered pattern 2866 to prevent having a single large surface as a ground plane. Fingering tends to prevent the inducement of eddy currents flowing in one or more ground loops. Ground loops 20 tend to cancel the inductance produced in the spiral.

25 The finger structure of the patterned shield is constructed from an n<sup>+</sup> diffusion layer. The gaps between the fingers are filled with polysilicon material. The n<sup>+</sup> diffusion fingers and polysilicon fingers formed by the filling are not coupled to each other, thus preventing eddy current flow in the shield. An interdigitated shield 2864 as described above tends to be an improvement over an n<sup>+</sup> only shield 2860 of FIG. 28j. The interdigitated n<sup>+</sup> finger shield also tends to be an improvement over a higher capacitance fingered polysilicon shield having gaps 30 between the fingers, which is known in the art.

35 The individual fingers of like material are connected 2870. To suppress eddy currents and break ground loops care is taken in the connection of individual fingers 2886 in a ground shield pattern. The ends of the fingers in a row are connected by a conductive strip of metal 2870. This connection is repeated at

each grouping. The groupings are connected 2870 to a single ground point 2874. In an embodiment a ring of conductive material is disposed on the substrate to connect the finger patterns.

5 A cut 2876 in the ring is added to suppress ground loop currents. The cut maintains a single point ground by only allowing the flow of current in one direction to reach the single 10 point ground 2874.

15 One or more spirals of metal have a series resistance associated with them. A spiral can be quite long, thus, the series resistance of the inductor is not negligible in the design of the circuit even with a parallel connection of tracks. As the temperature of the circuit rises, such as would occur after the initial power-up of an integrated circuit, the series resistance of the inductor increases, thus causing the Q to decrease. Circuitry is provided to continuously compensate for this increasing series resistance.

20 An inductor, or coil, has always been a fabrication problem in integrated circuitry. Inductors are typically not used in integrated circuits due to the difficulty of fabricating these devices with high Q's and due to the large amount of area required to fabricate them.

25 It is a rule of thumb that the higher the frequency the smaller the dimensions of the integrated circuit component required in a filter to achieve a given set of circuit values.

30 A spiral inductor of the type described in the embodiments of the invention allows an inductor with improved Q's to be satisfactorily fabricated on a CMOS substrate. Many alternative embodiments of the spiral are known to those skilled in the art.

The realization of inductance required in any embodiment of the invention is not limited to a particular type of integrated inductor.

The details of multi-track spiral inductor design are disclosed in more detail in U.S. Patent Application 5 No. 09/493,942 filed January 28, 2000, (B600:36491) entitled "Multi-Track Integrated Spiral Inductor" by James Y.C. Chang; based on U.S. Provisional Application No. 60/117,609 filed January 28, 1999 (B600:34072) and U.S. Provisional Application No. 60/136,654 filed May 27, 1999 (B600:34676), the subject of 10 which is incorporated in this application in its entirety by reference.

FIG. 29 is an exemplary illustration of the possible effects of inductor Q on filter selectivity in a parallel LC circuit, such as shown in 2706 of FIG. 27. The Q of a spiral inductor 15 tends to be low. In order to advantageously control the Q so that the maximum performance of an integrated filter may be obtained, calibration of inductor Q is used.

The overall effect of this is that when a device with high series resistance and thus, low Q is used as a component in a filter that the overall filter Q is low 2902. A high Q filter response is sharper 2984. The goal of a filter is to achieve 20 frequency selectivity. The filter selectivity is the same electrical property as selectivity in the "front end" of the receiver previously described. If the filter has a low Q frequencies outside the pass band of the filter will not achieve 25 as great of an attenuation as if the filter contained high Q components. The high degree of selectivity is required to reject the multitude of undesirable distortion products present in a receiver that fall close to the tuned signal. Satisfactory 30 inductor dimensions and device Q have been obstacles in integrating filters on a CMOS substrate.

Prediction of the inductance yielded by the spiral is closely approximated by formula. However, prediction of the inductor's Q is more difficult. Three mechanisms contribute to 35 loss in a monolithically implemented inductor. The mechanisms

are metal wire resistance, capacitive coupling to the substrate, and magnetic coupling to the substrate. Magnetic coupling becomes more significant in CMOS technologies with heavily doped substrates, because the effect of substrate resistance appears in parallel with the inductor. The first four or five turns at the center of the spiral inductor contribute little inductance and their removal helps to increase the Q. In spite of extensive research inductors implemented in CMOS possess Qs after limited to less than five.

FIG. 30 is an illustration of a typical filter bank 3002 utilized in embodiments of the invention for filtering I and Q IF signals 3208. Band pass filters utilized in the embodiments of the invention have a center frequency  $f_c$  and are designed to provide a given selectivity outside of the pass band. The exemplary filters 3002 also incorporate gain. Gain and selectivity are provided by an amplification ("transconductance") stage with an LC load, resulting in an active filter configuration that gives the filter response shown. Selectivity is provided principally by the LC load. The gain is attributable to the transconductance stage. The transconductance stage comprises a linearized differential pair amplifier that has an improved dynamic range. Over temperature the filter response degrades as indicated in FIG. 30. This degradation is typically attributed to inductors.

With the spiral inductors utilized in the embodiments of the invention the gain of this filter stage is substantially determined by the Q or quality factor of the inductor. The Q is in turn substantially determined by the series resistance of the metal in the spiral of the inductor. The Q decreases as temperature increases causes an increase in inductor series resistance. The decrease in Q with increasing temperature adversely affects the filter characteristics. As can be seen in

306 at FIG. 30 as the temperature increases from 50°C 3004 to 100°C 3006 overall gain decreases, and selectivity is degraded.

5

#### ACTIVE FILTER UTILIZING A LINEARIZED DIFFERENTIAL PAIR AMPLIFIER

A linearized differential pair amplifier is used in the active filters present in the receiver. The technique utilized to linearize the CMOS differential pair described in light of 10 application to active filters may be utilized in any application in which a differential amplifier having a linear response is desirable.

FIG. 31a is a diagram of an exemplary differential transconductance stage 3102 with an LC load 3104. Together the 15 transconductance stage and LC load make up a filter 3002 that is a part of filter bank 3001. The exemplary embodiment of the filter is disposed on a CMOS substrate that is part of an integrated receiver.

FIG. 31b is a block diagram of a linearized differential 20 pair amplifier that is coupled to distortion canceling linearization circuit. Gain stage 3102 comprises a differential pair amplifier 3103 that has a linearization circuit 3105 coupled to form a linearized differential pair. In the embodiment shown the linearization circuit is coupled in parallel to the 25 differential pair amplifier.

The linearized differential pair typically improves maximum signal handling capability over that of a differential pair in excess of 19 dB. In the past, typical improvements with prior 30 art linearization schemes applied to differential pair amplifiers tended to be around 7 dB. Thus, the approach described in the embodiment tends to have a dynamic range advantage of 12 dB over the prior art.

An embodiment of the differential pair amplifier 3103 comprises a first and second FET transistor M1, M2. Equivalently, 35 other type of transistor are contemplated as satisfactory

5 substitutes. A differential input comprises signals  $V_{11}$  and  $V_{12}$  coupled to the inputs of the amplifier 3103 and linearization circuit 3105. A differential output comprises signals  $V_{o1}$  and  $V_{o2}$ .

An embodiment of the linearization circuit 3105 comprises two or more auxiliary differential pairs 3107, 3109 respectively. Each auxiliary differential pair comprises a first and a second FET transistor. Auxiliary differential pair 3107 comprises 10 transistors M3 and M4. Auxiliary differential pair 3109 comprises transistors M5 and M6. Equivalently, other type of transistor are contemplated as satisfactory substitutes. Further improvements in linearization is possible by adding more auxiliary differential pairs. However, as linearization is increased the 15 size of transistors contained in the additional auxiliary differential pairs decreases. Thus, a limit in the linearization that may be obtained is set by the practical aspects of device matching and scaling.

20 FIG. 31c is an illustration depicting a representative channel of any one of the typical field effect of transistors M1, M2, M3, M4. A channel of length  $l$ , and a width  $w$  and a thickness  $t$  is disposed on a substrate to form a field effect transistor (FET) as shown in FIG. 31c. The channel is provided with ohmic contacts 3111 for a drain connection and a source connection.

25 In an exemplary embodiment of a filter designed to operate at 275 MHz the channel lengths of M1, M2, M3, M4, M5, and M6 were chosen to have  $l = 0.6 \mu\text{m}$ . In Table I for an  $I_{ss} = 9 \text{ mA}$  and  $n = 16$  the channel widths for the transistors in the exemplary embodiment of the 275 MHz filter are shown.

30

Device Width				
$W_{1,2}$	$W_{4,5}$	$W_{3,6}$	$I_{ss}$	$n$
1.9 $\mu\text{m}$ x 20	2 $\mu\text{m}$ x 5	1.95 $\mu\text{m}$ x 2	9mA	16

35

Table I

The subscripts in table I refer to the transistor that is associated with a given channel width. For example  $W_{1,2}$  refers to the channel width of transistor M1 and M2.  $I_{ss}$  is the main pair tail current source, and n refers to the ratio of the main pair tail current source.

Transistor M1 and M2 has a width of  $1.9 \mu\text{m} \times 20$ , transistor M4 and M5 have a channel width of  $2.0 \mu\text{m} \times 5$ , and transistors M3 and M6 have a channel width of  $1.95 \mu\text{m} \times 2$ . In the notation used the dimension with an "x" refers to the number of transistors coupled in parallel. For example  $2.0 \mu\text{m} \times 5$  refers to 5 transistors with a  $2 \mu\text{m}$  channel width coupled in parallel, to form an overall  $10 \mu\text{m}$  channel width. An exemplary filter constructed with these channel widths and the fixed length exhibits a third order intermodulation typically less than -70 dB when fed with a two-tone input, each tone having a magnitude of 125 mV<sub>p</sub>.

The channel widths and lengths of the exemplary embodiment were chosen through an optimization process. The transistors in the auxiliary differential pair amplifiers, when stimulated by the amplifier input will produce a signal that when added to the gain stage output, will tend to reduce distortion.

FIG. 31d is a block diagram showing the interconnection of a differential pair amplifier 3103 to a linearization circuit 3105. Gain stage 3103 is made up of a differential pair amplifier comprising a pair of transistors M1 and M2, each transistor having a drain, a source and a gate. Transistors M1 and M2 tend to contribute to the majority of an overall amplifier gain produced.

In the differential pair amplifier the sources of M1 and M2 are each coupled to a first terminal of a current source  $I_{ss}$ . A second terminal of  $I_{ss}$  is coupled to ground. Current source  $I_{ss}$  is a conventional current source implemented in a manner known to those skilled in the art. The drain of M1 is coupled to an

5 output current  $I_1$ . The drain of M2 is coupled to an output comprising current  $I_2$ . A differential input voltage is applied across a pair of terminals  $V_{11}$ ,  $V_{12}$  that are coupled to the gates of M1 and M2, respectively.

10 The two auxiliary pair differential amplifiers 3107, 3109 are present as shown. The auxiliary amplifiers tend to linearize the currents  $I_1$  and  $I_2$ . Currents 3113 and 3115 tend to subtract non-linear currents from current  $I_1$  and current  $I_2$ , respectively. The gates of the differential pairs 3107, 3109 are also driven by the input differential voltage that is supplied to the differential pair amplifier 3103.

15 The relationship of transistor parameters of channel length and width (of FIG. 31c) in transistors M1, M2 to the transistor parameters of M3, M4, M5, M6, contained in the auxiliary differential pair amplifiers 3107 and 3109 of the linearization circuit, is to minimize distortion. The transistors function in relation to each other such that distortion created by the 20 transistors in the differential pair amplifier generating current outputs  $I_1$  and  $I_2$ , tends to be reduced by the currents generated by the transistors M3, M4, M5, M6 of the auxiliary differential pair amplifiers 3113, 3115. In order to select appropriate 25 transistor parameters a new CMOS differential pair linearization technique is utilized. The technique is found from examining the operating parameters of a differential pair amplifiers and cross coupled differential pair amplifiers.

30 FIG. 31e is a schematic illustrating a CMOS differential pair of transistors. In the exemplary embodiment the transistors are biased to operate in the saturation region. The differential pair of transistors generate a differential current output  $I_{d1}$  and  $I_{d2}$ , that is proportional to a differential input voltage, supplied by a pair of voltages  $V_{11}$  and  $V_{12}$  as referenced to a 35 circuit ground potential. The differential pair of transistors is comprised of a first transistor M1 and a second transistor M2.

Each transistor M1, M2 has a drain, a source and a gate terminal. The sources of M1 and M2 are coupled to a first 5 terminal of a current source  $I_{ss}$ . The current source  $I_{ss}$  has a second terminal which is coupled to the circuit ground. Current source  $I_{ss}$  is constructed conventionally as is known to those skilled in the art. The voltages  $V_{11}$  and  $V_{12}$  are applied to the gates of transistors M1 and M2 respectively. The drains of 10 transistors M1 and M2 supply the current outputs  $I_{d1}$  and  $I_{d2}$  respectively.

The differential pair of FIG. 31e is biased so that each transistor M1 and M2 operates in the saturation region defined by  $(V_{gs} - V_{th})_{M1,2} \leq V_{ds}$  for each transistor M1 and M2. Derivation of 15 this relationship is disclosed in "Analysis and Design of Analog Integrated Circuit Design", by P.R. Gray and R.G. Meyer, 3<sup>rd</sup> ed. John Wiley and Sons, 1983, the disclosure of which is herein incorporated in its entirety by reference. Where  $V_{gs}$  is a gate source voltage as measured across the gate and source terminals 20 of M1 and M2,  $V_{ds}$  is a drain source voltage as measured across the drain and source terminals of M1 and M2, and  $V_{th}$  is a threshold voltage associated with M1 and M2. A derived term  $V_{gt}$  is defined in conjunction with equation (7.1) and is equal to on 25  $V_{gs} - V_{th}$ . The superscript notation M1,2 associated with  $V_{gt}$  indicates the parameter is associated with transistors M1 and M2. When the differential pair shown in FIG. 31e is biased in the

saturation region the current and voltage relationship is given by equation (7.1).

5

$$\Delta I_s = I_{ss} \times \frac{\Delta V_s}{V_{gt}^{M1,2}} \times \left\{ 1 - \frac{1}{4} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^2 \right\}^{0.5} \quad \text{for } \Delta V_s \leq \sqrt{2} \times V_{gt}^{M1,2} \quad (7.1)$$

where:

10

$$\Delta I_d = I_{d1} - I_{d2}$$

$$\Delta V_s = V_{s1} - V_{s2}$$

$$V_{gt}^{M1,2} = (V_{gs} - V_{tn})_{M1,2} @ \Delta V_s = 0$$

15

Note that  $\Delta V_s$  denotes the peak signal level for each of the two signals.

20

A series expansion for  $(1-x^2)^{0.5}$  is applied to equation (7.1) to obtain equation (7.2) as a current output defined in terms of a sum of a series of input voltages each raised to progressively greater exponential powers.

$$\Delta I_d = I_{ss} \times \left\{ \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right) - \frac{1}{8} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^3 - \frac{1}{128} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^5 - \frac{1}{1024} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^7 \dots \dots \right\} \quad (7.2)$$

25

For small input signals  $\Delta V_s$  satisfying the condition,  $\Delta V_s \ll \Delta V_{gt}^{M1,2}$ , the first linear term of equation 2 is much larger compared to the higher order terms. Under this condition, the 30 output current  $\Delta I_d$  is almost a linear function of input voltage  $\Delta V_s$ .

However, as the input signal level approaches  $V_{gt}^{M1,2}$  higher order terms tend to contribute more to the output current. The contribution of the higher order, nonlinear terms gives rise to 35 spurious harmonic components and intermodulation distortion

(IM3). Thus the differential amplifier behaves linearly for small input signals and begins to distort when large signals are  
5 applied.

In filter design the more significant spurious response tends to be third order intermodulation distortion. The following process for minimizing distortion is carried out by considering only intermodulation distortion present in a  
10 differential pair amplifier.

For the differential pair of FIG. 31e, third order intermodulation distortion (IM3) is given in equation (7.6).

To calculate IM3, the coefficients in the following equation must first be found:  
15

$$\Delta I_d = a_1 v_1 + a_2 v_1^2 + a_3 v_1^3 + a_4 v_1^4 + a_5 v_1^5 + a_6 v_1^6 + \dots \quad (7.3)$$

20 Where  $v_1$  denotes the input voltage.

By comparing equation (7.3) to equation (7.6) the coefficients of equation (7.3) are determined:

$$a_1 = \frac{I_s}{(V_{GS} - V_{th})} \quad a_2 = 0$$

$$a_3 = -\frac{I_s}{8(V_{GS} - V_{th})^3} \quad a_4 = 0 \quad (7.4)$$

$$a_5 = -\frac{I_s}{128(V_{GS} - V_{th})^5} \quad a_6 = 0$$

30 The third order intermodulation components IM3, are known to be generated by the odd coefficients. Thus, by collecting the terms having odd coefficients, and defining their sum to be the third

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order intermodulation ("IM3") the following equation (7.5) is obtained.

5 Peak input voltage is denoted by a caret over the letter  $\hat{v}_1$ .

10

$$IM3 \approx \frac{3}{4} \frac{a_3}{a_1} \hat{v}_1^2 + \frac{25}{8} \frac{a_5}{a_1} \hat{v}_1^4 + \dots \quad (7.5)$$

15 Inserting the values for  $a_1$  and  $a_3$  and  $a_5$  from eq 4.22 into equation (4.23) yields an expression for third order intermodulation (IM3) that is expressed in terms of a differential pair amplifiers transistor parameters.

20

$$IM_3 \approx \frac{3}{32} \times \left( \frac{\Delta V_1}{V_{gt}^{M1,2}} \right)^2 + \frac{25}{1024} \left( \frac{\Delta V_1}{V_{gt}^{M1,2}} \right)^4 + \frac{735}{2^{16}} \left( \frac{\Delta V_1}{V_{gt}^{M1,2}} \right)^6 + \dots \quad (7.6)$$

25 The  $\Delta V_1$  of FIG. 31e denotes peak signal level for each of the two input signals.

30 A large signal transconductance ("G<sub>n</sub>") is the rate of change of input current ( $\Delta I_d$ ) with respect to the rate of change of the input voltage ( $\Delta V_1$ ). Large signal transconductance is found by differentiating equation (7.2) with respect to  $\Delta V_1$  to yield an expression for large signal transconductance.

5

$$G_x = \frac{d\Delta I_x}{d\Delta V_x} \\ \approx \frac{I_{ss}}{V_{gt}^{M1,2}} \left\{ 1 - \frac{3}{8} \times \left( \frac{\Delta V_x}{V_{gt}^{M1,2}} \right)^2 - \frac{5}{128} \left( \frac{\Delta V_x}{V_{gt}^{M1,2}} \right)^4 - \frac{7}{1024} \left( \frac{\Delta V_x}{V_{gt}^{M1,2}} \right)^6 \dots \dots \right\} \quad (7.7)$$

10

The first term of equation (7.7) represents a small signal transconductance (" $g_m$ "): 15

$$g_m = \frac{I_{ss}}{V_{gt}^{M1,2}} \quad (7.8)$$

A deviation of large signal transconductance ( $G_m$ ) from small signal transconductance ( $g_m$ ) is defined to be: 20

$$\frac{\Delta G_m}{g_m} \approx -\frac{3}{8} \times \left( \frac{\Delta V_x}{V_{gt}^{M1,2}} \right)^2 - \frac{5}{128} \left( \frac{\Delta V_x}{V_{gt}^{M1,2}} \right)^4 - \frac{7}{1024} \left( \frac{\Delta V_x}{V_{gt}^{M1,2}} \right)^6 \dots \dots \quad (7.9)$$

25

Transconductance variations are given by equation (7.9) which represents a fractional change in transconductance for variations in input signal level.

By examining the equations derived for relatively small signals, a relationship between two of the equations is noted. There is a relationship between the equation for third order intermodulation distortion and the equation for transconductance variations. The equations have common terms, and are directly proportional to each other. For a given input level, on examining equations and the third order intermodulation

distortion level of equation (7.6) is approximately one-quarter of the transconductance variations of equation (7.7). This  
5 relationship for small signals is expressed in equation (7.10):

$$IM_3 \approx \frac{1}{4} \times \frac{\Delta G_m}{g_m} \quad \text{for} \quad \Delta V \ll V_{gt}^{M1,2} \quad (7.10)$$

10

The relationship in equation (7.10) suggests that third order intermodulation distortion is controlled by controlling variations in transconductance that typically occur when the input voltage changes. Thus, to a first order of approximation,  
15 minimization of transconductance variations over a range of input signal levels tends to reduce third order intermodulation distortion (IM3). The minimization of transconductance variations is found by applying calculus to minimize the functional relationship.

20 FIG. 31f is a graph of a differential current ( $\Delta I_{1,2} = \Delta I_d$ ) and normalized transconductance ( $G_m/g_m$ ) as input voltage ( $V_{in} = \Delta V$ ) is varied in the differential pair of FIG. 31e. From this curve an exemplary baseline intermodulation distortion for an uncompensated differential pair amplifier of FIG. 31e is found.  
25 In creating this graph values of,  $V_{gt}^{M1,2} = 0.7V$  and  $I_{ss} = 2.4mA$  were used. The graph shows the increasing non-linearities present in the output current ( $\Delta I_{1,2} = \Delta I_d$ ) as the input voltage ( $V_{in} = \Delta V$ ) driving the amplifier increases.

For an input voltage of 250mv the large signal  
30 transconductance is .96 times the small signal transconductance  
3117. Thus,  $\Delta G_m/g_m \approx 0.04$ . By substituting .04 into equation (7.10) the third order IM level is 1/100, or -40 dB (-40=20Log (1/100)). A differential pair amplifier comprises a baseline from which improvements in linearity are measured. Interconnected  
35

linearizing circuitry is next added to the differential pair amplifier of FIG. 31e to improve its linearity.

5 FIG. 31g is a schematic diagram of a differential pair amplifier 3127 with a second cross coupled differential pair error amplifier 3129 added that tends to reduce distortion.

10 Linearity of a differential pair amplifier may be improved by using large values of an applied gate overdrive voltage  $(V_{GS} - V_{tr})_{M1,2}$  that is applied to transistors M1 and M2. A limiting factor in utilizing large values of gate overdrive voltage is a maximum available supply voltage. With a reduced scaling of device sizes common in today's more compact circuit layouts, a maximum available supply of voltage tends to be 15 reduced. Since a higher voltage required for a gate overdrive condition is not present, alternative linearization techniques are desirable. One technique is the addition of a cross-coupled differential pair 3129, that functions as an error amplifier, to a differential pair amplifier 3127.

20 A preferable linearization process takes the form of adding error currents  $I_{a3}$   $I_{a4}$  to differential amplifier currents  $I_{d1}$   $I_{d2}$  in a way that tends to improve the linearity of output currents 3131 3133. The error currents  $I_{d3}$  and  $I_{d4}$  are subtracted tend to become non-linear more rapidly than the currents of the 25 differential pair amplifier  $I_{d1}$  and  $I_{d2}$ .

Subtraction is achieved by cross coupling the amplifiers 3127 and 3129. A differential signal may be referenced to ground by considering it to be made up of two signals. The equivalent signal is a set of two individual signals, 180 degrees out of 30 phase and of equal amplitude referenced to ground. In a differential voltage signal the voltages have opposite polarities of equal amplitude at any given time.

In a differential current signal the currents flow in opposite directions and are of equal magnitude at any given time. 35 In the case of a current one signal flows into the terminal, the

other out of it. If the two differential signals are coupled to the same terminal the resultant signal would be canceled since 5 each signal is equal and opposite. If the signals are unequal the cancellation is not total.

Thus, by cross coupling the differential pair amplifier 3127 to the error amplifiers 3129 in parallel the currents  $I_{d3}$   $I_{d4}$  present in each drain of the error amplifier are coupled to the 10 drain currents  $I_{d2}$   $I_{d1}$  of the differential pair amplifier respectively. Paired signals  $I_{d3}$   $I_{d2}$  and  $I_{d4}$   $I_{d1}$  are 180 degrees out of phase and unequal in amplitude, causing a subtraction of The error amplifier current from the differential pair amplifier current in each lead.

15 The differential pair amplifier 3127 has a differential input  $V_{i1}$  and  $V_{i2}$ . The differential pair amplifier has a differential current output provided by currents 3131 and 3133. By Kirchhoff's current law the current 3133 flowing out of node 3121 is equal to a sum of branch currents  $I_{d3}$  and  $I_{d2}$  into node 20 3121. Similarly, current 3131 flowing out of node 3119 is equal to a sum of branch currents  $I_{d1}$  and  $I_{d4}$  flowing into node 3119. To provide the branch currents a main differential pair 3127 and an auxiliary differential amplifier alternatively termed an error amplifier 3129 are provided.

25 The main differential pair 3127 comprises transistors M1 and M2. The gates of transistors M1 and M2 are driven by differential input voltage  $V_{i1}$  and  $V_{i2}$ . The sources of M1 and M2 are coupled to a first terminal of a conventional current source  $I_{ss}$ . A second terminal of  $I_{ss}$  is coupled to ground. The drains of 30 M1 and M2 provide output currents  $I_{d1}$  and  $I_{d2}$ , respectively.

The auxiliary cross-coupled differential pair 3129 comprises transistors M3 and M4. The gate of M3 is coupled to the gate of M1, and the gate of M4 is coupled to the gate of M2. The sources of M3 and M4 are coupled together. The coupled sources of M3 and 35 M4 are in turn coupled to a first terminal of a current source

5  $I_{ss}/n$ . Current from source  $I_{ss}/n$  is a fraction of  $I_{ss}$  in order to control the current output  $I_{d3} I_{d4}$  of the auxiliary amplifier. A second terminal of  $I_{ss}/n$  is coupled to ground. The drain of M3 is coupled to the drain of M2. The drain of M4 is coupled to the drain of M1. This connection of gates and drains creates the desired cross coupling.

10 The current and voltage relationships in the cross coupled differential amplifier are as follows:

where:

$$15 \quad \begin{aligned} \Delta I_d^{1,2} &= \\ \Delta I_d^{3,4} &= \\ \Delta I_{Total} &= \Delta I_d^{1,2} - V I_d^{3,4} \end{aligned} \quad (7.11)$$

The  $\Delta I_d^{1,2}$  is given by:

$$20 \quad \begin{aligned} \Delta I_d^{1,2} &= \Delta I_a = I_{a1} - I_{a2} \\ &= I_{ss} \times \left\{ \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right) - \frac{1}{8} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^3 - \frac{1}{128} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^5 - \frac{1}{1024} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^7 \dots \dots \right\} \end{aligned} \quad (7.12)$$

25 The  $\Delta I_d^{3,4}$  is given by:

$$30 \quad \begin{aligned} \Delta I_d^{3,4} &= I_{d3} - I_{d4} \\ &= \frac{I_{ss}}{n} \times \left\{ \left( \frac{\Delta V_s}{V_{gt}^{M3,4}} \right) - \frac{1}{8} \left( \frac{\Delta V_s}{V_{gt}^{M3,4}} \right)^3 - \frac{1}{128} \left( \frac{\Delta V_s}{V_{gt}^{M3,4}} \right)^5 - \frac{1}{1024} \left( \frac{\Delta V_s}{V_{gt}^{M3,4}} \right)^7 \dots \dots \right\} \end{aligned} \quad (7.13)$$

5 Assuming that  $\frac{V_{gt}^{M1,2}}{V_{gt}^{M3,4}} = m$  and thus  $\frac{W^{M1,2}}{W^{M3,4}} = \frac{n}{m^2}$ , the total current is found to be:

10 
$$\Delta I_{total} = I_{ss} \times \left\{ \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right) \left( 1 - \frac{m}{n} \right) - \frac{1}{8} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^3 \left( 1 - \frac{m^3}{n} \right) - \frac{1}{128} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^5 \left( 1 - \frac{m^5}{n} \right) - \frac{1}{1024} \left( \frac{\Delta V_s}{V_{gt}^{M1,2}} \right)^7 \left( 1 - \frac{m^7}{n} \right) \dots \dots \right\} \quad (7.14)$$

15 Where the ratio of the channel widths comes from the current sources having a ratio of  $n$ , and the  $v_{gt}$ 's have a ratio of  $m$ . Thus, for a MOS transistor operating in saturation:

20 
$$I_{ds} = \frac{\mu C_{ox} W}{2L} \left( V_{gs} - V_{tn} \right)^2 \quad (7.15)$$

25 
$$\frac{I_{ds}^{M1,2}}{I_{ds}^{M3,4}} = \frac{W^{M1,2} \left( \left( V_{gs} - V_{tn} \right)^2 \right)^{M1,2}}{W^{M3,4} \left( \left( V_{gs} - V_{tn} \right)^2 \right)^{M3,4}} \quad (7.16)$$

30 
$$\therefore \frac{W^{M1,2}}{W^{M3,4}} = \frac{n}{m^2} \quad (7.17)$$

35 The third order term of equation (7.14) that controls the contribution of third order intermodulation goes to zero when  $m^3/n = 1$ . The cross coupled differential amplifier is described in more detail in P.R. Gray and R.G. Meyer, "Analysis and Designs of Analog Integrated Circuit Design," Third Edition, John Wiley

5 & Sons, 1993. Utilizing a value of  $n = 9.5$  and  $m = 2$ , a dynamic range of the input to the amplifier is increased by 6.5 dB, for an IM3 level of - 40 dB. Where  $n$  is the ratio of current source values, and the ratio of  $m$  to  $n$  was previously defined.

10 The dynamic range of the input to maintain a -40dB third order intermodulation level may be further extended. Extension of dynamic range is possible by using two or more differential pairs cross-coupled in parallel to a main differential pair. In an embodiment, the main differential pair has two auxiliary differential pairs associated with it to linearize the main differential pairs output.

15 FIG. 31h is a graph illustrating The linearized output current of a cross coupled differential output amplifier. The auxiliary differential pair amplifier 3129 of FIG. 31g subtracts a small current  $I_{a3}$ ,  $I_{a4}$  from the output current of the differential pair amplifier  $\Delta I_d^{3,4}$ . The currents  $I_{d3}$ ,  $I_{d4}$  are subtracted from The output currents  $I_{d1}$  and  $I_{d2}$ , respectively. 20 This small amount of current tends to become nonlinear more rapidly than  $\Delta I_d^{1,2}$ .

25 The derivation above for the circuit of 31h utilized a ratio of channel widths to adjust The proper error amplifier currents to cancel the third order intermodulation distortion. A chosen channel width for transistors M1 and M2 was selected, and a channel width was found for transistors M3 and M4 that tends to yield an IM3 level of -40dB. This yields an increase in dynamic range of approximately 6.5 dB. Increasing the number of auxiliary differential pairs present and utilizing a 30 linearization optimization process tends to improve overall amplifier linearity.

35 FIG. 31i is a schematic of a differential pair amplifier 3102 incorporating two auxiliary cross-coupled differential pairs 3107 3109 to improve linearization of the output response  $I_1$  and  $I_2$ . The main differential pair 3103 comprises transistors M1 and

M2. The gates of M1 and M2 are coupled to a differential input voltage  $V_{11}$  and  $V_{12}$ . The sources of M1 and M2 are coupled to a first terminal of current source  $I_{ss}$ . A second terminal of  $I_{ss}$  is coupled to ground. Current source  $I_{ss}$  is typically constructed as known to those skilled in the art. The drains of M1 and M2 supply currents  $I_{d1}$  and  $I_{d2}$ , respectively. The drains of M1 and M2 are coupled to current outputs  $I_1$  and  $I_2$ , respectively.

10 The first auxiliary differential pair 3107 comprises transistors M3 and M4. The gate of M3 is coupled to differential input voltage  $V_{11}$ . The gate of M4 is coupled to differential input voltage  $V_{12}$ . The sources of M3 and M4 are coupled together and then to a first terminal of a first current source  $I_{ss}/n$ . A second terminal of  $I_{ss}/n$  is coupled to a ground potential. Current source  $I_{ss}/n$  is typically constructed as a conventional current source as is known to those skilled in the art. The drain of M3 is coupled to the drain of M2. The drain of M4 is coupled to the drain of M1.

20 The second auxiliary differential pair 3109 comprises transistors M5 and M6. The gate of M5 is coupled to differential input voltage  $V_{11}$ . The gate of M6 is coupled to differential input voltage  $V_{12}$ . The sources of M5 and M6 are tied together to a first terminal of a second current source  $I_{ss}/n$ . A second terminal of  $I_{ss}/n$  is coupled to ground. The source of M5 is coupled to the source of M2. The source of M6 is coupled to the source of M1.

25 FIG. 31j is a graph of the currents present in the main and two auxiliary differential pair amplifiers graphed against input voltage as measured across the input terminals where  $V_{in} = V_{11} - V_{12}$ . This graph illustrates an offset between currents  $\Delta I_{3,4}$  and  $\Delta I_{5,6}$ . An offset is present where the input voltage passes through zero 3135. The currents  $\Delta I_{3,4}$  and  $\Delta I_{5,6}$  from the auxiliary differential pair amplifiers are much smaller than the main differential pair amplifier current  $\Delta I_{1,2}$ . It is

desired to produce an output current that varies a linear relationship to the input voltage. The differential currents from the auxiliary differential pairs  $\Delta I_{5,6}$  and  $\Delta I_{3,4}$  are subtracted from  $\Delta I_{1,2}$  to produce curve of total differential output current  $\Delta I_{\text{Total}}$ .

The composite curve  $\Delta I_{\text{Total}}$  is a more linear curve than  $\Delta I_{1,2}$ . Thus, by subtracting the currents produced by the auxiliary differential pair amplifiers, The linearity of The current versus voltage response is improved. The amount of current produced in auxiliary cross-coupled differential pairs over a range of input voltage  $V_{in}$  is related to a transconductance characteristic of each of a set of transistors in the amplifier.

Thus, to shape The  $\Delta I_{\text{Total}}$  curve is necessary to fabricate M3, M4, M5, and M6 so that the currents they produce will contribute to The linearization of The  $\Delta I_{\text{Total}}$  curve. Shaping is done through manipulating transconductance. Transconductance is an inherent transistor parameter related to drain current  $I_d$ . It is defined as follows:

$$g_m = \frac{dI_d}{dV_{gs}} \quad (7.18)$$

Thus, by controlling the transconductance of the transistors in the auxiliary differential pairs, the output current of the main differential pair is linearized by superposition of the currents. To reduce third order inter modulation close to zero, a flat  $G_m$  curve for the amplifier tends to be advantageous.

FIG. 31k is a graph of transconductance curves for the differential amplifier made up of a main differential pair amplifier 3103 and a linearization circuit 3105 comprising differential pair amplifiers 3107 and 3109. The main differential pair amplifier possesses a transconductance

characteristic shown by the curve  $G_m^{M1,2}$  having a peaked response.

To reduce third order air modulation distortion, it is desirable to shape the transconductance curve  $G_m^{M1,2}$  so that the peak of is flattened as shown by the curve  $G_m^{\text{Total}}$ . flattening is accomplished by subtracting or decreasing the  $G_m$  in the peak region of the curve. The decrease is achieved by The linearization circuit 3105.

Auxiliary differential pair amplifier 1 3107 exhibits a characteristic transconductance curve centered about a voltage offset  $V_{os}$  from zero input volts, and is denoted  $G_m^{M3,4}$  on the graph. The transconductance curve for auxiliary differential pair amplifier 2 3109 is offset in the negative direction from zero input voltage by an amount that is equal to the first auxiliary pair  $V_{os}$ , this curve is denoted  $G_m^{M5,6}$ .

FIG. 311 illustrates an equivalent circuit that provides an offset voltage  $V_{os}$  that permits shaping of The  $G_m^{\text{Total}}$  curve. The addition of an offset voltage in The auxiliary differential pair amplifiers allows a more accurate cancellation of non-linearities. The introduction of offset voltage  $V_{os}$  is illustrated by the addition of a voltage source in the gate leads of M3 and M6. The voltage source adds in series with The input voltages  $V_{i1}$  and  $V_{i2}$  to create the offset. The voltage source is shown as a battery. However, the offset voltage is equivalently added in a number of ways comprising building it into the semiconductor circuit parameters and providing biasing circuitry. The offset voltages are built into the circuit by choosing different widths for the auxiliary differential pair devices.

Returning to FIG. 31k, the transconductance curves of the auxiliary differential pairs add to form a  $G_m$  curve shown by  $G_m^{M3,4} + G_m^{M5,6}$ . The composite curve  $G_m^{M3,4} + G_m^{M5,6}$  is subtracted from the main differential pair curve  $G_m^{M1,2}$  to produce a final composite transconductance curve  $G_m^{\text{Total}}$  that controls the overall amplifier current response and linearity. The current

1 36664/PBH/B600 (BP 1298)

relationships for a differential pair amplifier that includes offsets in the linearization circuit are as follows:

5

$$G_m^{Total} = G_m^{M1,2} - (G_m^{M3,4} + G_m^{M5,6}) \quad (7.19)$$

10 The current in the auxiliary pairs is given by:

$$\Delta I_d^{3,4} = I_{d3} - I_{d4}$$
$$15 = \frac{I_{ss}}{n} \times \left\{ \left( \frac{\Delta V_i + V_{os}}{V_{gt}^{M3,4}} \right) - \frac{1}{8} \left( \frac{\Delta V_i + V_{os}}{V_{gt}^{M3,4}} \right)^3 - \frac{1}{128} \left( \frac{\Delta V_i + V_{os}}{V_{gt}^{M3,4}} \right)^5 \right. \\ \left. - \frac{1}{1024} \left( \frac{\Delta V_i + V_{os}}{V_{gt}^{M3,4}} \right)^7 \dots \dots \right\} \quad (7.20)$$

20

$$\Delta I_d^{5,6} = I_{d5} - I_{d6}$$
$$25 = \frac{I_{ss}}{n} \times \left\{ \left( \frac{\Delta V_i - V_{os}}{V_{gt}^{M5,6}} \right) - \frac{1}{8} \left( \frac{\Delta V_i - V_{os}}{V_{gt}^{M5,6}} \right)^3 - \frac{1}{128} \left( \frac{\Delta V_i - V_{os}}{V_{gt}^{M5,6}} \right)^5 \right. \\ \left. - \frac{1}{1024} \left( \frac{\Delta V_i - V_{os}}{V_{gt}^{M5,6}} \right)^7 \dots \dots \right\} \quad (7.21)$$

30

35

And the total current is:

5

$$\begin{aligned}
 \Delta I_{\text{Total}} &= \Delta I_a^{1,2} - (\Delta I_a^{3,4} - \Delta I_a^{5,6}) \\
 &= I_{ss} \times \left\{ \left( \frac{\Delta V_i}{V_{gt}^{M1,2}} \right) - \frac{1}{8} \left( \frac{\Delta V_i}{V_{gt}^{M1,2}} \right)^3 - \frac{1}{128} \left( \frac{\Delta V_i}{V_{gt}^{M1,2}} \right)^5 - \frac{1}{1024} \left( \frac{\Delta V_i}{V_{gt}^{M1,2}} \right)^7 \dots \right\} \\
 &\quad - \frac{I_{ss}}{n} \times \left\{ \left( \frac{\Delta V_i - V_{os}}{V_{gt}^{M5,6}} \right) - \frac{1}{8} \left( \frac{\Delta V_i - V_{os}}{V_{gt}^{M5,6}} \right)^3 - \frac{1}{128} \left( \frac{\Delta V_i - V_{os}}{V_{gt}^{M5,6}} \right)^5 - \frac{1}{1024} \left( \frac{\Delta V_i - V_{os}}{V_{gt}^{M5,6}} \right)^7 \dots \right\} \\
 &\quad - \frac{I_{ss}}{n} \times \left\{ \left( \frac{\Delta V_i + V_{os}}{V_{gt}^{M3,4}} \right) - \frac{1}{8} \left( \frac{\Delta V_i + V_{os}}{V_{gt}^{M3,4}} \right)^3 - \frac{1}{128} \left( \frac{\Delta V_i + V_{os}}{V_{gt}^{M3,4}} \right)^5 - \frac{1}{1024} \left( \frac{\Delta V_i + V_{os}}{V_{gt}^{M3,4}} \right)^7 \dots \right\} \quad (7.22)
 \end{aligned}$$

20

The desired end result is to choose variables  $V_{os}$ ,  $V_{gt}^{M4,4,5,6}$  and  $n$  for equation (7.22) so that a plot of  $\Delta I_{\text{Total}}$  verses  $V_i$  results in a straight line. An optimization package to aid 25 calculations is equivalently utilized to determine the desired parameters. A straight line has constant slope. The slope of the line is found by taking the first derivative. For the best possible linearity equation (7.22) is differentiated with respect to input voltage. Equation (7.22) is symmetrical with respect to 30 input voltage. Thus, the even order derivative terms are set to zero when evaluated at zero input voltage. Next, optimal values are derived for the three parameters  $n$ ,  $V_{os}$ , and  $V_{gt}^{M3,4,5,6}$ . The result is a maximally flat transconductance curve that yields a linear current verses voltage curve.

35

5 For example in a design that requires an IM3 better than 65 dB is required. From equation (7.10) a transconductance curve to achieve the desired IM3 has a flatness tending to be no greater than +/- 0.25 dB. To find the desired values the optimization process is carried out by inspection coupled with a process of trial and error. In using an iterative optimization process the following values were selected as a starting point:

10

$$V_{gt}^{M3,4} \approx V_{gt}^{M1,2} / 2 \quad V_{os} \approx V_{gt}^{M3,4} / 3 \quad (7.23)$$

15 The offset voltages are built into the integrated circuit by choosing the W/L ratio so that transistors that comprise the same differential pair have differing widths. For example as previously shown in Table I. In the case of a linearization circuit 3105, as shown in FIG. 311 the W/L ratio of M3 and M6 is 20 different from M4 and M5.

$$V_{os} \approx \left( \frac{V_{gt}^{M3,4}}{2} \right) \times \frac{\Delta(W / L)}{W / L} \quad (7.24)$$

25

30 The widths are found from equations (7.23) and (7.24). This completes a first pass of The design. Next the simulation program is utilized. In the simulation transconductance verses voltage and transistor channel widths are optimized to yield the targeted flatness.

In an alternative embodiment, a high degree of linearity is not be necessary. Ripple is allowed in the transconductance curve to produce satisfactory linearity.

35 In an embodiment the maximally flat transconductance curve for small signals zero IM3 distortion is produced. However, if

the curve must be maximally flat, the range of values for  $V_{in}$  is reduced. In the alternative embodiment allowing some ripple in 5 the transconductance curve, allows the range of input voltage  $V_{in}$  is that produces a finite intermodulation distortion to be extended.

FIG. 31m is a graph of the transconductance curve for The exemplary differential pair amplifier that extends the input 10 voltage range by allowing ripple in the overall  $G_m$  of the amplifier. The transconductance curve for a single differential pair amplifier 3137 is compared to one of FIG. 31i that utilizes The parameters of Table I 3139. By allowing ripple in the transconductance the range of  $V_{in}$  has been extended.

15 In an embodiment a number of additional auxiliary differential pairs are added to control IM3 distortion. However, if the devices required to implement the function obtained for a given linearity are too small than the amplifier cannot be built successfully.

20 Table II compares to tone intermodulation distortions simulation results for a differential pair against a structure described in an embodiment of the invention.

Two Tone Intermodulation Distortion Simulation Results							
$V_{in}$ peak each	40mV	50mV	100mV	200mV	250mV	300mV	350mV
Simple diff. pair	-73dB	-69.5dB	-57dB	-45dB	-41dB	-37dB	-34.5dB
New structure	-80dB	-80dB	-75dB	-73dB	-73dB	-73dB	-57dB

30 Table II

Initially, at a 40 mV peak input strength for each of two signals input to the amplifier, linearity in the embodiment is improved to -80dB. At approximately, a 100 mV input signal 35 strength, the difference in inter modulation between the prior

art structure and the embodiment of the invention approaches 20dB. The amplifier provides a layer response up to 5 approximately a 350mV peak input signal. Extending the linear input range by approximately 12dB results in four times the signal handling capability of that available in the prior art.

The details of linearizing a CMOS differential pair are disclosed in more detail in U.S. Patent Application 10 No. 09/573,356 filed May 17, 2000, (B600:36523) entitled "System and Method for Linearizing a CMOS Differential Pair" by Haideh Khorramabadi; based on U.S. Provisional Application No. 60/136,115 filed May 26, 1999 (B600:34678), the subject of which is incorporated in this application in its entirety by 15 reference.

FIG. 32 shows a transconductance stage 3102 with an LC load 3104 that is provided with Q enhancement 3202 and Q compensation over temperature 3206. Q enhancement 3202 tends to increase the circuit Q thus, increasing the frequency selectivity of the 20 circuit. A Q enhancement is provided by the transconductance element's  $G_m$ , 3202 connected as shown. Addition of this transconductance element is equivalent to adding a negative resistance 3024 that is temperature dependent in parallel with  $R'(T)$ . This negative resistance tends to cause cancellation of 25 the parasitic resistance thus, tending to increase the circuit Q.

The details of Q enhanced filters are disclosed in more detail in U.S. Patent Application No. 09/573,356 filed May 17, 2000 (B600: 36523) entitled, "System and Method for Linearizing 30 a CMOS Differential Pair" by Haideh Khorramabadi; based on U.S. Provisional Application No. 60/136,115 filed May 26, 1999 (B600:34678), the subject matter of which is incorporated in this application in its entirety by reference. Once an improved Q is achieved it is desirable to maintain it over the range of 35

temperatures encountered in circuit operation with temperature compensation circuitry 3206.

5 Due to a large positive temperature coefficient inductor quality factor (Q) is proportional to temperature. As temperature increases the resistance in the spiral increases, degrading the Q. The addition of transconductance from the  $G_m$  stage 3102 tends to increase the Q of the filter. However, the effects of 10 temperature on quality factor tends to cause wide gain variation tending to need further improvement. In an embodiment of the invention for a temperature range from 0 to 100°C, Q and gain vary +/- 15% in an unenhanced filter. In an embodiment with a Q enhanced filter, the Q and gain variation is doubled. In 15 multiple stages of filtering used in the embodiments, over 20 db of gain variation is thus encountered over temperature with the Q enhanced filters. This results in an unacceptable change in the conversion gain of the receiver. A further means of reducing the variation in Q (and thus gain) over temperature is desirable 20 3206.

#### ACTIVE FILTER INDUCTOR Q TEMPERATURE COMPENSATION

FIG. 33 shows a method of stabilizing inductor Q over temperature 3206. This method advantageously uses a DC 25 calibration loop 3202 and a dummy inductor 3304 to control the value of inductor series resistance  $R(T)$  and a resistive element  $R(1/T)$  3314 to produce a net constant resistance. Thus, Q induced variation in filter response due to temperature are controlled. This method advantageously does not require the use of any high 30 frequency signals in the tuning process. An inductor 3306 as utilized in the filters of FIG. 30's filter bank 3002 with its associated series resistance  $R(T)$  is shown as an element in a temperature compensation circuit 3208. An electronic device that supplies a variable resistance 3310 of an amount inversely 35 proportional to temperature is added into the circuit 3314. The

decreasing resistance of the additional resistance 3314 with  
increasing temperature counteracts the increasing resistance of  
5 the inductor's series resistance  $R(T)$ . In the circuit diagram  
this decreasing resistance is shown schematically as  $R(1/T)$ .  
This resistance is provided by the active resistance of a PMOS  
transistor biased accordingly 3314. However any device capable  
of producing the desired resistance characteristic described  
10 above is an acceptable substitute.

A PMOS resistor is used in two places 3312,3314 to place the  
control element 3314 in the circuit and remove the control  
circuit 3208 from a main circuit 3308. In the embodiment shown,  
the PMOS transistor's gate to source connection is placed in  
15 series with the spiral inductor 3306 of the LC circuit 3308  
making up an active filter stage. The active filter stage is  
controlled from a remotely located control circuit 3208 that  
contains a duplicate PMOS resistor 3312 and inductor 3304.  
Inductor 3304 is advantageously fabricated with the same mask  
20 pattern as used for inductor 3306. The control circuitry 3208  
is not a part of the filter circuitry 3308 in order to prevent  
undesirable interactions with the radio frequency signals present  
in the filter. In the control circuit shown, the active resistor  
3312 in series with the spiral inductor 3304 is duplicated  
25 remotely from the filter circuit 3308. To communicate the  
control signal 3316 the gate of the PMOS resistor 3312 is coupled  
to the gate of the PMOS resistor in the filter 3314.

The control circuit provides a conventional constant current  
and a conventional constant voltage source function to maintain  
30 a constant current through and voltage across the dummy spiral  
inductor 3304 duplicated in the control circuit. An exemplary  
constant current and constant voltage source is shown 3302  
incorporating a dummy inductor 3304. However, any circuit that  
maintains a constant voltage across, and current through the

inductor 3304 in the control circuit 3208 is sufficient for the design.

5 As gate voltage 3316 changes to maintain the constant current and voltage across the inductor in the control circuit 3304, the gate control signal 3316 is simultaneously fed to the LC filter stage 3308 PMOS transmitter 3314 to control the resistance, and thus the Q, of the inductor in the filter circuit  
10 3308.

An exemplary constant current and voltage source is illustrated 3302 comprising dummy inductor 3304. A temperature independent voltage reference  $V_{ref}$  is established by resistor R and conventional current sources I. Amplifier A's negative input  
15 is connected to the voltage reference, and its positive input is connected to a symmetrical point between an identical current source and the dummy inductor. The output of amplifier A is fed into the gate of the transistor functioning as a variable resistor 3312. The constant voltage drop over temperature at the node  $V_{ref}$  is compared to the voltage at the positive amplifier terminal. The amplifier controls the resistance of the PMOS transistor so that a constant current and constant voltage are maintained across the dummy inductor.

The calibration of inductor Q is described in more detail  
25 in U.S. Patent Application No. 09/439,156 filed November 12, 1999 (B600:34014) entitled "Temperature Compensation for Internal Inductor Resistance" by Pieter Vorenkamp, Klaas Bult and Frank Carr; based on U.S. Provisional Application No. 60/108,459 filed November 12, 1998 (B600:33586), the subject matter of which is  
30 incorporated in its entirety by reference.

#### COMMUNICATIONS RECEIVER

FIG. 34 is a block diagram of a communications network utilizing a receiver 3402 according to an exemplary embodiment  
35 of the invention. A communications network, such as a cable TV

network 3404, capable of generating signals provides radio frequency ("RF") signals 3406 over the air waves, through a cable or other transmission medium. Such a signal is typically single ended, although differential transmission is contemplated. A receiver front end 3408 next converts the RF single ended signal to a differential signal. In the embodiment shown the front end provides low noise amplification of a weak received signal by a low noise amplifier. The embodiment shown also includes an attenuator to reduce a strong received signal's level. An externally supplied control signal 4302 controls the amount of attenuation, or gain of the RF signal. A receiver front end, or a Balun may be used to convert a single ended signal 3406 to a differential signal or vice versa 3410.

The receiver block 3402 which contains an exemplary embodiment of the invention next converts the differential radio frequency signal 3410 to a differential intermediate frequency (IF) 3412. Equivalently, single ended signals, or a mixture of differential and single ended signals are utilized in the receiver block 3402.

A large gain range high linearity, low noise MOS variable gain amplifier ("VGA") 3403 is present to adjust the IF signal level 3412. A control voltage 3407 controls the gain of the IF signal such that a linear control voltage verses gain response is produced. A linearization circuit 3405 produces the linear control voltage from the control signal input 4302. The IF signal 3412 is next converted down to DC and demodulated into a base band signal 3414 by a demodulator 3416. At this point the base band signal 3414 is suitable for presentation to the video input of a television receiver, the audio inputs to a stereo, a set top box, or other such circuitry that converts the base band signal into the intended information output.

The communication system described is contemplated to provide the function described above in one or more circuit

assemblies, integrated circuits or a mixture of these implementations. In particular, the RF front end 3408 may be 5 integrated in a single chip with receiver 3402. Alternatively, the front end and receiver may be implemented as individual integrated circuits, on any suitable material such as CMOS.

In addition, the receiving system described utilizes additional exemplary embodiments that incorporate one or more 10 transmitters and one or more receivers to form a "transceiver" or "multiband transceiver." The transceiver contemplated may transmit and receive on differing frequencies or the same frequency with appropriate diplexer, transmit receive switching or functionally equivalent circuitry.

15 The frequency bands and modulation described in the specification are exemplary with the inventions not being limited in scope to any particular frequency band or modulation type.

#### RECEIVER FRONT END-PROGRAMABLE ATTENUATOR AND LNA

20 To achieve a low noise figure what is left out of the circuit is often as important as what is included in it to achieve a low noise figure. A circuit containing few components is desirable since each component in a circuit adds to noise generated in the circuit. Switches are often included early in 25 a signal path to switch in attenuator sections, reducing the level of a signal present. The reduction in signal level is necessary to prevent a following receiver circuit from being over driven into distortion.

In an embodiment a large gain range, high linearity, low 30 noise MOS VGA 3403 is used as an automatic gain control ("AGC") amplifier. Additionally, the circuit described as a front end circuit may also be employed as an AGC amplifier. The AGC amplifier may advantageously be used at any point in the signal processing chain where an adjustable gain and adjustable 35 attenuation according to an external control signal is desired.

In one specific embodiment, a control signal 4302 from an external pin on the integrated circuit is applied to RF front end 3408 and an IF AGC amplifier 3404. The control signal applied to the IF AGC amplifier 3403 is first conditioned by a linearization circuit 3405 so that a linear control of the IF AGC amplifier's gain is produced by varying the control signal 4302. The signal output by the linearization circuit 3405 is a control voltage 3407.

By way of example, control signal 4302 could be formed by sampling the sync pulses of the base band television signal and averaging the amplitude of the sync pulses over a period of time.

Advantageously, the present invention has eliminated the need for switches, reducing a major contributor to increased noise figure. In an integrated switchless programmable attenuator and low noise amplifier only two elements are present in the signal path to contribute to the noise figure. First an attenuator is present in the circuit path. The next element in series with the attenuator in the signal path is a differential pair low noise (LNA) amplifier. In the differential pair noise figure is lowered by introducing a sufficient bias current to increase a transconductance  $g_m$  associated with the amplifier. The increased  $g_m$  decreases the noise contribution of the differential pair.

By eliminating the need for switches it is possible to integrate the programmable attenuator and LNA onto a single CMOS integrated circuit. An additional advantage can be realized in using an integrated programmable attenuator and LNA as a "front end" of an integrated receiver. A single integrated circuit can be economically fabricated on CMOS that contains an entire tuner circuit including the front end and the tuner. Alternatively, the front end and tuner circuits may be on separate interconnected substrates.

FIG. 35 is an illustration of the input and output characteristics of an integrated switchless programmable attenuator and low noise amplifier 3502. Attenuator/amplifier 3502 simulates a continuously variable potentiometer that feed a linear amplifier. As the potentiometer setting changes the signal level at the input to the amplifier changes, and the output of the amplifier changes accordingly. The exemplary embodiment is a two radio frequency (RF) port device--the input port 3504 is configured to receive a single ended input signal from a source 3508 and the output port 3506 is configured to present a differential signal. In the single ended input configuration one terminal upon which a signal is carried is above ground reference 3510. In the differential output configuration the signal is divided and carried on two terminals above ground reference 3510.

In the exemplary embodiment multiple control signals 3512 are applied to the integrated switchless attenuator and LNA 3502. For example these signals are used to program the attenuator to various levels of attenuation, and for an output smoothness control.

In the exemplary embodiment the differential output 3506 advantageously tends to provide noise rejection. In a differential output configuration, the signal at one terminal is  $180^\circ$  out of phase from the signal at the other terminal and both signals are of substantially equal amplitude. Differential signals have the advantage that noise that is injected on either terminal tends to be canceled when the signal is converted back to a single ended signal. Such common mode noise is typically of equal amplitude on each pin and is typically caused by radiation into the circuit from external sources, or it is often generated in the circuit substrate itself. Advantageously, the present invention uses differential signal transmission at its output. It should be noted that in alternate embodiments of the

invention, that a signal ended output can be produced from the differential signal by various techniques known in the art.  
5 Also, equivalently a differential input may be substituted for the single ended input shown.

FIG. 36 is a functional block diagram of the integrated switchless programmable attenuator and low noise amplifier circuit. This embodiment illustrates how it is possible to  
10 eliminate switches that would be required in a conventional attenuator and LNA.

A resistive attenuator 3601 is configured as a ladder circuit made up of resistors configured as multiple pi sections 3602. A method of selecting resistor values such that a constant  
15 impedance is presented to the signal source is accomplished as is conventionally known in the art. An exemplary embodiment utilizes an R/2R configuration. Each pi section 3602 of the attenuator 3601 is connected to one input to a differential pair amplifier 3603. The other input to amplifier 3603 is grounded.  
20 The resulting attenuation produced at the output 3604 is controlled by the number of differential amplifier stages that are turned on and the degree to which they are turned on.

Individual amplifiers 3603 are turned on or off by tail-current generators 3605 associated with each stage 3603,  
25 respectively. Generation of the tail currents is discussed in more detail below in connection with FIGS. 44a and 44b. In FIG. 36 a zero or one is used to indicate if the corresponding tail-current generator 3605 is turned on or off, that is whether or not a tail-current is present. For example, a zero is used  
30 to show that no tail-current is present and the corresponding generator 3605 is turned off. A one represents a tail-current generator 3605 that is turned on rendering the corresponding amplifier 3603 functional. The zeroes or ones are provided by the control lines 3512 of FIG. 35 in a manner described in more  
35 detail in FIG. 43. All of the individual amplifier outputs 3506

are differential. Differential outputs 3506 are tied in parallel with each other. The resulting output 3604 is the parallel combination of the one or more amplifiers 3608, 3610, 3612 that are turned on. In an exemplary embodiment of the circuit 55 amplifiers have been implemented, with various combinations turned on successively. By using tail currents to selectively turn amplifiers 3603 on and off, the use of switches is avoided.

10 In this configuration any combination of amplifiers 3603 could be turned on or off to achieve a given attenuation before amplification of the signal. However, in a exemplary embodiment of the circuit, adjacent pairs of amplifiers are turned on and off. Groupings of amplifiers in the on state can be of any 15 number. In an embodiment ten contiguous amplifiers are turned on. The attenuation is adjusted up or down by turning an amplifier tail current off at one end of a chain of amplifiers, and on at the other to move the attenuation in the desired direction. The exemplary circuit is controlled such that a group 20 of amplifiers that are turned on slides up and down the chain according to the control signals 3512 of FIG. 35.

Any number of amplifiers 3603 can be grouped together to achieve the desired resolution in attenuation. By using the sliding configuration, input signals 3614 that are presented to 25 attenuator pi sections 3602 whose amplifiers are not turned on do not contribute to the output signal 3604. It can be seen from FIG. 36 that the signal strength of the output is dependent upon where the grouping of generators 3605 are turned on.

FIG. 37 is a simplified diagram showing the connection 3702 30 of multiple attenuator sections 3602 to the output 3604. An attenuator 3601 is made up of multiple pi sections 3602 cascaded together. Each pi section consists of two resistances of  $2R$  shunted to ground, with a resistor of value  $R$  connected between the non grounded nodes. Tap points 3702 are available 35 at the nodes of the resistor  $R$ . In FIG. 37 the first set of

nodes available for tap points in the first pi section would be nodes 3706 and 3708. After cascading all of the pi sections to 5 form a ladder network, a variety of tap points are available, these are noted as node numbers 3706-37150 in FIG. 37. A path from the input 3614 to any of the tap points, or nodes on the ladder network yields a known value of attenuation at the output 3604. If multiple tap points are simultaneously connected to the 10 attenuator, the resulting attenuation is the parallel combination of each connection. The combined or average attenuation at the output terminal can be calculated mathematically or, it can be determined using circuit simulation techniques available in computer analysis programs.

15 In addition it can be seen from FIG. 37 that by providing multiple tap points on a ladder network that in effect a sliding multiple contact action can be implemented contacting a fixed number of contacts, for any given position of the simulated slide 3716. The slide 3716 is implemented electronically in the 20 embodiments of the invention. The average attenuation by contacting a fixed number of these tap points 3706-3715 will increase as the slide or switch is moved from the left to the right on the ladder network. For example, minimum attenuation will be present when the slider 3716 contacts the force tap 25 points 3706,3707,3708,3709 at the far left of the ladder network 3601. The maximum attenuation will be achieved when the slider 3716 is positioned to contact tap points 3712,3713,3714,3715 at the far right of the network. In the exemplary embodiment 4, contacts are shown, however, in practice any number of contacts 30 may be utilized.

Mechanical switches are noisy. Mechanical switches are also unreliable and difficult to integrate on a semiconductor device. Returning to FIG. 36, in order to be able to integrate a switching function, and to eliminate mechanical parts, a 35 predetermined number of attenuator taps are switched to the

output by using tail current switching of differential amplifiers  
3603,3605. The differential amplifiers have the advantage of  
5 being able to be switched electronically with low noise and  
reliability. The differential amplifiers also provide the  
opportunity to introduce a gain into the circuit thereby  
increasing the signal strength available at the output to produce  
a low noise amplification. The gain achieved depends upon the  
10 number of amplifiers switched in. By changing the values of  
resistance in the ladder network and also by increasing or  
decreasing the number of amplifier stages that are turned on, the  
resolution of the attenuator can be varied to suit the needs of  
the system that an integrated switchless programmable gain  
15 attenuator and LNA is used in.

FIG. 38 is an illustration of an exemplary embodiment  
showing how the attenuator 3601 can be removed from the circuit,  
so that only the LNAs or differential stages 3605 are connected.  
Reference numerals 3801 to 3816 each represent a differential  
20 amplifier 3603 and a generator 3605 in FIG. 36. In the 0 dB  
attenuation case shown the signal strength of the output would  
be equal to the gain of the parallel combination of the four  
amplifiers that are turned on 3801,3802,3803,3804. The four  
activated amplifiers are indicated by a "1" placed on the circuit  
25 diagram. In an exemplary embodiment in which the sliding tap  
arrangement is used such that a given number of amplifiers are  
always turned on the configuration of FIG. 38 is necessary such  
that zero decibels of attenuation can be achieved when the  
required number of amplifiers are always turned on.

30 In an exemplary embodiment according to FIG. 38, a full 14  
dB gain from a combination of ten amplifiers is seen when a ten  
tap configuration is used with the top set to the 0 dB  
attenuation position. As the attenuation is "clicked" so that  
one amplifier at a time is switched, a 1 dB per pi section  
35 attenuator is placed in series with an amplifier, a full 1dB of

attenuation is not seen/click. In a graph of the control voltage  
versus attenuation curve this would be seen as a change in slope  
5 after the tenth amplifier is switched in. After the 10th  
amplifier is switched in the curve will show a 1dB/adjustment  
step.

FIG. 39 shows an exemplary attenuator circuit used to  
achieve 1 dB/step attenuation. Each resistive pi section 3602  
10 makes up one step. The characteristic impedance of the  
embodiment shown is 130 ohms. Using calculation methods well  
known in the art of attenuator design a pi pad having a  
characteristic impedance of 130 ohms may be realized utilizing  
series resistors  $R_s$  of 14 ohms or parallel or shunt resistors of  
15 1,300 ohms  $R_p$ .

FIG.40 illustrates an exemplary embodiment of an attenuator  
for achieving a finer resolution in attenuation. In this  
embodiment a resolution of .04 dB/tap is achieved. In the  
embodiment shown each series resistor  $R_s$ , connected between the  
20 shunt resistors in the ladder network has a string of series  
resistors connected in parallel with it. Each interconnection  
point between the added resistors 3402 provides a tap point that  
provides a finer adjustment in attenuation values.

In implementing an integrated, switchless, programmable  
25 attenuator and low noise amplifier, calculating the overall gain  
of a parallel combination of amplified and attenuated signals is  
analytically complex to calculate. For example, consider an  
embodiment utilizing 10 differential pair amplifiers in the  
output, connected to 10 different tap points. Ten signals  
30 receiving varying attenuations are fed into individual  
differential pair amplifiers. Gain of the amplifiers varies  
according to an adjustment for monotonicity. The amplified  
signals are then combined in parallel to yield the output signal.

Tail currents in the differential output amplifiers are not  
35 all equal. The tail currents determine the gain of a

5 differential pair, and are adjusted to provide a specific degree of monotonicity. Thus, the gain of each of the differential pair amplifiers varies across the 10 interconnected amplifier. The attenuation varies since each tap is taken at a different point to be fed into each of the differential amplifiers. In such an arrangement it would be expected that the middle signal line would represent the average, yielding an approximate figure for 10 the attenuation and gain of the combination of 10 signal lines. However, this is not the result. Through the use of computer simulation the behavior of this network has been simulated. In simulating behavior of this network it is found that the first 15 tap predominates in defining a response from the sum of the 10 taps. The first tap has the least attenuation and this yields the predominant signal characteristics.

20 In an embodiment utilizing 10 sliding taps the amplifier gain is a constant 14dB. The attenuator range is from 0-25 dB in 1dB steps. This yields an overall range of -11 dB to +14 dB for the combination of attenuator and amplifiers.

25 FIG. 41 illustrates the construction of the series and parallel resistors used an integrated attenuator. In this embodiment all of the resistors used are 130 ohms. This is done to control the repeatability of the resistor values during 30 fabrication. Ten of these resistors are connected in parallel to yield the 13 ohm resistor used as the series attenuator element  $R_s$  of FIG. 39. Ten of these 130 ohm resistors are connected in series to yield 1,300 ohms to realize the parallel resistance legs  $R_p$  of FIG. 39 of the attenuator. Building the attenuator from unit resistors of 130 ohms also, provides improved matching. By matching resistor values in this method variability is minimized to that of the interconnections between the resistors. This allows the ratio between series and parallel resistances to remain constant from pi section to pi section 3602

in the ladder network that makes up the attenuator 3601 of FIG. 36.

5 FIG. 42 is an illustration of an exemplary embodiment utilized to turn on each of the differential amplifiers. This arrangement produces a monotonically increasing output verses control voltage 4202. In this illustration, five amplifiers 10 4204-4208 grouped together make up the electronically sliding tap arrangement. Numbers on the illustration indicate the fractions 15 of tail-currents relative to the full value used to turn on each amplifier. Amplifiers are partially turned on at the ends of the group. Gradual turn on of the amplifiers at the ends of the group is done to control overshoots and undershoots in the amplifier gain. These over shoots and under shoots are seen upon the application of a control voltage applied.

Varying a smoothness control provided in a programmable attenuator and LNA to one extreme yields good linearity in the frequency response but overshoots in gain with increases in control voltage. Varying the smoothness control to the other 20 extreme yields a very smooth gain verses control voltage curve with more nonlinearity. The optimum value for the smoothness control yields a value of monotonicity that is the maximum that the system can tolerate in the form of data loss throughout the circuit.

25 If all five amplifiers of FIG. 42 were turned on with the full value of tail-currents, the gain versus control voltage curve would be as shown in the solid line 4210. By not fully turning on some of the differential pair amplifiers the overshoot and undershoot in the gain versus control voltage curve may be 30 minimized. With the tail-currents configured on the sliding tap as shown in FIG.42, the gain versus control voltage curve will appear as shown by the dotted line 4202. In this configuration, the middle three amplifiers have their tail-currents fully turned on with the remaining two amplifiers at the beginning and end of 35 the chain only having their tail-currents half turned on.

Equivalently, other weighing of total currents may be used to achieve substantially the same effect.

5 A plot of gain versus control voltage for the entire integrated switchless programmable attenuator and low noise amplifier would preferably appear as a staircase over the entire control voltage range. By controlling the turn on of the tail-current, the non-monotonicity of the gain versus the control 10 voltage curve is reduced so that the gain monotonically increases with the application of an increasing control voltage to yield the desired stair step shape response, where FIG. 42 illustrates one "step" 4202 in the response. Non-monotonicity in gain versus control voltage is not a time dependent phenomenon. The shape 15 of the curve tends to depends on the physical implementation of a circuit and a switching arrangement for turning tail-currents on and off.

Non-monotonicity is an undesirable characteristic tends to degrade overall systems performance. In receiving QAM data the 20 degradation is seen as a loss in received data. By improving the monotonicity characteristic of an amplifier linearity of the amplifier is degraded. Gradual switching of the tail-currents causes some differential pairs to only partially turn on. Differential pairs that are partially turned on introduce more 25 nonlinearities into the circuit output than a fully turned on differential pair.

A transistor that is only partially turned on is only capable of handling a smaller signal than one that is more fully turned on. A transistor that is only partially turned on receiving a large input signal over drives the transistor producing a distorted output. Thus, by gradually turning on the 30 tail-currents in some of the differential pair amplifiers, the linearity tends to be degraded, however, this degradation in linearity allows a monotonically increasing gain versus control 35 voltage curve to be achieved.

Monotonic increase of gain versus control voltage tends to improve system performance. In the case of the QAM television signal being transmitted through the amplifier a view of a QAM constellation would actually be seen to wiggle with tail-currents of all differential pair amplifiers simultaneously and fully turned on. With gradual tail-current switching, the constellation is not seen to wiggle, and data is not lost. The problem with the non-monotonicity causing the constellation to wiggle is that each time an attenuator value is switched into the circuit QAM data tends to be lost, thus degrading overall system performance of the signal transmitted through the circuit.

As part of an exemplary embodiment's operation, an automatic gain control (AGC) 3512 of FIG. 35 would be generated as one of the control signals by external receiver circuitry to adjust the input signal level presented to the receiver. This AGC control voltage would be fed into a control voltage input 3512 to select a value of attenuation through the circuit assembly. It is desirable to switch the attenuator such that when the attenuation is adjusted, the data is not lost due to the switching period. In an exemplary embodiment of the present invention it is necessary to switch a maximum of .04 dB per step in attenuation value.

FIG. 43 is an illustration of an embodiment showing how individual control signals 4301 used to turn on individual differential pair amplifiers are generated from a single control signal 4302. There are many ways to generate control signals to turn on the differential pair amplifiers, individual control lines may be utilized, or a digital to analog converter may be used to transform a digital address to an analog control voltage.

In the embodiment of FIG. 43 to generate the control signals resistors 4304 are connected in series between a power supply voltage and ground to create a series of reference voltages at each interconnecting node. The voltages at each node between the

resistors is the reference input for one of a series of  
5 comparators 4306. The reference input of the comparator connects  
to a node providing the reference voltage setting. The other  
input of the comparator is connected to the control voltage 4302.  
When the value of the control voltage exceeds that of the  
reference voltage for a given comparator the comparator goes from  
10 a zero state to a one state at its output. The zero state is  
typically zero volts and the one state is typically some voltage  
above zero. The voltage generated to produce the logic one state  
is such that when applied to a gate of a transistor making up the  
current tail 4308 it is sufficient to turn on the differential  
15 pair of amplifiers that constitute the low noise amplifier (LNA)  
controlled by that current tail.

As can be seen from FIG. 43, all the LNA amplifiers set to  
be activated with a control voltage of the current setting will  
be turned on. In this arrangement simply increasing the control  
voltage simply turns on more LNA amplifier stages. Additional  
20 circuitry is required to deactivate previously activated  
amplifiers such that only a fixed number of amplifiers remain  
turned on as the control voltage increases. This is done so that  
the sliding potentiometer function can be implemented with this  
circuit.

25 FIGS. 44a and 44b illustrate an embodiment of one of the  
individual comparator stages 4308 of FIG. 43 used to turn on or  
off individual LNA amplifier stages. In the integrated  
switchless programmable attenuator and low noise amplifier the  
30 circuitry used to activate individual cells is duplicated at each  
attenuator's tap point and interconnected so that a sliding tap  
can be simulated using a single control voltage,  $V_{ctr}$  4302.  
In describing a cell's operation it is convenient to start with  
the control voltage 4302 that is being applied to achieve a given  
attenuation value.

5 To illustrate the comparators operation, a control voltage  
is applied to each of a series of comparators, as is shown in  
10 FIG. 43. The circuit of FIGS. 44a and 44b makes up one of these  
comparators. FIGS. 44a and 44b show the control voltage as  $V_{ctr}$ ,  
and the reference voltage as  $V_{ref}$ . These voltages are applied to  
the gates of a differential pair of transistors (Q1 Q2). The  
circuit in FIGS. 44a and 44b surrounding Q1 and Q2 functions as  
15 a comparator with low gain. The gain of the comparator is kept  
low to control the speed of switching on and off the  
tail-currents of the low noise amplifiers.

15 In FIGS. 44a and 44b when the control voltage input  $V_{ctr}$   
passes the reference level set at  $V_{ref}$  the amplifier with its  
20 reference set closest to, but less than  $V_{ctr}$  remains deactivated.  
(The  $n+1$  amplifiers where  $V_{ctr}$  has not exceeded  $V_{ref}$  remain turned  
off, until activated by  $V_{ctr}$ .) First the comparator output  
25 "current (cell n)" goes high. When "current (cell n)", which is  
connected to the gate of Q15, goes high it switches the  
transistor on. Transistors Q16 and Q17 are used to deactivate  
the adjoining current mirror circuit. Amplifier,  $Amp_n$  is turned  
off by shunting current away from the current mirror 4402,  
shutting off the tail current Q15. Thus, the current amplifier  
cell with a comparator that has just been tripped remains turned  
30 off.

30 Comparator output signal "next (cell  $n+10$ )" is the opposite  
state of "Current (cell n)". The next 10 cells are turned on by  
the control signal "next (cell  $n+10$ )". These cells have not yet  
had their comparators tripped by the control voltage present on  
35 their inputs. Thus the bottom of the sliding tap is pushed up  
and down by the control voltage,  $V_{ctr}$ . In this state transistors  
Q16 and Q17 in the next 10 cells are not conducting current away  
from the current mirror. This allows the current tails of each  
amplifier, Q15 to conduct causing amplifier  $Amp_n$  to be turned on  
in each of the 10 cells.

5 Note that as a larger number of cells are grouped together, for simultaneous turn on, a larger number of differential amplifier cells in the integrated switchless programmable attenuator and low noise amplifier are required to achieve the same attenuation range.

10 Once the control voltage has been exceeded for a given cell, the default state for all the previous amplifiers Amp<sub>n</sub> is to be turned on, unless the cell is deactivated by either Q1 or Q2 being activated.

15 The signal "previous (from cell n-10)" deactivates amplifier cells when it is in the high state. This signal is supplied from the previous identical comparator.

20 In FIGS. 44a and 44b, a provision for adjusting the abruptness of amplifier gain is provided. Transistors Q3 and Q10 are being used as variable resistors. These variable resistors are used to change the gain of the comparator. Varying the gain of the comparator allows the abruptness in the overall amplifier gain to be controlled. Putting a high voltage on "smoothness control" causes the drain of Q5 and Q6 to be shorted together. The gain is reduced and a very gradual transition between states is provided by doing this.

25 A receiver front end such as previously here is described November 12, 1999 (B600:33757) entitled "Integrated Switchless Programmable Attenuator and Low Noise Amplifier" by Klaas Bult and Ramon A. Gomez; based on U.S. Provisional Application No. 60/108,210 filed November 12, 1998 (B600:33587), the subject 30 matter of which is incorporated in its entirety by reference, may be used before the fully integrated tuner architecture.

RECEIVER FREQUENCY PLAN AND FREQUENCY CONVERSION

5 Returning to FIG. 19 a block diagram illustrating the exemplary frequency conversions utilized in the embodiments of the invention. An RF signal 1906 from 50 MHz to 860 MHz that is made up of a plurality of CATV channels is mixed 1916 down by a first LO ( $LO_1$ ) 1912 that ranges from 1250 MHz to 2060 MHz, depending upon the channel tuned, to a first IF signal 1918 that  
10 is centered at 1,200 MHz. This 1,200 MHz first IF signal is passed through a first filter bank 1912 of cascaded band pass filters to remove undesired spurious signals. The first frequency conversion in the receiver is an up conversion to a first intermediate frequency 1918 higher than the received RF  
15 frequency 1906. The first intermediate frequency is next mixed 1932 down to a second IF 1922.

A second local oscillator signal at 925 MHz ( $LO_2$ ) 1904, is used to mix 1932 the first IF 1918 down to a second IF 1922 signal centered at 275 MHz. A second bank of band pass filters 20 1934 removes spurious outputs from this second IF signal 1922, that have been generated in the first two frequency conversions.

A third frequency conversion 1924, or the second down conversion to the third IF 1926 is accomplished with a third LO ( $LO_3$ ) 1930 of 231 MHz. A third filter 1936 removes any spurious 25 responses created by the third frequency conversion and any remaining spurious responses that have escaped rejection through the previous two filter banks. This third band pass filter 1936 may have its response centered at 36 or 44 MHz. A 44 MHz IF produced by the 231 MHz LO is used in the United States while a 30 36 MHz IF is used in Europe. The  $LO_3$  is adjusted accordingly to produce the 36 MHz IF. The local oscillator's signals are advantageously generated on chip in the described embodiments. However, in alternative embodiments the receiver implementation need not necessarily be limited to on chip frequency generation.  
35 In the embodiment shown the second LO 1904 is advantageously

generated by a narrow band PLL circuit 1910 that includes a VCO and a control circuit that tends to keep the VCO centered.

5

#### LOCAL OSCILLATOR RELATIONSHIP

FIG. 45a is a block diagram illustrating the exemplary generation of local oscillator signals utilized in the embodiments of the invention. In the embodiment shown the local oscillator circuitry is disposed upon a semiconductor substrate 4503. Equivalently the local oscillator signals may be produced by circuitry that is not disposed upon a semiconductor substrate. Other suitable materials are printed circuit boards comprising ceramic, Teflon, glass epoxy, and so on. In the embodiment shown the oscillator circuitry is integrated as a part of a tuner integrated circuit on a common substrate. The frequency plan utilized in the embodiments utilizes a pure third local oscillator signal (LO3) 1930, created by direct synthesis 4502 that falls within the band of received signals. The first two local oscillator signals ("LO1") 1902, ("LO2") 1904 are generated using indirect synthesis techniques utilizing a pair of phase locked loops 4504, 4506.

A third local oscillator ("LO3") 4502 uses direct synthesis, to divide the second local oscillator frequency LO2 down to create the third local oscillator signal LO3 1930. The local oscillator signals LO1:1902 LO2:1904 LO3:1930 utilize differential signal transmission in transmitting the local oscillator signals to the desired mixers 1916, 1932, 1924 of FIG. 19 respectively. In alternative embodiments single ended transmission is utilized to conduct the signals to their intended locations.

The indirect synthesis of the first and second LOs utilizes a frequency reference generated by a 10 MHz crystal oscillator 5408. The 10 MHz crystal oscillator utilizes the previously disclosed differential signal transmission and a unique design

that advantageously tends to provide an extremely low phase noise reference signal.

5 The PLLs utilize tuning methods to change frequencies, as required when tuning a desired channel or maintaining a desired frequency once set to a desired frequency. The first local oscillator (LO<sub>1</sub>) 1902 is produced by utilizing a method of wide band tuning. The second local oscillator (LO<sub>2</sub>) 1904 is produced  
10 by narrow band tuning. The embodiments advantageously utilize a narrow band tuning circuit and method to achieve frequency lock in the narrow band PLL.

#### NARROW BAND PLL 2 AND VCO

15 FIG. 45b is a block diagram that illustrates the relation of the VCO to the second LO generation by PLL2. Circuitry to generate the second LO frequency of 925 MHz 1904 includes a narrow band PLL 4506. A component of the PLL loop is a voltage controlled oscillator ("VCO") 4532 that changes the second LO frequency in response to a control signal 4533. The VCO also  
20 operates under the control of a VCO tuning control circuit 4535. The VCO tuning control circuit generates a set of control signals 4520 that tend to maintain an optimal range of control voltage in the VCO that in turn tends to provide a valid frequency lock  
25 state in the PLL. The VCO tuning control circuit is controlled via external signal lines that accept external commands and provide status indications 4510 4512 4514 4516 4518 that tend to be useful for controlling receiver operation.

30 FIG. 45c is a block diagram of an embodiment of a VCO 4532 utilizing a tuning control circuit 4535. A control voltage 4533 acts on the VCO circuit 4532 to produce an output frequency 1904. In the VCO circuit an increasing control voltage typically produces an increasing output signal frequency  $f_{\text{OUT}}$ . The control voltage typically provides a fine resolution in setting the VCO  
35 frequency. The fine setting is susceptible to disruption due to

temperature and process variations typical in VCO implementations. Typically a predetermined control voltage 5 designed to fall near the middle of a VCO's tuning range places the VCO at the center of a tuning range. It is desirable to have a VCO that tends to have a linear relationship between control voltage 4533 and frequency output 1904. However, a linear relationship tends to be difficult to maintain, especially in an 10 integrated circuit.

In an integrated circuit, process variations and temperature effects tend to work against maintaining the linear relationship. It is desirable to provide a VCO having performance that tends to be immune to these effects. A sliding window function that is 15 capable of tracking variations in circuit performance is provided by a VCO tuning control circuit 4535. The sliding function is provided by changing a VCO tank circuit's resonant frequency by varying its capacitance.

A VCO that tunes linearly at one temperature may fail to 20 maintain linearity at an elevated temperature. Likewise, a linearly tuning VCO fabricated in one lot run may be found to tune non-linearly when produced in a subsequent production run. Temperature and process effects may also cause a controlled 25 voltage range to produce a range of output frequencies at  $f_{out}$  that are outside of a desired tuning range. A VCO integrated onto a semiconductor substrate 4503 tends to require an improved phase noise specification over a particular tuning range.

In an exemplary PLL, with a lock range of 922 MHz to 929 MHz, suitable for use in a cable tuner disposed on a CMOS 30 integrated circuit substrate, a phase noise specification sufficient for NTSC and QAM reception tends to be desirable.

To counteract temperature in process variations in an integrated VCO, the tuning control circuit 4535 is utilized. In an embodiment the tuning control circuit 4535 is disposed upon 35 the same substrate 4503 as an integrated VCO 4532. In an

alternative embodiment the tuning control circuit 4535 is implemented off of the substrate.

5 The tuning control circuit has multiple inputs. It is supplied with a "clock" input 4514 to provide sequencing in performing its internal operations. In the exemplary embodiment the clock signal is derived from the 10 MHz reference signal 4508 of FIG. 45a. An indication of external circuitry state 4510 is 10 input to the tuning controls circuit. The "state" signal is derived from the VCO's loop filter. A "reset" line 4512 is provided as an input to reset the internal tuning controls circuitry prior to commencement of a new tuning process cycle.

15 The tuning control circuit produces an output to the VCO 4532 comprising one or more ("n") control lines 4520 that control VCO 4532 tuning circuitry. Such tuning circuitry may be one or more circuit component that sets the VCO tuning range. In an embodiment of the invention six control lines 4520 are provided.

20 The tuning control circuit 4535 provides two additional outputs. An "in lock" output 4518 provides an external indication that a phase lock condition in the VCO has been achieved. The output labeled "done" 4516 provides an indication that the tuning control circuit has finished performing its function of centering a VCO tuning range.

25 FIG. 45d is a block diagram of an embodiment of a VCO having a tuning control circuit and showing tuning control circuit interaction with major VCO components. A typical VCO as known to those skilled in the art comprises circuitry that implements the subsystems shown in FIG. 45d. Typical VCO subsystems comprise a 30 gain block 4599, a feedback network 4505 and a summing junction 4507 that couples the amplifier output, as modified by the feedback network, to the amplifier input. These functions are often implemented by circuit components that poses interconnections that are not as easily identifiable as shown.

However, in any functioning oscillator the functional subsystem and interconnections as illustrated are present.

5 A VCO is an oscillator that produces a variable frequency output  $f_{out}$ , that is proportional to a control voltage input 4533. A VCO is typically integrated on an integrated circuit substrate 4503. Major components of a VCO comprise an amplifier 4599 a source of feedback, such as feedback network 4505 typically 10 comprising a resonant tank circuit and a path to couple the feedback to the amplifier's input represented by a summing junction 4507.

The VCO shown 4532 illustrates in block diagram form the concept that for oscillations to be sustained an energy producing 15 element, such as amplifier 4599, provides energy to a feedback network 4505 that by virtue of its interconnections feeds back a portion of signal  $f_{out}$  back to the input of amplifier 4599. Feedback is typically provided by a direct connection. However, feedback is also accomplished through radiation, or a parasitic 20 path, such as through a power supply coupling. To sustain oscillations, the feedback loop must satisfy the Barkhausen criteria at  $f_{out}$ :  $G(j2\pi f_{out})H(j2\pi f_{out}) = -1$ , where  $G(j2\pi f_{out})$  is an amplifier transfer function and  $H(j2\pi f_{out})$  is a feedback network transfer function. If Barkhausen criteria is satisfied, the 25 oscillator will oscillate to produce an output frequency,  $f_{ou}$ . 1904.

Feedback network 4505 typically comprises frequency selective elements 4509 4511 that form a tuned circuit exhibiting resonance in parallel (as shown in FIG. 45e), series or a 30 combination of series and parallel. Such a circuit is often referred to as a resonant tank. By varying the tuned circuit element's value contained in feedback network 4505 the output frequency of oscillation  $f_{out}$  may be varied. Variation of circuit element values is accomplished with control voltage 4533 and 35 control lines 4520. The control lines set a frequency tuning

range and the control voltage adjusts the frequency within a frequency range set through the control lines.

5 FIG. 45e is a schematic of the feedback network 4505 that allows the frequency of oscillation to be adjusted. The feedback network comprises capacitive 4511 and inductive 4509 circuit elements having frequency dependent responses. The feedback network typically comprises multiple circuit elements to produce  
10 an overall frequency response. Equivalently the feedback network is intertwined with the amplifier circuit (or gain stage) (4599 of FIG. 45d). For example, a feedback network comprising an LC tank circuit as shown in FIG. 44e will resonate at a frequency dependent upon the combined values of inductance 4509 and  
15 capacitance 4511. If a variable capacitance 4515 is included, as shown, a resonant frequency may be tuned over a range of frequencies by adjusting the capacitance 4515. Alternatively, an inductor 4509 may be of the variable type to adjust the output frequency 1904. However, an adjustable capacitance 4511 is  
20 typically easier to fabricate on an integrated circuit substrate than a tuned inductor 4509.

FIG. 45f is a schematic of a feedback network that allows the frequency of oscillation to be adjusted continuously by varactor tuning. Varactors typically provide a fine tuning range  
25 of adjustment in a VCO. In an embodiment a continuously adjustable capacitance is provided by varactor diodes 4515. A varactor diode is a diode that poses a varying amount capacitance. The amount of capacitance depending upon a level of direct current biasing the varactor diode. To set the varactors tuning range a fixed capacitance 4513 is typically used. The fixed capacitor typically gets the tuned circuit close to a desired frequency, and the varactor fine tunes the desired frequency. In an alternate embodiment a network of discreetly switched capacitors may be used in place of fixed capacitor 4513.  
30 In the later described arrangement utilizing discreetly switched  
35

capacitors, discrete ranges of tunable frequencies, with each range being continuously tunable is provided.

5 With discrete capacitor tuning it is desirable to select the value of capacitance by electronically adding or removing a capacitor, without mechanical switching. With electronic switching of capacitor values a resonant center frequency for the network is defined by one or more capacitances that are switched  
10 in, combined with the capacitance as set by the varactor's current bias voltage. The capacitance range of the varactor sets the tuning range of the feedback network.

The varactors in the embodiments of the VCO are fabricated from NMOS transistors 4517. The feedback network 4505 shown  
15 provides a tuning range defined by a series combination of capacitance provided by one or more varactors 4515 combined in parallel with a fixed capacitor 4513. The varactors provide a capacitance that is variable in response to a biasing control voltage 4533 applied. The varactors are disposed such that when  
20 a control voltage 4533 is applied to a varactor diode, it is back biased and no current flows. In an embodiment appropriate DC blocking capacitors may be utilized to prevent current flow from the control voltage line 4533.

A varactor is typically constructed as a diode having two  
25 leads. However, a discrete device package is incompatible with integrated circuit construction. In an integrated circuit a varactor may be compactly constructed from an NMOS transistor.

In the embodiments a varactor diode is constructed by shorting a drain ("D") and a source ("S") leads (or terminals)  
30 of an NMOS transistor 4517. The coupled drain and source form one terminal of the varactor, and the gate forms a second terminal of the varactor. By shorting the drain and source leads of an NMOS device 4517 a bulk resistance 4519 from drain to source is present. The bulk resistance is modeled 4519 by a parallel  
35 combination of two resistors each of value R. In an NMOS

transistor current does not substantially flow from gate ("G") to either of the drain D or source S terminals. Therefore, a separation of charge or capacitance is created from the first terminal formed by the gate to the second terminal formed by the shorted drain and source through the parallel combination of two resistors R. A DC voltage applied to the NMOS varactor produces a variable capacitance that is inversely proportional to the applied DC voltage.

NMOS transistors are a type of MOSFET transistor, which in turn is a type of field effect transistor, or FET. Equivalently, other types of FETs could be utilized to form a varactor, such as a PMOS device.

FIG. 45g is a graph of capacitance verses control voltage applied to an NMOS varactor. As can be seen from this graph, varactor capacitance 4511 tends to be inversely proportional to an applied control voltage 4533. A portion of the curve tends to be linear 4521. It is desirable to utilize the linear portion of the tuning curve to tune the VCO. Such a curve is often referred to as a C-V curve.

FIG. 45h is a graph illustrating average capacitance achievable with an NMOS varactor. Here, a family of various C-V curves are presented for different control, or source voltages.

Equivalent series resistance or ESR is a figure of merit for a capacitor. The ESR of an NMOS varactor is the drain source resistance of the shorted leads. In an exemplary design, the NMOS FETs used to form the varactors have an atomic W/L equals (20/0.35) that is repeated 36 times.

$V_s$  is the controlled, or source voltage applied to the shorted source and drain leads of an NMOS varactor.  $V_g$  on the horizontal axis represents the voltage applied to the gate of an NMOS varactor. As the gate voltage is varied from zero to a maximum voltage, the capacitance switches between a depletion capacitance ("C<sub>dep</sub>") and an oxide capacitance ("C<sub>ox</sub>"). The total

charge transferred during each cycle of voltage variation on the  
gate, such as when a varying noise or RF signal is present in the  
5 circuitry, is a measure of the effective capacitance. The  
effective capacitance is represented by the area under the C-V  
curve. Thus, voltage variations in the C-V switch thresholds  
modifies the effective capacitance of an NMOS varactor. Thus,  
10 flicker noise in the NMOS device tends to cause frequency  
modulation of the VCO by changing the capacitance and in turn  
changing the frequency produced by the VCO.

The capacitance produced from an NMOS connected to form a  
varactor is an average value of the device's capacitance. When  
the NMOS' applied gate to source voltage (" $V_{gs}$ ") is less than an  
15 inherent threshold voltage (" $V_t$ ") of an NMOS transistor, the  
transistor is in the "off state" and has a capacitance equal to  
a depletion capacitance (" $C_{dep}$ ") of the NMOS. This is a  
relatively small value of capacitance.

When  $V_{gs}$  exceeds  $V_t$ , the NMOS is in an "inverted state" where  
20 a greater oxide capacitance (" $C_{ox}$ ") is produced. A changing gate  
voltage produces a capacitance that is not linear, but rather an  
average capacitance. The capacitance switches between a low  
capacitance and a high capacitance value depending upon signal  
25 swing present across the NMOS, such as is present in an RF  
signal.

The value of average capacitance depends upon the time the  
MOSFET is "inverted" compared to the time that it is "off". The  
voltage gating the varactor on and off is the voltage swing  
across the varactor. For example the voltage swing across the  
30 varactor is the result of the VCO output's RF signal swing being  
present across the varactor. Effective capacitance depends upon  
a charge transfer which is equal to the area underneath the CV  
curve. Thus, an integration of the area under the CV curve for  
35 a given voltage swing (" $V_g$ ") represents the effective capacitance  
obtained.

Further, this average capacitance is a linear function of the signal swing and the control voltage. As the voltage on the 5 source drain connection ("V<sub>s</sub>"), which is the control node, is changed, the switching point is changed, since the voltage on the gate V<sub>g</sub> must exceed the voltage on the control node by V<sub>t</sub> before the large oxide capacitance is formed. Thus, by changing the control voltage V<sub>s</sub>, the capacitance of the NMOS varactor is 10 changed.

FIG. 45i is a schematic of an embodiment of a VCO 4532 that includes an amplifier 4599, a feedback network 4505 and summing function 4507 in its circuitry. The embodiment shown utilizes NMOS varactors 4517 to provide frequency control.

15 The amplifier circuit 4599 consists of a pair of NMOS driver transistors M1 M2. The NMOS drivers each poses an inherent capacitance C<sub>gs</sub> that tends to contribute to the tuning of the VCO.

Transistor M1 has its source coupled to ground. The drain of M1 is coupled to the gate of M2, a first terminal of a first inductor 4509, the first terminal of a first varactor 4515 and a set of first terminals of a first bank of six capacitors 4528. A set of second terminals of the first bank of six capacitors are each coupled to one of a first set of six transistor switches 4527 drains. The sources of the switching transistors are coupled 20 to ground. The gates of each of the switching transistors are coupled to individual control lines b<sub>1</sub> through b<sub>n</sub> 4520 that make up the n control lines that originate from the tuning control circuit (4535 of FIG. 45d).

Transistor M2 has its source coupled to ground. The drain 30 of M2 is coupled to the base of M1, a first terminal of a second inductor 4509, the first terminal of a second varactor 4515 and a set of first terminals of a second bank of six capacitors 4528. A set of second terminals of the second bank of six capacitors are each coupled to one of a second set of six transistor switches 35 4527 drains. The sources of the second set of switching

transistors are coupled to ground. The gates of each of the switching transistors are coupled to individual control lines  $b_1$  through  $b_5$  4520 that emanate from the tuning control circuit (4535 of FIG. 45d).

The second terminals of the first and second varactors are coupled together and to the control voltage 4533 supplied by the tuning control circuit (4535 of FIG. 45d). The second terminals of the first and second inductors are each coupled to the source of transistor M3 of the adaptive bias circuit 4522.

The Adaptive bias circuit 4522 comprises a PMOS transistor M3 with its drain coupled to a voltage supply  $V_{DD}$  and a first terminal of a capacitor 4531. The second terminal of capacitor 4531 is coupled to the gate of M3. The gate of M3 is also coupled to the first terminal of a resistor 4524. The second terminal of resistor 4524 is coupled to the adaptive bias control line 4530 that is supplied by a constant  $G_m$  bias cell 4536.

Adaptive bias causes the transconductance of transistors M1 and M2 to remain fixed. Adaptive bias 4522 is provided by a PMOS transistor M3 that tracks temperature and process variations by virtue of being fabricated by common IC processing. Variations in process and temperature create a varying voltage at the gate of PMOS transistor M3.

The adaptive bias control line 4530 is coupled to the gates of transistors M4 and M5 in the constant  $G_m$  bias cell 4536. The constant  $G_m$  bias cell is representative of the functions needed to implement adaptive bias and is conventionally constructed as is known to those skilled in the art. The constant  $G_m$  bias cell tends to maintain the transconductance of M6 ( $g_m$ ) at a value of  $1/R2$  through local feedback. Current I varies with temperature and process to ensure this. The value of R2 is scaled through an amplifier gain. Appropriate scaling of M1 and M2 with respect to M6, and of M3 to M5 gives a  $g_{mM1/M2}=k(1/R2)=k(g_{mM3})$ . Thus, a constant  $g_m$  tends to be maintained in transistors M1 and M2.

5 In the constant  $G_m$  bias cell the drains of M5 and M4 are coupled to  $V_{DD}$ . The gate of M5 is coupled to the source of M5. The source of M5 is also coupled to the drain of M7. The source of M7 is coupled to a first terminal of R2. The second terminal of R2 is coupled to ground. The source of M4 is coupled to the drain of M6 and the gate of M6. The source of M6 is coupled to ground.

10 Maintaining a constant transconductance in M1 and M2 assists in maintaining a sliding window. The sliding window that is being maintained is the upper and lower limits of the VCO control voltage range. For the transconductance of M1 and M2 to remain constant, their  $V_{gs}$  must move in response to temperature and process variations. As  $V_{gs}$  moves, it is desired to have the 15 window move to track this change. The capacitance obtained across the varactor is dependent upon the  $V_{gs}$  of M1 and M2. Thus, if the  $V_{gs}$  of M1 and M2 changes, it is desirable to have the window change in a manner responsive to the change of the  $V_{gs}$  of M1 and M2.

20 FIG. 45j is a schematic of an equivalent circuit model of the VCO of FIG. 45i. In an embodiment, a design provides specific phase noise performance. The noise contributions is primarily due to flicker noise of the transistors, varactors, and the bias circuit.

25 In modeling an equivalent circuit as long as the tuneable capacitance is a small fraction of the fixed tank capacitance the flicker noise ("1/f") contribution of the varactors is minimal.

30 Up-conversion of 1/f noise is minimized by maximizing the gate threshold voltage ("V<sub>gt</sub>") of M1 and M2 of FIG. 45j, and making the transistors reasonably large. In making the transistors large the total gate capacitance present in the circuit is a constraint. The biasing transistor M3 of FIG. 44j is made wide and short to maximize gate area and minimize its head room impact. Headroom impact refers to the fact that to 35 reduce power consumption the inductors 4509 are not coupled

5 directly to  $V_{DD}$ . As the W/L ratio of M3 is reduced, a larger voltage is dropped across the drain and source terminals of M3.

10 To provide sufficient headroom in the described embodiment it is desired to maintain  $V_{DS} > (V_{SG} - V_t)$ . In a final effort to reduce 1/f noise, the gate of transistor M3 of FIG. 44j is filtered by a 100k OHM on chip resistor 4524 and a 0.1  $\mu$ F external capacitor 4531, both of FIG 45j. The filtering ensures that noise from the small bias devices does not adversely affect the overall noise performance of the VCO core shown in FIG. 44j. The low pass filter possesses a 10ms time constant that does not affect startup as the external .1  $\mu$ F capacitor is initially charged through a switch having a worse case on-resistance of

15 substantially 50 OHMS. The .1  $\mu$ F and 50 OHM resistance provide an acceptable time constant for circuit performance.

20 The small signal circuit model shown in FIG. 45k is a reasonable approximation of the VCO since the switched capacitors and varactors are designed to have a Q that is much greater than the inductors. Thermal noise arising from the substrate and gate resistance is minimized through careful design and layout techniques known to those skilled in the art. The equivalent parallel resistance of the tank is  $2R$ , where  $R$  is approximately equal to  $(Q^2)r$ .

25 FIG. 45k is a schematic of a tuning control circuit controlling switched capacitors tending to center a varactor tuning range. In FIG. 44l variable capacitors 4511 of FIG. 44f is represented by a single fixed capacitor 4509 and a series of 30 switched capacitors  $C_1$  through  $C_n$  and a continuously variable capacitance provided by a pair of varactors 4515. The capacitors utilized in the circuit may be of any type including those suitable for integrated circuit fabrication. In an embodiment metal fringe capacitors are used for the switched capacitors. The parallel combination of the capacitors provides the required 35 overall capacitance  $C$  as shown in FIG. 44f. In alternative

embodiments capacitance in the tuned circuit may be made up of  
any number or combination of fixed capacitors and switched  
5 capacitors. Capacitors  $C_1$  through  $C_n$  are discrete capacitors that  
are added or removed from the tuned circuit by a field effect  
transistor ("FET") switch.

Each switch is activated through an individual control line  
that is part of a bus of control signals 4520 emanating from the  
10 tuning control circuit 4535. In alternative embodiments the  
number of control lines may be reduced to less than one per  
switch by addressing a demultiplexer through a one or more  
multiplexed lines. The presence of a voltage on any one of the  
15 given control lines sufficient turn on the channel of the field  
effect transistor effectively couples the capacitors 4528 to the  
tunable resonance circuit 4505.

FIG. 46a is a schematic of a PLL having its VCO controlled  
by an embodiment of the VCO tuning control circuit. A VCO tuning  
control circuit 4535 is provided to tune a VCO 4532 that is  
20 contained in an exemplary narrow band PLL 4506 that generates an  
I and a Q 925 MHz local oscillator signal 1904. In the embodiment  
shown the local oscillator signal is a differential signal.  
However, in alternate embodiments a single ended signal is  
equivalently utilized.

25 The tuning control circuit makes use of a temperature and  
process dependent moving window of acceptable control voltages  
defined by a range of voltages that vary with temperature and  
process. The moving window tends to aid in optimally choosing a  
range of valid control voltages for the PLL that tend to aid in  
30 attaining a frequency lock. The control circuit uses the moving  
window to center a varactor diode's (4415 of FIG. 45k) tuning  
range by adding or removing capacitance. Centering tends to avoid  
gross varactor non-linearities by causing a range of control  
voltage being utilized to fall on a linear operating region of  
35 a C-V curve. Also, the circuit tends to mitigate dead band

conditions and tends to improve loop stability over process and temperature variations.

5 Process and temperature variations cause variations in VCO performance. Process variations refer to inconsistencies in the manufacturing process that can result in wafer-to-wafer and/or chip-to-chip differences. A VCO integrated on a chip can be up to  $\pm 20\%$  off in its frequency range. Environmental effects  
10 primarily consist of temperature. Pressure and humidity can have a second order effect on performance. Immediate calibration at power up is done to center the varactor diodes at the middle of their tuning range. This is done by switching in capacitors and monitoring loop voltage. To center the VCO's frequency tuning  
15 range that is provided by the variable capacitance of the varactors, the embodiments of the invention immediately calibrate the VCO by adding or removing capacitance. Switching capacitors in or out of the circuit centers the varactor's capacitance range at the middle of the VCO's tuning range. To monitor centering  
20 of the varactors a window comparator is used to evaluate the state of a control voltage that is used to tune the VCO. The window comparator determines when the control voltage is within the VCO's preferred control voltage range to improve the PLL performance.

25 The VCO tuning control circuitry 4535 controls the VCO 4532 of a conventional PLL 4506. The PLL is conventionally constructed as is shown in FIGS. 17-18. A reference divider 4610 is controlled by externally supplied frequency select lines 4608. The PLL comprises a crystal oscillator 4606 that inputs a stable  
30 frequency to the programmable reference divider 4610. In the embodiment shown the crystal oscillator is constructed as shown in FIGS. 7-16. In alternative embodiments the crystal oscillator is conventionally constructed as is known by those skilled in the art. The reference divider is conventionally constructed as is  
35 known by those skilled in the art. The reference divider in turn

outputs a frequency 4612 that is based upon the reference frequency to a first input of a phase detector 4614. The phase detector is conventionally constructed as is known by those skilled in the art. A second input 4616 to the phase detector is a current output of the VCO 4532.

FIG. 46b illustrates a pulse train output of the phase detector. A pulse train 4620 is derived from the VCO output signal 4616 and the reference oscillator signal 4608 as shown.

Returning to FIG. 46a the phases of the two phase detector inputs 4612, 4616 are compared in the phase detector. A pulse train representing the phase difference is output 4620 from the phase detector and coupled to the input of a charge pump 4622. The charge pump is conventionally constructed as is known by those skilled in the art. The output of the charge pump is fed into a low pass filter 4624. The output of low pass filter 4624 is fed into the control voltage input of the VCO 4618. The VCO outputs an image and quadrature signal 1904 at a frequency as set by the frequency select line 4608.

The voltage controlled oscillator 4532 is conventionally constructed, and comprises a variable capacitance used to tune the output frequency. VCO 4532 additionally comprises a series of switchable capacitors utilized to center the tuning range of the variable capacitance elements comprising the VCO. The switchable capacitors are controlled by signals emanating from the VCO tuning control circuitry 4535. The control signals 4520 are routed from tuning register 4630 to the VCO 4532.

The VCO tuning control circuitry 4535 utilizes a control signal called "state" 4510 taken from low pass filter 4624. The voltage signal "state" 4510 is input to the positive inputs of a first LSB comparator 4634 and the positive input of a second MSB comparator 4636. The negative inputs of comparators 4634 and 4636 are coupled to DC reference voltages V1 and V2. These

reference voltages shift depending upon temperature and process conditions.

5 Voltages V1 and V2 are taken from a resistive divider circuit that utilizes a transistor to track process and temperature variations. A conventional voltage reference 4607 outputting voltage at level V1 is applied to a first terminal of a first resistor 4603 and the negative input of msb comparator 10 4636. A second terminal of the first resistor is coupled to a first terminal of a second resistor 4605 at node 4637. A second terminal of the second resistor 4605 defines voltage threshold V2 is coupled to a drain of a transistor M4. The drain of M4 is coupled to the negative terminal of lsb comparator 4634. A source 15 of M4 is coupled to ground, and a gate of M4 is coupled to node 4637.

20 Comparator 4634 outputs signal lsb and comparator 4636 output signal msb. Voltages V1 and V2 set thresholds to form a sliding window which monitors the state of the closed PLL by monitoring voltage 4510 at low pass filter 4624. Control voltage 4510 is taken as the voltage across a capacitor in the low pass filter that induces a zero in the loop filter 4624. Thus, the control voltage is a filtered version of the control voltage of the PLL loop, and thus tends to have eliminated spurious 25 components present on the VCO control line.

30 Signals msb and lsb are fed in parallel to a 2 input AND gate 4640 and a two input exclusive NOR gate 4642. The output of exclusive NOR gate 4642 is fed into the D input of a DQ flip-flop 4644. The Q output of the flip-flop is fed into a two input AND gate 4646, whose output is in turn fed into the clock input of a 6-bit bi-directional tuning register 4630. Returning to AND gate 4640 its output is fed into the shift left/right input port of the 6-bit bi-directional tuning register 4630.

35 The reset signal 4512 is based on the output of low pass filter ("LPF") 4624 and is applied to the VCO control circuit as

described below. Low pass filter 4624 takes its input from charge  
5 pump 4622's output. A first shunt capacitor 4609 has a first  
terminal coupled to the LPF input it has a second terminal that  
is shunted to ground. Resistor 4611 has a first terminal coupled  
to the LPF input and a second terminal coupled to the first  
terminal of a capacitor 4613. A second terminal of capacitor 4613  
is coupled to the second terminal of capacitor 4609. Transistor  
10 4615 has a source coupled to ground, a drain coupled to the first  
terminal of capacitor 4613, and a gate that defines a reset  
signal 4512 utilized throughout the VCO control circuit. The  
reset signal is coupled to an R terminal of DQ flip-flop 4644,  
15 a reset terminal "R" of the 6-bit bi directional tuning register  
4630, the "R" input of DQ flip-flop 4617, and a first input of  
a two input OR gate 4619.

Clock signal 4514 is based on the divided reference  
oscillator signal 4612. Division of the reference signal is  
accomplished in any conventional manner, known by those skilled  
20 in the art. Clock signal 4514 is coupled to the clock inputs of  
DQ flip-flops 4644 and 4617, a clock input of the 6-bot bi-  
directional tuning register 4630, and in-lock detector 4648. The  
clock signal is also applied to an inverted second input of the  
two input and gate 4646.

25 Threshold voltages V1 and V2 are in fixed relationship to  
each other but vary in their voltage levels. The pair of voltage  
thresholds, V1 and V2, utilize a MOSFET transistor M4 4635 to  
provide a sliding window function. The window is formed by the  
voltages V1 and V2. The actual location of the window is set by  
30 the  $V_{gs}$  of MOSFET M4 since the temperature and process changes  
present in M4 cause the value of V1 and V2 to change. However,  
the difference in voltage between V1 and V2 remains constant.

For example, a change in temperature, the  $V_{gs}$  of M1 and M2  
35 would change. A change in the  $V_{gs}$  of M1 and M2 causes the  
capacitance of the varactor to change. If a window that did not

track the change of  $V_{gs}$  was not provided, then at elevated temperature the loop would not lock. At start-up, when the chip 5 is at room temperature, V1 is set to 1.5 volts and V2 is set to 1.0 volt. The phase lock loop will attempt to lock with a voltage between 1.0 and 1.5 volts. Over time, the chip 10 temperature increases causing the  $V_{gs}$  of M1 and M2 to change. The capacitance changes in the varactor causes the VCO to move away from the preset window. If the PLL tried to acquire lock at the elevated temperature, it would not be able to do so within a voltage range of 1.0 to 1.5 volts.

MOSFET M4 has the effect of making the voltages at V1 and V2 not absolute values. However, the difference between V1 and 15 V2 remains constant and fixed. At room temperature, V1 and V2 may be 1.5 and 1.0 volt, respectively. However at 85°C, they may drift to 2.0 and 1.5 volts, respectively. The  $V_{gs}$  of M4 changes with the elevated temperature. The voltage at the tap point 4637 also increases with temperature forcing the position of the 20 window defined by V1 and V2 to move tracking the  $V_{gs}$  of M1 and M2.

#### NARROW BAND VCO TUNING

FIG. 47a is a process flow diagram illustrating the process of tuning the VCO with an embodiment of a VCO control circuit. 25 Initially the control voltage (4510 of FIG. 46a) is evaluated to see if it falls within a predetermined window 4702. If the voltage is within the desired range, the time it has remained so is determined 4704. The PLL tends to be in a state of lock when the control voltage applied to the VCO has remained unchanged for 30 a predetermined period of time. If the voltage does not remain in range for the predetermined time, the process is reinitiated by looping back to the beginning. If the control voltage remains in the range for the predetermined time, the loop is deemed in lock, and the process is ended 4712.

5 Returning to block 4702, if the control voltage is out of range a decision is made 4706 based on, whether the control voltage is above or below the desired range. If the control voltage is greater than the control voltage range, a capacitance is removed from the VCO circuit 4708. The process flow is routed to the beginning of the process, where the control voltage is again reevaluated 4702.

10 Returning to block 4706, if the control voltage is below the desired range a capacitor is added 4710. Next, the process routes the flow back to the beginning of the process where the control voltage is reevaluated 4702.

15 The VCO tuning control circuitry 4604 of FIG. 46a functions to carry out the process of FIG. 47a. If the voltage of the loop lies outside the window defined by the threshold voltages V1 and V2. The clock input to the 6-bit bi-directional tuning register 4630 is enabled. This register function may be provided by a conventional circuitry known in the art to provide this function and is not limited to the circuitry depicted. A "lock time out" circuit 4648 of FIG. 46a is reset on the rising edge of the clock signal to the 6-bit bi-directional tuning register 4630 of FIG. 46a. The "lock time out" circuit is conventionally constructed and is not limited to the components depicted in 20 FIG. 46a.

25

30 If control voltage 4632 exceeds the upper threshold set by the comparators, zeros are shifted through the register 4630. A zero voltage decreases the capacitance in the VCO tuning circuitry by switching out a capacitance controlled by one of the 35 6 control lines 4628. Alternatively, any suitable number of control lines may be used other than the exemplary six. This shifting of values in a register allows one of six exemplary capacitor switch control lines to be activated or deactivated, an evaluation made and another line activated or deactivated so that the previous tuning setting is not lost. This function may

be implemented by passing a value (on or off) down a line of  
5 capacitors by shifting or by activating a capacitor associated  
with a given line and then a next capacitor without shifting the  
capacitance control signal.

If the control voltage 4632 is less than the lower threshold  
voltage of the comparator 4634 ones are shifted through the 6-bit  
bi-directional tuning register. The ones increase the  
10 capacitance applied in the VCO tuning circuit by switching in a  
capacitance controlled by one of the 6 control lines 4628.

Once control voltage 4632 enters the predetermined valid  
range of operation as set by voltages V1 and V2 the shift  
register 4630 is disabled. At this time the locked time out  
15 circuit 4648 is enabled. If the lock time out circuit remains  
enabled for the predetermined time period, that satisfies the in  
lock condition for the PLL, the clock to the DQ flip-flop 4644  
is disabled, thus disengaging the control circuit. The functions  
described in this paragraph are constructed from standard logic  
20 components known to those skilled in the art, and are not limited  
to those components depicted in FIG. 46a.

FIG. 47b is a flow diagram of a PLL start up and locking  
process for an embodiment of the invention.

A PLL start-up process is utilized to ensure that all inputs  
25 to the PLL are in the proper initial state and applied at the  
proper time. The PLL's start-up and locking process is completed  
when the PLL achieves a steady state. In the steady state  
condition, the PLL is set to be locked.

In response to a control signal, the PLL start-up and  
30 locking process is initiated 4701. In an embodiment, a  
controller utilizes a bus structure to receive data indicative  
of circuit performance, and to send commands to a circuit such  
that the coordination of circuit functions is accomplished.

After initiation of the process, the logic circuits are  
35 reset 4703. Logic signals to be reset comprise a state signal

1 36664/PBH/B600 (BP 1298)

4510, and a reset signal 4512 that are inputs to the tuning control circuit 4535.

5 The next process step is directed to setting an initial VCO oscillator frequency. A tuning register 4630 is set to produce an output of all ones at process step 4705. An output of all ones causes all capacitors in the VCO to be switched into a feedback network circuit 4505 through control lines 4520 with a 10 maximum value of capacitance switched into the feedback network, the VCO is tuned to its lowest frequency where the frequency  $F$  is given by the relation,

15 
$$F = \frac{1}{2\pi\sqrt{LC}} \quad (7.25)$$

20  $F$  = frequency in Hz (hertz)

$L$  = inductance in H (henry)

$C$  = capacitance in F (farad)

Next, the zero cap in a loop filter 5524 is zeroed in the next process step 4707.

25 The tuning control circuit 4535 has now been initialized and VCO tuning 4709 is commenced. To tune the VCO, an MSB and an LSB signal are sampled every 64th clock cycle 4711 in an embodiment. The MSB signal is the output of comparator 4636 of FIG. 46a. The LSB signal is the output of a comparator 4634 also shown in FIG. 46. The action taken in tuning the PLL depends upon the 30 state of the MSB and LSB signals. First, an evaluation is made to determine if the MSB and LSB signals are both equal to one 4713. If the signals are both in the ones state, a capacitor is switched into the circuit 4720. The state of the circuit continues to be monitors and if the MSB and LSB are not equal to 35 one, a further evaluation is made. Next, the MSB and LSB are

evaluated to determine if both signals are equal to a zero value  
4716. If both signals are equal to the zero state, a switched  
5 capacitor is removed 4722. The signal continues to be monitored  
every 64th clock cycle 4711 and when the MSB and LSB signals are  
not both equal to one or zero, a determination is made as to  
whether the MSB signal is equal to zero and the LSB signal is  
equal to zero 4718. If the signal does not meet this condition,  
10 the signal continues to be monitored with the capacitance  
adjusted until the MSB is equal to zero and the LSB is equal to  
one for three clock cycles. Once this condition has been met,  
the PLL is deemed to be in lock 4724. The circuit condition  
continues to be monitored and if the PLL remains in lock for  
15 reference clock cycles, the tuning circuit is disabled 4726,  
and the process is ended 4728.

FIG. 47c is a graph of a family of frequency verses control  
voltage for various capacitor values that illustrates the use of  
comparator hysteresis to aid in achieving a frequency lock  
20 condition. The first embodiment of the invention does not utilize  
hysteresis. an alternative embodiment of the invention utilizes  
hysteresis. Comparators 4656, 4634 of FIG. 46a are shown as  
having hysteresis incorporated in their design. Returning to  
FIG. 47c, the comparator's hysteresis about a voltage level  $V_L$  is  
25 shown by range  $\Delta$  4730. In an embodiment, hysteresis is employed  
to help achieve a PLL lock condition 4732 corresponding to a  
frequency  $F_L$  at control voltage level  $V_L$  corresponding to a tuning  
capacitance value  $C_2$ .

In an alternate embodiment the utilization of a hysteresis  
30 characteristic built into a comparator circuit aids in  
maintaining phase lock. If a single fixed threshold  $V_L$  is used,  
and a lock is attempted during a temperature change, it is  
possible that a phase lock condition for the loop would not be  
obtainable. For example, if lock at 900 MHz is being attempted,  
35 the circuit hunts along one of the families of curves defined by